



ROWAN COUNTY COMMISSION AGENDA
September 17, 2018 - 6:00 PM
J. Newton Cohen, Sr. Room
J. Newton Cohen, Sr. Rowan County Administration Building
130 West Innes Street, Salisbury, NC 28144

Call to Order

Invocation

Provided By: Chaplain Michael Taylor

Pledge of Allegiance

Consider Additions to the Agenda

Consider Deletions From the Agenda

Consider Approval of the Agenda

Board members are asked to voluntarily inform the Board if any matter on the agenda might present a conflict of interest or might require the member to be excused from voting.

- Consider Approval of the Minutes: September 4, 2018

1 Consider Approval of Consent Agenda

- A. Cooperative Extension Grant Applications
- B. Ratify TDA Board Appointment Term
- C. Airport Fee Schedule
- D. Proclamation for Young Marines Red Ribbon Week
- E. Permission to Submit Grant for OCOC
- F. Tax Refunds for Approval
- G. 2019 Homeland Security Exercise Grant Application
- H. Request to Schedule Public Hearings for Oct. 1, 2018 for Projects "Arizona" & "Care"
- I. Another Choice For Black Children - Foster Care Contract
- J. Falcon Crest - Foster Care Contract
- K. Access Family Services - Foster Care

- L. Purchase Requisition - Dell Computers
 - M. Purchase Requisition - Superior License Fees
 - N. Purchase of New Vehicles
 - O. Purchase of New Elections Equipment
 - P. Proclamation for National Suicide Prevention Awareness Month
 - Q. Resolution Supporting High Rock Lake Association Letter Response To
Cube Hydro Shoreline Management Plan
- 2 Announcements
 - A. Stand Together Music Festival
 - 3 Public Comment Period
 - 4 Public Hearing for Possible CDBG Application
 - 5 Request for 2nd Public Hearing - CDBG Application for 'Project Kodiak'
 - 6 Financial Report
 - 7 Budget Amendments
 - 8 Adjournment

Citizens with disabilities requiring special needs to access the services or public meetings of Rowan County Government should contact the County Manager's Office three days prior to the meeting by calling (704) 216-8180.

ROWAN COUNTY
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130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Carolyn Barger, Clerk to the Board
DATE: September 10, 2018
SUBJECT: Consider Approval of the Minutes: September 4, 2018

ATTACHMENTS:

Description

September 4, 2018 Minutes

Upload Date

9/10/2018

Type

Cover Memo

Greg Edds, Chairman
Jim Greene, Vice-Chairman
Mike Caskey
Judy Klusman
Craig Pierce



Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
John W. Dees, II, County Attorney

DRAFT

Rowan County Board of Commissioners

130 West Innes Street • Salisbury, NC 28144
Telephone 704-216-8180 • FAX 704-216-8195

**MINUTES OF THE MEETING OF THE
ROWAN COUNTY BOARD OF COMMISSIONERS**

September 4, 2018 – 3:00 PM

J. NEWTON COHEN, SR. ROOM

J. NEWTON COHEN, SR. ROWAN COUNTY ADMINISTRATION BUILDING
.....

Present: Greg Edds, Chairman
Jim Greene, Vice-Chairman
Mike Caskey, Member
Judy Klusman, Member
Craig Pierce, Member

County Manager Aaron Church, Clerk to the Board/Assistant to the County Manager Carolyn Barger, County Attorney Jay Dees and Assistant County Manager/Finance Director Leslie Heidrick were present.

Chairman Edds convened the meeting at 3:00 p.m.

Chaplain Michael Taylor provided the Invocation.

Chairman Edds led the Pledge of Allegiance.

CONSIDER ADDITIONS TO THE AGENDA

- Chairman Edds added a request from Commissioner Pierce to the agenda as item #4a. The issue was to ask the Planning Board and staff to look into the possibility of an ordinance that would prohibit grass clippings from being blown onto the roads.
- Chairman Edds added an Economic Development request to set a public hearing for September 17, 2018 regarding a CDBG grant application. The issue was placed on the Consent Agenda as item T.

CONSIDER DELETIONS FROM THE AGENDA

There were no deletions from the agenda.

CONSIDER APPROVAL OF THE AGENDA

Commissioner Greene moved, Commissioner Klusman seconded and the vote to approve the agenda as amended passed unanimously.

Equal Opportunity Employer



recycled paper

CONSIDER APPROVAL OF THE MINUTES

Commissioner Klusman moved, Commissioner Pierce seconded and the vote to approve the minutes of the August 20, 2018 Commission Meeting passed unanimously.

1. CONSIDER APPROVAL OF CONSENT AGENDA

Commissioner Klusman moved approval of the Consent Agenda as amended. The motion was seconded by Commissioner Greene and passed unanimously.

The Consent Agenda consisted of the following:

- A. Granite Quarry Easement for Chamandy Drive Extension
- B. Proclamation for Patriot Day – A Day of Remembrance – September 11, 2001
- C. Proclamation for First Responders Day
- D. Ambulance Franchise Application
- E. HDR Task Order – Landfill Gas System Operations
- F. New Full-Time Position in Tax Administration
- G. Elon Homes – Foster Care
- H. Personnel Ordinance and Policy Revisions
- I. Bayada Home Health Care – In-Home Aide
- J. Genesis – A New Beginning – Family Reunification
- K. Coltrane Life Center – Adult Day Care/In-Home Aide
- L. Children's Home Society of NC – Foster Care
- M. Abundant Living Adult Day Services – Adult Day Care
- N. Purchase Requisition – Wheel Loader for Landfill
- O. Salcoa Contracting – Administration Building Renovations
- P. Proclamation for Constitution Week
- Q. FY 2018 Year End
- R. HCCBG Additional Allocation for FY 2018-19
- S. West End Plaza Event Center Rental Policy
- T. Request to Set a Public Hearing For September 17, 2018 Regarding CDBG Grant Application (addition to the Consent Agenda)

2. PUBLIC COMMENT PERIOD

Chairman Edds opened the Public Comment Period to entertain comments from any citizens wishing to address the Board. The following individual came forward:

- Ronnie Smith provided the Board with a handout and talked about the placement of a Vietnam Veterans Memorial in Rowan County.

With no one else wishing to address the Board, Chairman Edds closed the Public Comment Period.

3. UPDATE FROM REGISTER OF DEEDS OFFICE

John Brindle, Register of Deeds (ROD), provided a handout, as well as an update regarding changes implemented in the ROD office.

First, Mr. Brindle discussed the Thank A Vet Program (Program), which was in its third year at the ROD. Mr. Brindle reviewed the benefits of the Program and highlighted how the Program gave back to the Veterans, as well as assisted the spouses of Veterans.

Mr. Brindle said the ROD recently started a Fraud Prevention Program and he reviewed how the Program worked.

Mr. Brindle reported three (3) new Registers had been added to work stations in the office. Mr. Brindle said two (2) of the new employees worked with passports and one (1) worked at the counter to help streamline recordings and vital records for quicker turnaround.

According to Mr. Brindle, the ROD recently started a Historical Record Service (Service) and had indexed all records from 1753 to 1921 and made them available online. Mr. Brindle said the ROD planned to close the gap, working back from '74 and eventually make all indexing available online. Mr. Brindle said the efforts had been accomplished with Automation Preservation Funds and not at the expense of Rowan County taxpayers.

Mr. Brindle discussed steps that were taken to remove acid and clean older books for the preservation of the records.

In closing, Mr. Brindle referred to the handout and proposed to offer to all county employees, spouses and dependent children the ability to obtain a passport at a discounted rate. Mr. Brindle asked to waive the fees for the execution and processing (\$35) and the passport photo (\$10).

Mr. Brindle's update on the operations of the ROD Office was followed by a brief question and answer period.

Chairman Edds thanked Mr. Brindle for the new programs the Office had implemented. Chairman Edds also expressed appreciation to Mr. Brindle for being service-oriented, particularly where law enforcement and Veterans were involved, and for what Mr. Brindle did for the community.

Chairman Edds asked County Attorney Jay Dees about offering the discounted passport rate to employees. Mr. Dees responded he would take the information under advisement and report back to the Board.

4. BUDGET AMENDMENTS

Finance Director Leslie Heidrick presented the following budget amendments for the Board's consideration:

- Health Department – Distribution of new funds from Community Health Grant - \$85,264

- Health Department – To decrease funds per State agreement - \$1,838
- Finance – To budget 2017 Homeland Security Grant awarded to County as part of a regional domestic preparedness project. The Board of Commissioners accepted the grant award on November 6, 2017 - \$9,000
- Finance – The date on the first invoice associated with the TDA Railwalk Project is July 2018. This budget amendment carries forward the unspent budget from FY 2018 and moves the fiscal year 2019 budget from a capital asset account because the asset will not be the County's - \$150,000
- Finance – To recognize reserved funds from FY 2018 for the Library. Reserved funds represent money received by a Department for a restricted purpose. The funds that have not been spent by year-end are budgeted for expenditure in the new fiscal year - \$20,767
- To recognize reserved funds from FY 2018 for the Register of Deeds. Reserved funds represent money received by a Department for a restricted purpose. The funds that have not been spent by year-end are budgeted for expenditure in the new fiscal year - \$131,687
- Finance – To budget for financing proceeds and capital improvements for Kannapolis City Schools - \$1,300,000

Commissioner Pierce moved approval of the budget amendments as presented. The motion was seconded by Commissioner Klusman and passed unanimously.

ADDITION

4a. REQUEST PLANNING STAFF TO REVIEW COUNTY'S AUTHORITY TO REGULATE GRASS CLIPPINGS WITHIN PUBLIC ROADWAYS

Commissioner Pierce provided a memorandum, which requested approval for the Board to ask the Planning Board and Planning Staff to review the County's authority to regulate grass clippings within public roadways, and if the County has such authority, to draft an ordinance to regulate grass clippings in public roadways as a dangerous condition to those traveling on the roadways or as a nuisance.

Commissioner Pierce said one of the main duties of the Commissioners was to protect the citizens. Commissioner Pierce said grass clippings on highways not only affected counties locally but was an issue statewide.

Commissioner Pierce moved to have the request sent to the Planning Board and Planning Staff and ask them to come up with the appropriate language. Commissioner Pierce mentioned the Town of Spencer had already passed such an ordinance in its town limits. Commissioner Pierce said if the verbiage could be worked out, he would like to send a resolution to the State Legislature seeking changes for compliance at the State level.

Commissioner Caskey seconded the motion for discussion.

Commissioner Caskey said there were a lot of stakeholders involved such as the Sheriff, Highway Patrol, District Attorney's Office, etc. Commissioner Caskey sought clarification as to whether Commissioner Pierce was asking Planning Staff to bring an ordinance back to the Commissioners, or only bring the information back. Commissioner Caskey said he did not know if the County should adopt an ordinance without having more information.

Commissioner Pierce said he had been discussing the matter with the County Attorney and would yield to him. Commissioner Pierce said he had reached out to the Sheriff regarding next steps. Commissioner Pierce said the Sheriff had contacted Raleigh to ensure there was nothing in place that specified grass clippings as a hazardous material. Commissioner Pierce clarified he was asking to send the request to the Planning Board and the Planning Department to allow them to come up with appropriate language to identify what hazardous materials are that are being broadcast into the roadways. The Commissioners could then approve a zoning amendment to the County's ordinance and submit the information to the State for action.

Commissioner Greene asked if the Planning Board would determine whether the fines would be issued to the property owners or to the lawn service providers, etc. Commissioner Pierce said these types of questions were why he wanted the information to be sent to the Planning Board for review.

Commissioner Klusman inquired if an ordinance would apply to people hired by the State to mow. Commissioner Pierce responded the equipment in question mowed the grass clippings straight down. Commissioner Pierce said the intent was not to tell people how to mow their yard but rather to be considerate of the 2 and 3-wheel motorists on the highways.

Mr. Dees said the typical process was to send the request to Planning Staff and the Planning Board. Mr. Dees said Staff would determine if the County had the lawful authority to regulate grass clippings. If so, Mr. Dees said ordinances in other communities would be researched to help answer the smaller questions. Mr. Dees said the Planning Board would submit the information back to the Commissioners as a recommendation.

Commissioner Caskey pointed out the Town of Spencer owned and maintained its own roads where the County did not. Commissioner Caskey said he did not have a problem with the Planning Board looking into the matter; however, he had not yet made a decision as to whether the County needed another statute. Commissioner Caskey felt that ultimately the matter was a State issue. Commissioner Caskey said Rowan's citizens drove in other counties and the issue would be the same in those counties.

Commissioner Pierce felt the Board was not doing what it should locally to protect its' citizens and he felt the matter was worthwhile to investigate.

Commissioner Caskey agreed with Commissioner Pierce but reiterated the Board should make sure all the stakeholders were involved. Commissioner Caskey said the discussion could possibly be the launching point to create awareness for citizens not to mow grass clippings onto the highway.

Upon being put to a vote, the motion on the floor passed unanimously.

5. CONSIDER APPROVAL OF BOARD APPOINTMENTS
CHARLOTTE REGIONAL PARTNERSHIP BOARD

Chairman Greg Edds applied for reappointment to the Charlotte Regional Partnership Board. The term would be for one (1) year beginning September 4, 2018, and ending August 31, 2019.

Commissioner Pierce moved, Commissioner Caskey seconded and the vote to reappoint Chairman Edds passed unanimously.

EAST GOLD HILL VOUNTEER FIRE DEPARTMENT FIRE COMMISSIONERS

Tony Trexler applied for reappointment as a fire commissioner for the East Gold Hill Volunteer Fire Department. The term was for two (2) years beginning September 4, 2018, and ending July 31, 2020.

Jerry Hill applied for reappointment as a fire commissioner for the East Gold Hill Volunteer Fire Department. The term was for two (2) years beginning September 4, 2018, and ending July 31, 2020.

Commissioner Klusman moved, Commissioner Pierce seconded and the vote to reappoint Tony Trexler and Jerry Hill passed unanimously.

GRANITE QUARRY PLANNING ETJ

Michelle Reid applied to fill an At-Large vacancy in the extra territorial jurisdiction for the Granite Quarry Planning Board. The term was for three (3) years beginning September 4, 2018, and ending July 31, 2021.

Commissioner Klusman moved to appoint Michelle Reid. The motion was seconded by Commissioner Pierce and passed unanimously.

GRANITE QUARRY ZONING ETJ

Gregory Lowe applied to fill an At-Large vacancy in the extra territorial jurisdiction for the Granite Quarry Zoning Board. Mr. Lowe's term was for three (3) years, beginning September 4, 2018, and ending July 31, 2021.

Commissioner Pierce moved to appoint Gregory Lowe. The motion was seconded by Commissioner Klusman and carried unanimously.

ROWAN TRANSIT SYSTEM ADVISORY COMMITTEE

George W. Benson applied to fill the At-Large vacancy on the Rowan Transit Advisory Committee. The term was for three (3) years term beginning September 4, 2018, and ending August 31, 2021.

Commissioner Klusman moved to appoint George Benson followed by a second from Commissioner Caskey. The motion passed unanimously.

SALISBURY-ROWAN ECONOMIC DEVELOPMENT COMMISSION

Shawn Adelsberger resigned from the Salisbury-Rowan Economic Development Commission and Timothy Malcolm Proper has applied to fill the vacancy. If appointed, Mr. Proper would serve the remainder of Mr. Adelsberger's term. The term would expire December 31, 2018, after which Mr. Proper will be eligible for reappointment for 2 full terms.

Commissioner Klusman moved, Commissioner Greene seconded and the vote to accept the resignation of Shawn Adelsberger passed unanimously.

Commissioner Klusman moved to appoint Timothy Proper. The motion was seconded by Commissioner Greene and carried unanimously.

TOURISM DEVELOPMENT AUTHORITY

Paul Hall resigned from the Tourism Development Authority and Don Bringle applied to fill the vacancy. If appointed, Mr. Bringle will serve the remainder of Mr. Hall's term. The term will expire June 30, 2019, after which Mr. Bringle will be eligible for reappointment for 2 full terms.

Commissioner Klusman moved, Commissioner Pierce seconded and the vote to accept the resignation of Paul Hall passed unanimously.

Commissioner Klusman moved to appoint Don Bringle. The motion was seconded by Commissioner Pierce and passed unanimously.

6. ADJOURNMENT

There being no further business to come before the Board, Commissioner Pierce moved to adjourn at 3:34 p.m. The motion was seconded by Commissioner Klusman and passed unanimously.

Respectfully Submitted,

Carolyn Barger, MMC, NCMCC
Clerk to the Board/
Assistant to the County Manager

ROWAN COUNTY
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130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Amy-Lynn Albertson, County Extension Director
DATE: 08/31/2018
SUBJECT: Cooperative Extension Grant Applications

The Cooperative Extension 4-H and Youth Development Program would like to apply for a grant from the NRA. This grant would not require any matching funds from Rowan County and would help the shooting sports team with equipment. If awarded the supplies would be valued at \$3000.00.

The Cooperative Extension Local Foods Program would like to apply for a \$2000 grant from Carolina Farm Credit. This grant would not require any matching funds from Rowan County. The funds if awarded will be used to provide a one day conference for Rowan County Women in Agriculture in the fall of 2019.

ATTACHMENTS:

Description

Upload Date

Type

No Attachments Available

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MEMO TO COMMISSIONERS:

FROM: Carolyn Barger, Clerk to the Board
DATE: 09/05/2018
SUBJECT: Ratify TDA Board Appointment Term

Due to the recent approval of new staggered terms for the Tourism Development Authority, the Board is asked to ratify the term ending date for Don Bringle's appointment to August 31, 2020.

ATTACHMENTS:

Description

Upload Date

Type

No Attachments Available

ROWAN COUNTY
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MEMO TO COMMISSIONERS:

FROM: Kevin Davis, Airport Director
DATE: 9/5/2018
SUBJECT: Airport Fee Schedule

Request for the Board of Commissioners to approve the new Airport Fee Schedule to be implemented on November 1, 2018.

ATTACHMENTS:

Description	Upload Date	Type
Airport Fee Schedule	9/5/2018	Cover Memo

Kevin Davis
Airport Director
Kevin.Davis@rowancountync.gov



Mid-Carolina Reginal Airport
3670 Airport Loop Road • Salisbury, NC 28147
Telephone 704-216-7749 • Fax 704-216-7977

Airport Fee Schedule

\$200.00 for call-in assistance outside standard hours of operation (8 a.m. to 8 p.m.), waived with a minimum 200-gallon fuel purchase.

\$10.00 for GPU engine start, \$30 per hour of usage. The first hour will be waived with a minimum 100-gallon fuel purchase.

\$15.00 per day for tie-downs. The first night's fee is waived with a fuel purchase. After four nights, a charge of \$60.00 for the month will be issued.

\$50.00 for overnight parking for turbine aircrafts, waived with a minimum 100-gallon fuel purchase.

\$150.00 Handling Fee for all turbine aircraft with (1) a maximum gross takeoff weight of 10,000 pounds or more, and (2) which occupies ramp space for more than one hour. This Handling Fee is waived with a minimum 150-gallon fuel purchase.

\$100.00 Handling Fee for (1) all turbine aircraft with a maximum gross takeoff weight of less than 10,000 pounds, and (2) that occupies ramp space for more than one hour. This Handling Fee is waived with a minimum 100-gallon fuel purchase.

Payment for all services will be due, no later than, 14 days after they are performed. If payment is not received within 14 days, services will not be provided to that customer.

The Airport's fee schedule will go into effect on November 1, 2018.

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MEMO TO COMMISSIONERS:

FROM: Carolyn Barger, Clerk to the Board
DATE: 09/05/2018
SUBJECT: Proclamation for Young Marines Red Ribbon Week

ATTACHMENTS:

Description	Upload Date	Type
Proclamation for Young Marines Red Ribbon Week	9/5/2018	Cover Memo

Greg Edds, Chairman
Jim Greene, Vice-Chairman
Mike Caskey
Judy Klusman
Craig Pierce



Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
John W. Dees, II, County Attorney

Rowan County Board of Commissioners

130 West Innes Street • Salisbury, NC 28144
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PROCLAMATION YOUNG MARINES RED RIBBON WEEK OCTOBER 23-31, 2018

WHEREAS, drug abuse in this Nation has reached epidemic stages and communities across America have been plagued by the numerous problems associated with illicit drug use and those that traffic in them; *and*

WHEREAS, it is imperative that visible, unified prevention education efforts by governments and community leaders be launched to eliminate the demand for drugs; *and*

WHEREAS, the National Red Ribbon Campaign offers citizens the opportunity to demonstrate their commitment to drug-free lifestyles (no use of illegal drugs, and no illegal use of legal drugs); *and*

WHEREAS, the red ribbon has been chosen as a symbol commemorating the work of Enrique "Kiki" Camarena, a Drug Enforcement Administration Agent who was murdered in the line of duty, and represents the belief that one person can make a difference; *and*

WHEREAS, the Red Ribbon Campaign was established by Congress in 1988 to encourage a drug-free lifestyle and involvement in drug prevention and reduction efforts; *and*

WHEREAS, October 23-31 has been designated National Red Ribbon Week, which encourages Americans to demonstrate their commitment to healthy, drug-free lifestyles by wearing and displaying red ribbons during this week-long campaign; *and*

NOW, THEREFORE BE IT PROCLAIMED by the Rowan County Board of Commissioners that October 23-31, 2018 be recognized as **RED RIBBON WEEK** in Rowan County, and urges all citizens to join in this special observance.

This the 17th day of September, 2018.

Gregory C. Edds, Chairman
Rowan County Board of Commissioners

ATTEST:

Carolyn Barger, MMC, NCMCC
Clerk to the Board/
Assistant to the County Manager

Equal Opportunity Employer



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130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Donna F. Fayko, Director, Social Services
DATE: September 6, 2018
SUBJECT: Permission to Submit Grant for OCOC

Rowan County DSS and One Church One Child is seeking permission to submit a grant to the Salisbury-Rowan Community Foundation for Safety Support Services for Abused/Neglected Children in Rowan County.

ATTACHMENTS:

Description	Upload Date	Type
2018 Salisbury-Rowan Community Foundation Grant Application	9/6/2018	Cover Memo

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

Applicant View

Applicant Summary

Organization Status Search	
Please search for your organization's legal name and current status by following these steps: Click on the data entry field that says "Select", and begin typing the organization name in the box that appears. As you type, the list of organizations will narrow, and you can select your organization from the list. Search tip: If your organization's name includes an "and" or "&", try interchanging these if you are having difficulty finding your organization. If your organization is found in the list, please select the name. The current due diligence status will appear - either Due Diligence Complete or Requires Fiscal Sponsor. If your organization does not appear, please select Organization Not Found from the top of the dropdownlist. A status of Due Diligence Required will appear. Note: If you have received a grant from the Foundation in the past but do not find your name, due diligence renewal may be required. The Foundation will begin required due diligence processes once an application is fully submitted.	
*Organization legal name / Status:	Rowan County Department of Social Services - [1813 E. Innes Street, Salisbury, NC, 28146] Due Diligence Approval Completed
Organization Information	
Please re-enter the organization's legal name below, and enter the current mailing and contact information.	
*Organization legal name:	Rowan County Department of Social Services
Organization AKA or DBA name:	Rowan One Church One Child Program (ROCOC)
*Mailing address:	1813 E. Innes Street
Mailing address line 2:	
*Mailing city:	Salisbury
*Mailing state:	North Carolina
*Mailing county:	Rowan County
*Mailing zip:	28146
*Organization phone:	704-267-7996
Organization web address:	www.rowancountync.gov/rococ
Application Contact Information	
*Salutation:	Rev.
*Contact first name:	Jon
*Contact last name:	Hunter
*Contact title:	Rowan One Church One Child Program Coordinator
*Contact telephone number:	704-267-7996
*Contact email address:	jon.hunter@rowancountync.gov
*Is the contact person listed above also the executive director of the organization?	No
*Salutation:	Mrs.
*Executive director first name:	Donna
*Executive director last name:	Fayko
Supplemental Information	
*EIN:	56-6000336

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

*Annual operating budget:	\$56,800
Project Request Information	
*Project title: (8 word max.)	Safety Support Services - Transforming Abused/Neglected Children's Lives
*Total project budget:	\$65,300
*Grant request amount:	\$9,950

Organization Overview

Organization Overview	
*Organization mission:	Partnering with RCDSS, churches, foundations, civic groups, citizens, businesses and community partners to be agents of change: improving the lives of Rowan County children and teenagers, who are victims of trauma due to child neglect and abuse; providing life necessities for children in the care of parents or relatives who seek to provide a loving, stable, healthy and protective home environment; keep birth families intact; breaking the multi-generational cycle of abuse or neglect; decreasing the need for parents to seek alternative ways to relieve stress or earn income through illegal activities; thus placing children at higher risk for further trauma.
*Organization core services: (100 word max.)	ROCOC provides children and teenagers with new beds, chest of drawers, car seats, high chairs, strollers, smoke and carbon monoxide detectors, personal hygiene items, underwear, socks, diapers, baby wipes, and school supplies. ROCOC also purchases used appliances and furniture for families. Children, teens and their caregivers can visit the ROCOC Assistance Center to receive gently used and/or new clothes, shoes, school uniforms, household items, cookware, flatware, small appliances, dishes, books, toys, baby items, blankets, comforters, sheets, and towels. THERE IS NO COST TO THE RECIPIENTS.
*Please provide the estimated number of volunteers for your organization (specific to your local unit, if applicable).	123

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

***Please provide a brief description as to how you engage volunteers to further your mission.**

Volunteers are trained and empowered to recruit new member organizations through personal contact, presenting public programs, and communicating with the ROCOC Program Coordinator, about the progress of new organization recruitment efforts. Volunteers inform groups that they belong to and neighboring churches about our mission, seeking to recruit new member groups and increase community donor support from Rowan County businesses, citizens and community partners.

Each member church or organization has an ROCOC Coordinator whose job is to: educate their congregation or organization about ROCOC's Mission; the needs of children that we serve; engaging the church or organization to sponsor at least one project or event per year to assist our clients; and assist DSS in the recruitment of foster parents for children in foster care in Rowan County.

Volunteers have specific duties at the ROCOC Assistance Ministry Center at Main Street UMC. Some volunteers greet donors and process and log their donations. Other volunteers assist clients with securing life essential items, and log items that the client receives.

Volunteers sort and process donations placing them in the proper location at the Assistance Center.

One volunteer works in the office writing thank you letters to donors. A new volunteer is acting as our Outreach, Recruitment, Retention and Member Church Motivation Coordinator. This volunteer is contacting and following up with prospective member congregations, organizations, civic groups, potential businesses and individuals who are prospective financial or material good donors. Another volunteer assist Rev. Hunter as Procurement Coordinator; ordering beds; appliances; furniture; and other life essential items for children. Another volunteer is Rev. Hunter's Administrative Assistant, performing data entry of donations, clients assisted, and financial donations and expenditures.

In the past year, we have established a T.O.E Committee - (Training, Outreach and Education). Committee Members are also members of the ROCOC Advisory Board. Committee Members are trained and equipped to speak to member organizations, or prospective member groups. Committee members are also trained to present information about foster parenting to groups, seeking to recruit caregivers for foster children. Committee Members plan, execute, and serve as trainers at Regional Recruitment Luncheons, seeking to recruit new member congregations and organizations from different areas in Rowan County. Committee Members assist Rev. Hunter with identifying, contacting potential guest and marketing the meeting in the area where the meeting is held.

ROCOB Board Members meet three times per year to: review donation and expenditure reports; recruit a committee to plan, execute, and facilitate the Annual Celebration Banquet in April and the Fall Coordinators, Pastors and Volunteers Meeting in October; screen purchase request that exceed the \$500 per family life time expenditure amount. The board can authorize an additional \$150.00 for life necessity items that exceed the \$500 spending limit approval of the ROCOC Program Coordinator and Supervisor.

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

Board Member responsibilities also include: community advocacy, educating the community about the mission of ROCOC; the needs of children; recruiting new member congregations; developing program policy; and voting on purchase request that exceed the ROCOC Program Coordinator's and Supervisor's approval limit.

Project Description

*Please choose one investment area that your project will address:	Human Service
*Please choose a human services result area:	People overcome life obstacles (e.g. substance abuse criminal history psychological trauma) and become productive members of society
*Brief project summary: (50 word max.)	ROCOG provides beds/life essential items for children receiving Child Protective Services. DSS receives no government funds for these services. Items are provided free to caregivers who receive no funding assistance, maintaining the child with the birth family, preventing the child from foster care placement, thus strengthening the family and community.
*What is the geographic service area being served, such as neighborhood, county-wide, etc.?	Rowan County, North Carolina - County-wide.
*If this grant supports a particular ethnic group, please select the primary ethnic group served. If not, select "Not ethnicity specific".	Not Ethnicity Specific
*If this grant supports a particular age group, please select the primary age group served. If not, select "Not age specific".	Not Age Specific
*Describe your project and expenses in detail.(200 word max.)	<p>ROCOG and its community partners seek to improve the lives of children experiencing trauma due to neglect or abuse, by assisting social workers, in their mission to: keep families intact; ensure the safety and well-being of Rowan County children; meet the physical needs of children and teenagers whose birth parents are struggling; and relatives who are providing care for children.</p> <p>During the grant year, funds will be used to purchase the following for children actively receiving Child Protective or Foster Care Services:</p> <ol style="list-style-type: none"> 1, New age appropriate beds to prevent unsafe and dangerous sleeping arrangements that could result in the death or injury of a child. 2. New diapers, baby wipes, socks, underwear, and personal hygiene items to ensure proper hygiene for children. 3. New car seats, high chairs, and strollers for child safety. 4. Used appliances providing clean clothes and properly prepared food. 5. Chest of drawers and used furniture.

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

<p>*Detail the project timeline. Include key milestones and dates, where applicable. (150 word max.)</p>	<p>Since November, 2006, the ROCOC Ministry has been a continual program that seeks to meet the increasing needs of abused/neglected children. During the grant year funds will be used to purchase items previously listed for these children and their families.</p> <p>From 2006-2018, assistance has been given to children and teens, 16,213 times.</p> <p>Purchase cost of life essential items have risen by 87% from 2015-2017. Children assisted through purchases have grown from 365 to 550 children during this time frame.</p> <p>Bed cost continue to be our greatest expenditure, representing 61% of the total budget spent.</p>
<p>*What makes your organization unique and effective, as compared with other organizations working in your geographic area with similar populations? What is your organization doing to limit duplication or overlapping services? (200 word max.)</p>	<p>There are no other programs in Rowan County that: assist children and teenagers who are victims of abuse, neglect, or dependency; currently receiving Child Protective or Foster Care Services from the RCDSS Children's Services Division; with beds and other life essential items; at no cost to the birth parent or relative caregiver. Therefore, there are no duplication of services by other organizations in Rowan County.</p> <p>Rev. Hunter, talks with representatives of Local Non-Profit Services Agencies in Rowan County, in order to assure that these agencies are not offering the same services that are offered by ROCOC.</p>

Results Description

<p>*What results are you committed to achieving during the grant period? What metrics will you use to evaluate whether your project is successful? (100 word max.)</p>	<p>Assist RCDSS social workers in establishing a safe environment for children by: meeting the families basic needs; keeping the child with birth parents or relatives; decreasing the need to place the child in foster care.</p> <p>The project will provide approximately 325 beds, 56 car seats, 122 used appliances and furniture items, to families. If fully funded, this grant will provide approximately 56 beds, 16 car seats, and 12 appliances and furniture items.</p> <p>RCDSS tracks case progress, the children entering foster care, and outcomes for every child. ROCOC tracks the types of purchases made and the number of children assisted.</p>
<p>*How many participants will you serve?</p>	<p>2250</p>
<p>*What percentage of participants do you expect to achieve the results (enter a value up to 100% include the percentage sign in your response)?</p>	<p>97</p>
<p>*Explain how you arrived at the numbers above (50 word max.):</p>	<p>In 2017, ROCOC Center assistance grew by 17.79%. Purchases increased on average 33.62% (2014-2017). In 2019, 967 clients will receive material goods, 735 children will receive purchased items, and 548 children will receive Star Tree gifts. ROCOC and DSS tracking systems indicate that 97% of children will obtain desired outcomes.</p>

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

*Who is the person or persons who will lead this project? What factors in that leader most predict success? (100 word max.)	Rev. Hunter, the ROCOC Program Coordinator leads the project. He has 19 years of service with RCDSS and has served as a pastor for 36 years. Rev. Hunter has a proven track record: recruiting new congregations; maintaining the participation of congregations; and increasing financial and material good donations from member congregations, community partners and local foundations. The Program has won Best Practice Awards from the National One Church One Child Program and from the North Carolina Division of Social Services. In October 2016, the Rowan County Board of Commissioners recognized Rev. Hunter for leading the Program to high achievement levels.
*What have you achieved in the past three years that contributes to your organization's success? (100 word max.)	During the past 3.7 years, ROCOC has significantly improved the outcomes of families remaining intact, either in the birth family or with relative care providers. ROCOC has helped to reduce the number of children in foster care in Rowan County, because life essential items have been provided to families during the Child Protective Services Assessment. ROCOC has improved the well-being and safety of 7,062 children/teenagers. \$144,663.96 has been spent purchasing items for children. Referrals have increased dramatically during the past three years. Continual research has been paramount in finding new streams of funding to continue to meet the increased needs.

Financials and Project Budget

Financials	
*Please attach your organization's annual budget for the current fiscal year, including income and expenses.	2018 Budget and Reports.pdf
*Please attach your organization's annual budget for the previous year, including income and expenses.	2017 Budget.pdf
*Net assets of organization (as reported on 990):	\$44,611
*Does your organization conduct an audit?	Yes
*What is the most recent audit date?	6/30/2018
Project Budget	

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

*If you were to receive partial funding, how would this impact your ability to accomplish your goals? (100 word max.)	Many children will not receive necessary life essential items, reducing our capacity to assist to children who have been sexually, physically or emotionally abused, neglected, or dependent. The ROCOC Ministry faces an increasing challenge securing funds to meet the growing needs of children. Reduced funding will result in more Rowan County children sleeping on the floor or with adults, failing to alleviate unsafe sleeping arrangements for children, which can result in injury to or death of the child. Caregivers will not receive necessary tools to provide a safe, healthy, clean, and stable home environment for abused/neglected children.
Please open and read the Project Budget Instructions before completing the budget template. Once you have read the instructions,download the budget template.Complete the template and save it as a PDF file on your computer.Once saved, click the browse button and attach the PDF file to your application. To learn more about converting a file to PDF, click on the Creating PDFs tab on the bottom of your dashboard page.	
*Upload complete project budget	2019 Salisbury Rowan Community Project Budget.pdf

Submittal Page

Demonstration of Eligibility	
*Organization legal name / Status:	Rowan County Department of Social Services - [1813 E. Innes Street, Salisbury, NC, 28146]Due Diligence Approval Completed
*Attach a copy of your organization's current Board of Directorslist:	Advisory Board Members.pdf
Additional Attachments	
*Do you need licensing, zoning or other regulatory approval to conduct the project?	No
*Is your organization working in partnership with one or more organizations?	No
If necessary, please add clarifying information regarding the attachments.	
Certification	
*Do you certify that the executive director and board of directors have approved submittal of this grant request, all information provided is accurate to the best of your knowledge and the project and schedule as presented will be adhered to?	Yes
*Signature of representative requesting grant:	

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

2018 Project Budget Template Rowan County DSS Rowan One Church One Child Program

Section One: 2018 Project Income

Funding Sources	Amount
Salisbury Community Foundation	\$ 6,000.00
Margaret C. Woodson Foundation	\$ 8,000.00
The Blanche and Julian Robertson Family Foundation	\$ 5,000.00
Uwharrie District of the UMC	\$ 15,000.00
First UCC Foundation	\$ 1,000.00
Member Congregations	\$ 12,000.00
Individual Donors	\$ 7,000.00
Business Donors	\$ 2,800.00
Total Income	\$ 56,800.00

Section Two: 2018 Project Expenses

Expense Item	Amount
Pack-n-plays, cribs, bassinets, toddler beds, twin beds, bunk beds, mattresses	\$ 33,400.00
Used Appliances	\$ 6,800.00
Used furniture and new chest of drawers	\$ 5,500.00
Utility and rent assistance	\$ 1,200.00
Diapers, wipes underwear, socks	\$ 1,800.00
Car and booster seats	\$ 1,700.00
Personal hygiene items	\$ 400.00
Baby Items- formula, high chairs, strollers, baby gates	\$ 800.00
Pest extermination	\$ 1,200.00
Child Safety Items	\$ 350.00
Links Birthday Cards	\$ 250.00
Other life essential items	\$ 3,400.00
Total Expenses	\$ 56,800.00

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

Rowan One Church One Child Ministry
Rowan County Department of Social Services
Financial Report
January 1, 2018 – July 31, 2018

Income

Source	Amount
Foundations	\$34,600.00
Churches	\$10,374.18
Individual donors	\$ 3,088.00
Businesses	\$ 1,050.00
TOTAL INCOME	\$49,112.18

Expenses:

Type of purchase	2018 7 months spent Amount	2018 expected to spend based on 7 months	2018 actual budget	2019 budget
Beds, mattresses, cribs, bassinets, pack-n-plays	\$23,803.29	\$20,416.67	\$35,000	\$40,550
Used appliances and furniture and new chest of drawers	\$ 4,776.73	\$ 6,708.33	\$11,500	\$ 7,500
Utilities, Rent, & Food	\$ 1,917.60	\$ 583.33	\$ 1,000	\$ 4,000
Booster/car seats	\$ 1,412.89	\$ 991.66	\$ 1,700	\$ 3,250
Other Items: smoke and carbon-monoxide detectors, baby safety items, high chairs, strollers, door and window alarms, Links Birthday Gift Cards (for 12 children) Teens age 16-18 participating in the RCDSS Independent Living Training Program, pesticides, formula, baby bottles, school uniforms and shoes, diapers, wipes, socks, underwear,	\$ 3,557.25	\$ 4,433.33	\$ 7,600	\$10,000
Total purchases	\$35,467.67	\$33,133.32	\$56,800.00	\$65,300.00

Jon Hunter, ROCOC Program Coordinator

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

Rowan One Church One Child Ministry Rowan County Department of Social Services Expenditure Comparison Chart January 1 – July 31

Expenses:

Type of purchase	2014	2015	2016	2017	2018
Beds, mattresses, cribs, bassinets, pack-n-plays	\$ 7,647.83	\$ 7,912.79	\$ 13,527.48	\$ 16,358.43	\$ 23,803.29
Used furniture, appliances and new chest of drawers	\$ 2,194.19	\$ 1,610.10	\$ 3,017.29	\$ 5,943.90	\$ 4,776.73
Utilities, Rent, & Food	\$ 827.34	\$ 587.52	\$ 1,497.88	\$ 499.99	\$ 1,917.60
Booster and car seats	\$ 503.95	\$ 276.63	\$ 880.01	\$ 813.42	\$ 1,412.89
Other Items: Diaper, wipes, underwear, and socks; Personal Hygiene Items; Baby Items – formula, high chairs, strollers, baby gates; Pest extermination; Child safety items - baby gates, lockboxes for medications, smoke and carbon monoxide detectors, fire extinguishers, toilet, oven door, and cabinet safety locks, door and window alarms; Gas cards for families to have the ability to get to DSS for visits with their children, and to take children to medical and therapy appointments, yard trash removal from a home that was a danger to the children in the home, school uniforms, plastic storage bins for clothes, clothes, shoes, humidifier, door knob without lock, therapeutic counseling assessment fee; Links Birthday Gift Cards (for 12 children) Teens age 16-18 participating in the RCDSS Independent Living Training Program.	\$ 654.01	\$ 2,386.06	\$ 3,207.24	\$ 3,947.25	\$ 3,557.16
Totals	\$ 11,827.32	\$ 12,773.10	\$ 22,129.90	\$ 27,562.99	\$ 35,467.67

Cost Increase Percentages Comparisons

<u>January 1 – July 31</u>	<u>Total Percent of Expenditure Increase</u>
<u>2014-2015</u>	<u>8%</u>
<u>2015-2016</u>	<u>73.25%</u>
<u>2016-2017</u>	<u>24.55 %</u>
<u>2017-2018</u>	<u>28.68%</u>
<u>Growth from 2014 to 2018</u>	<u>199.881%</u>

Bed Cost Percentages of Total Expenditures

<u>January 1 – July 31</u>	<u>Bed Cost Percentages of Total Expenditures</u>
<u>2014</u>	<u>64.66%</u>
<u>2015</u>	<u>61.95%</u>
<u>2016</u>	<u>61.13%</u>
<u>2017</u>	<u>59.35%</u>
<u>2018</u>	<u>67.08%</u>

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

2017 Annual Year Actuals

Section One: 2017 Revenue

Funding Sources	Amount
Donations from Individuals, Businesses, Organizations, and Concert Offerings	12,942.68
Church Donations	10,501.64
Foundation Grants	29,000.00
Total Income	52,444.32

Section Two: 2017 Expenses

Funding Sources	Amount
Beds (Full, Twin, Bunkie, Crib, Pack-n-Play)	29,229.12
Utilities, Rent, and Food	499.99
Appliances	4,824.51
Furniture	3,885.39
Car and Booster Seats	1,160.43
Other - socks, underwear, diapers, wipes, school supplies, pesticides, personal hygiene products, sheets, towels, bed pillows, blankets, smoke and carbon monoxide detectors, Birthday Gift Cards for teens ages 16-18 in foster care in the Independent Living Training Program, high chairs, baby gates, formula, strollers, small appliances, fans, room heaters, exit door alarms, baby bottles, cleaning supplies.	8,332.03
2017 Total Expenses	47,931.47

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

2019 Project Budget Template Rowan One Church One Child Ministry

Section One: Projected Income

Funding Sources <i>(list each one)</i>	Amount	Are Funds Requested or Committed?
Salisbury-Rowan Community Foundation	\$ 9,950.00	Requested
Uwharrie District of the UMC	\$ 15,000.00	Will request in next grant cycle
First United Church of Christ Foundation	\$ 1,000.00	Will request in next grant cycle
Robertson Foundation	\$ 5,000.00	Will request in next grant cycle
Woodson Foundation	\$ 8,000.00	Will request in next grant cycle
Donatons from Individuals	\$ 5,300.00	Anticipated
Donatons from Churches	\$ 14,050.00	Anticipated
Donations from Businesses	\$ 7,000.00	
Total Income	\$ 65,300.00	

Section Two: Projected Expenses

Expense Item <i>(list each one)</i>	Amount	Amount from This Grant Program
Beds (full, twin, bunk, toddler, crib, pack-n-play)	\$ 40,550.00	\$ 7,000.00
Utilities, Rent, Food	\$ 4,000.00	\$ -
Used Appliances and Furniture	\$ 7,500.00	\$ 1,500.00
Car and Booster Seats	\$ 3,250.00	\$ 1,000.00
Other- pesticides, smoke and carbon-monoxide detectors, baby safety items, high chairs, strollers, door and window alarms, school uniforms, shoes, formula.baby bottles, diapers,	\$ 10,000.00	\$ 450.00
wipes, socks, and underwear.	\$ -	\$ -
	\$ -	\$ -
Total Expenses	\$ 65,300.00	\$ 9,950.00

Note: The total income (B17) should match the total expenses (B33).

Note: Anticipated deviations from any line item of this budget require a reallocation request to be approved by the Foundation before the funds are reallocated. Please submit requests in writing to the Foundation.

If necessary, please include any clarifying information about the project budget.

2018 Salisbury-Rowan Community Foundation Grant Program

Rowan County Department of Social Services

Advisory Board Members:

Permanent Board Members

Jon Hunter - Rowan County Department of Social Services (RCDSS) Placement Support Coordinator
Rowan One Church One Child (ROCOC) Program Coordinator and Board Chairman
Lisa Berger - RCDSS Children's Services Program Administrator
Micah Ennis - RCDSS Children's Services Program Manager
Donna Fayko - RCDSS Director
Beverly Mobley - RCDSS Rowan for Kids Program Coordinator
Nadean Quarterman - RCDSS Support Services Unit Supervisor

DSS Staff Member:

Gwen Thomason RCDSS Legal Administrative Assistant July 1, 2016 - June 30, 2019

Community Board Members

Term: July 1, 2016 – June 30, 2019

Ms. Georgia Adams - Member of Concordia Lutheran
Ms. Mildred Chinaka – Community Member
Mr. Jim Miller - Administrative Assistant at Shiloh UMC
Dr. Martha Starks - Pastor of Word of Life Family Worship

Term: July 1, 2017 – June 30, 2020

Mrs. Marjorie Beaver – ROCOC Coordinator Concordia Lutheran
Reverend Charles Gibbons - Pastor of Oak Grove UMC
Mr. Jeff Harkey - Member of Mount Tabor UMC
Mrs. Lillian Morgan - Member of Jerusalem Baptist
Mrs. Darlene Murphy - Foster Parent Trainer
Mrs. Bonnie Walser - Member of Main Street UMC
Mrs. Kelley Williams - ROCOC Coordinator at St. Matthew's Lutheran Church

Term: July 1, 2018 – June 30, 2021

Ms. Joann Diggs - ROCOC Coordinator at Faith Temple Triumphant Ministries
Reverend Randy Foster - Pastor of Maupin Avenue Presbyterian
Mrs. Chloe Goho - Member of Milford Hills United Methodist
Reverend Richard Gould - United Methodist Pastor
Mrs. Laurie Ward - ROCOC Coordinator at Salisbury Seventh - day Adventist Church

Board of Social Services

DeeDee Wright – Chairperson
James Sides
Judy Klusman
Ethel Bamberg-Reavis
Douglas Smith

Rowan County Board of Commissioner

Greg Edds – Chairperson
Jim Greene
Mike Caskey, Jr.
Judy Klusman
Craig Pierce
Aaron Church – County Manager

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Casey Robinson, Tax Collection Specialist
DATE: 09/06/2018
SUBJECT: Tax Refunds for Approval

ATTACHMENTS:

Description	Upload Date	Type
July 2018 VTS Refunds	9/6/2018	Cover Memo
August 2018 Tax Refunds	9/6/2018	Cover Memo



9-21-18

JULY 2018 VTS REFUNDS

TAXPAYER	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP	TRANS #	REFUND REASON	REFUND
ALLEN, JASON PHILLIP	630 PALMER RD		ROCKWELL	NC	28138	90133272	Over Assessment	\$ 75.54
BARRIER, RICKY ALLEN	2165 SAW RD		CHINA GROVE	NC	28023	90478408	Tag Surrender	\$ 101.94
BEAVER, TERESA ANN	550 HUMMINGBIRD CIR		SALISBURY	NC	28146	90009718	Mileage	\$ 14.90
BLACK, CHARLES ARTHUR	112 CELTIC CIR		SALISBURY	NC	28147	135717363	Tag Surrender	\$ 45.19
BONNEY, LINDA LEE	25 HORNBEAM RD		SCITUATE	MA	2066	135901308	Tag Surrender	\$ 80.88
BYRD, PHILIP MARION III	321 W HORAH ST	APT 2	SALISBURY	NC	28144	136039986	Vehicle Sold	\$ 58.87
CHAMBERS, TIFFANY ELAINE	PO BOX 631		EAST SPENCER	NC	28039	89773186	Insurance Lapse	\$ 72.38
CLARK, MARY ESTHER	1009 S FRANKLIN ST		CHINA GROVE	NC	28023	90600318	Vehicle Sold	\$ 58.29
COWAN, MARJORIE BOSTIAN	575 MOUNT TABOR CHURCH RD		CLEVELAND	NC	27013	89919186	Vehicle Sold	\$ 23.02
DRY, ROBERT JULIAN II	PO BOX 90		GRANITE QUARRY	NC	28072	90992290	Vehicle Sold	\$ 112.36
FIERRO ROMERO, LUIS ANGEL	612 S ZION ST		LANDIS	NC	28088	90253924	Vehicle Sold	\$ 484.40
FLEMING, EVA MAE	200 GRANT ST APT 54		SALISBURY	NC	28144	179544916	Situs error	\$ 15.25
FOLGER, SYLVIA JEAN	8308 GRANDEUR DR		SALISBURY	NC	28146	90077676	Vehicle Sold	\$ 81.30
FULCHER, RONALD BARRETT	335 ACORN OAKS DR		SALISBURY	NC	28146	90077682	Vehicle Totalled	\$ 64.94
FULCHER, RONALD BARRETT	335 ACORN OAKS DR		SALISBURY	NC	28146	89773068	Vehicle Sold	\$ 29.51
GAMEWELL, MARK FRASER	PO BOX 854		FAITH	NC	28041	90077668	Vehicle Sold	\$ 18.23
HARLESS, JOHN DAVID	140 DOCKSIDE DR		SALISBURY	NC	28146	89919222	Vehicle Sold	\$ 2.40
HARLESS, JOHN DAVID	140 DOCKSIDE DR		SALISBURY	NC	28146	89919238	Vehicle Sold	\$ 79.31
HESS, CAROLYN HOLSHOUSER	116 WOOD DUCK RUN		SALISBURY	NC	28146	89919380	Vehicle Sold	\$ 49.19
HINSON, ANGELA	1815 WEBB RD		SALISBURY	NC	28146	89919598	Vehicle Sold	\$ 11.14
HORTON, ARNOLD MACK	325 KINGS DR		SALISBURY	NC	28144	90349092	Over Assessment	\$ 73.72
JAMES, KIARA AIYANA	1912 BRIAR RUN DR 244 S Kenwood St		GREENSBORO	NC VA	27405	90915708	Reg . Out of state	\$ 40.10
JENNINGS, FRED JAMES	1019 NEWSOME RD		SALISBURY	NC	28146	134659593	Vehicle Sold	\$ 18.75
JONES, COURTNEY KENNEDY	1035 CEDAR HILL DR		ROCKWELL	NC	28138	89919018	Vehicle Totalled	\$ 172.19
JORDAN, JUDY CANIPE	PO BOX 5055		MOORESVILLE	NC	28117	135717330	Vehicle Sold	\$ 53.09
KANIPE, JERRY EUGENE	205 FAIRVIEW ST		SALISBURY	NC	28146	135717336	Vehicle Sold	\$ 32.00
KINTER, RICHARD LEROY	7320 BRINGLE FERRY RD		SALISBURY	NC	28146	90693298	Over Assessment	\$ 32.24
LUMSDEN, CHARLES EARL	2891 N CANNON BLVD		KANNAPOLIS	NC	28083	90991854	Vehicle Sold	\$ 13.26
MORRIS, TEDDY JEFFERSON	403 CRESTWOOD LN		SPENCER	NC	28159	89919370	Vehicle Sold	\$ 12.78
MOULTON, DAVID HERBERT	535 PRICE RD		SALISBURY	NC	28146	90077930	Vehicle Sold	\$ 15.46
MURRAY, KAREN MARIE	210 LAY LOW LANE		SALISBURY	NC	28147	91227826	Tag Surrender	\$ 242.39
PAVELKO, WAYNE JOHN	307 GRAYSON DR		SALISBURY	NC	28147	90077672	Vehicle Sold	\$ 69.05
PETERS, DANIEL WILLIS	384 ECHO HILL DR		SALISBURY	NC	28146	89919254	Vehicle Sold	\$ 11.51
PIERCE, JEFFREY BRENT	120 OLD PEAFIELD RD		ST GEORGE	SC	29477	89687578	Used incorrect date	\$ 213.75
PLUMMER, BECKY SHARPE	604 E 12TH ST		KANNAPOLIS	NC	28083	136373628	Vehicle Sold	\$ 12.74
PLUMMER, MAURICE STEPHEN	750 WHITE FARM RD		SALISBURY	NC	28147	89687588	Vehicle Totalled	\$ 31.05
POPE, LINDA LANIER	96 CENTRAL AVE		CHINA GROVE	NC	28023	90077678	Vehicle Sold	\$ 224.82

Sonya Parnell
Tax Collections Manager

(58)

Batch 7440

PUFFER, JAMES THOMAS	120 BARN VIEW LN		WOODLEAF	NC	27054	89773420	Vehicle Totalled	\$	47.45
RAMIREZ, AZUCENA	1112 GRACE AVE		KANNAPOLIS	NC	28083	135116538	Vehicle Sold	\$	79.87
REYER, ALETA M	829 VINE ST		SALISBURY	NC	28146	135199929	Vehicle Sold	\$	55.21
RIDENHOUR, NANCY EUDY	2705 SIDES RD		ROCKWELL	NC	28138	90915756	Vehicle Sold	\$	8.12
RINCON HURTADO, CANDELARIO	611 LAKEWOOD DR		SALISBURY	NC	28147	134531391	Tag Surrender	\$	132.35
RITCHIE, MARY ANN	PO BOX 5032		CHARLOTTE	NC	28299	89919146	Vehicle Sold	\$	100.95
RODRIGUEZ, ALTAGRACI	105 GRANVILLE LN		SALISBURY	NC	28146	91228090	Tag Surrender	\$	45.38
RUCKER, DONNA SIMILTON	PO BOX 116		CHINA GROVE	NC	28023	90253412	Vehicle Sold	\$	14.11
SAFRIT, DOUGLAS SCOTT	PO BOX 614		FAITH	NC	28041	90915738	Vehicle Sold	\$	7.90
SAFRIT, DOUGLAS SCOTT	PO BOX 614		FAITH	NC	28041	90915744	Vehicle Sold	\$	151.58
SATTERFIELD, RODNEY EUGENE	316 E 1ST AVE		CHINA GROVE	NC	28023	90600940	Vehicle Sold	\$	92.11
SCHENK, BARBARA SLOOP	1450 COUNTRY HILL DR		SALISBURY	NC	28147	89919602	Vehicle Totalled	\$	9.14
SHAW, DARREN PATRICK	209 TIMBERLAKE DR		SALISBURY	NC	28147	90915730	Vehicle Sold	\$	13.47
SPAIN, HERBERT MICHAEL	121 S MILFORD DR		SALISBURY	NC	28144	135523224	Vehicle Sold	\$	154.24
SPAIN, JANEL LOUISE	121 S MILFORD DR		SALISBURY	NC	28144	135523215	Vehicle Sold	\$	16.98
STEPHENSON, THOMAS E	205 MILFORD HILLS RD		SALISBURY	NC	28144	89773486	Vehicle Sold	\$	4.21
TARLTON, JAMES ERNEST	106 EAST AVE		SALISBURY	NC	28146	89919610	Vehicle Sold	\$	5.13
WARD, VALERIE DENISE	12612 N LAMAR BLVD #2107		AUSTIN	TX	78753	90348984	Reg . Out of state	\$	46.11
WHITE, JAMES LEVI	206 FRONTIER CIR		CHINA GROVE	NC	28023	90915736	Vehicle Sold	\$	10.33
WOOTEN, JENNIFER LYNN	137 CROSS DR		SALISBURY	NC	28146	134530851	Vehicle Sold	\$	231.56
ZIELINSKI, JOSEPH STEPHEN	241 ALLEGHANY DR		SALISBURY	NC	28147	90478228	Vehicle Sold	\$	157.62
							TOTAL:	\$	4,131.76

Yanys Parnell
Tax Collections Manager

ENTERED
9-4-18

9-21-18

AUGUST 2018 TAX REFUNDS

TAXPAYER 1	TAXPAYER 2	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP	DESCRIPTION	TRANS #	REFUND	TAXPAYER ID
A&W INVESTMENTS OF CONCORD LLC	NA	6826 KLUTTZ RD	NA	CONCORD	NC	28025-0000	507 E 11TH ST	842878	708.25	6337375
ADAMS BILLY LINN	NA	130 CONCORDIA CHURCH RD	NA	CHINA GROVE	NC	28023-8764	130 CONCORDIA CHURCH RD	844729	3.39	6086957
ADAMS JOHNNY G & WF	ADAMS MARGARET S	380 PAIGE DR	NA	SALISBURY	NC	28147-5601	380 PAIGE DR	838644	5.44	6258698
ADKINS JUSTIN DEVANTE RASHAD	NA	PO BOX 521	NA	EAST SPENCER	NC	28039	2006 PONT 45	844028	33.90	6345400
ADKINS NANCY R	NA	400 N BEAVER ST	NA	LANDIS	NC	28088-1105	400 N BEAVER ST	836422	6.41	2445000
ADVANTAGE TITLE, LLC	NC IOLTA TRUST ACCOUNT	137 MAIN STREET	NA	BAY ST LOUIS	MS	39520	350 TREXLER RD	840980	1491.08	6027804
AGUIRRE MIGUEL ANGEL	DON MIGUEL'S	635 JAKE ALEXANDER BLVD W	NA	SALISBURY	NC	28147	SECT SCH E10 CLASS EQ ASM# 00	839529	1.52	6341535
AIREY DIANE CAMPBELL	NA	1225 EDGEWOOD CT	NA	SALISBURY	NC	28147-9288	1225 EDGEWOOD CT	839076	255.63	6267380
ALDERSON RAY LEE & WF	ALDERSON DALE W	PO BOX 52	NA	WOODLEAF	NC	27054-0052	9060 COOL SPRINGS RD	836450	3.88	4335000
ALEMAN EDDY ANTONIO	NA	102 LOG CABIN RD	NA	STATESVILLE	NC	28677-0000	260 PHIFER RD	837417	1.05	6336304
ALEXANDER SANDEE LEIGH	NA	730 BOSTIAN RD	NA	CHINA GROVE	NC	28023-9214	2003 CHAPARREL I/O	845967	6.76	6245109
ALL-SCAPE LAWNS LANDSCAPING &	NA	1105 LOWER STONE CHURCH RD	NA	ROCKWELL	NC	28138	SECT SCH US CLASS CE ASM# 00	840879	1.22	701586
ALLEN NANCY YVETTE	NA	217 EPHRAIM DR	NA	SALISBURY	NC	28144-8831	2004 FORD EPR MP EXPLORER XLT 4X2 4D	844166	36.55	6217300
ALLISON CATHERINE E	NA	PO BOX 97	NA	ROCKWELL	NC	28138-0097	825 W HORAH ST	836526	8.07	6188363
ALLISON DAVID RAY	NA	PO BOX 97	NA	ROCKWELL	NC	28138-0097	501 LOWER STONE CHURCH RD	836485	5.04	6060504
ALPINE INC	NA	1650 LUTHERAN SYNOD DR	NA	SALISBURY	NC	28144-5767	CLASS SP ASM# 000	846152	1.67	6227651
AMROCK INC	NA	662 WOODWARD AVE	NA	DETROIT	MI	48226	110 S CORRELL ST	841088	11.10	6187695
AMROCK INC - NORTH CAROLINA	NA	662 WOODWARD AVENUE	NA	DETROIT	MI	48226	1416 ARBOR DR	843930	1465.80	6258262
AMROCK INC - NORTH CAROLINA	NA	662 WOODWARD AVE	NA	DETROIT	MI	48226	226 STONE MILL CIR	845829	1228.95	6236720
AMROCK INC - NORTH CAROLINA	NA	662 WOODWARD AVENUE	NA	DETROIT	MI	48226	313 ASHLEY DR	845828	1276.29	6324728
AMROCK INC - NORTH CAROLINA	NA	662 WOODWARD AVE	NA	DETROIT	MI	48226	411 MITCHELL AV	842671	12.98	6298020
ANDRE KIRA J	NA	580 CLINE RD	NA	MT ULLA	NC	28125-0000	580 CLINE RD	838664	811.64	6344701
AQUA NORTH CAROLINA INC	NA	762 LANCASTER AVE	NA	BRYN MAWR	PA	19010	SECT SCH A14 CLASS EQ ASM# 00	836866	48.66	6250771J
ARBAIZA MARIANO & WF LEONOR	ARBAIZA RAMON	2311 COLSTON DR	NA	SILVER SPRING	MD	20910-0000	793 AIRPORT RD	839798	17.50	6208611
AREY LONZO HOWARD	NA	4935 BRINGLE FERRY RD	NA	SALISBURY	NC	28146-7111	4935 BRINGLE FERRY RD	837190	4.87	12990000
ARTS CUBE LLC	ATTN BRANNON WILLIAMS	1205 SUMTER CT	NA	SALISBURY	NC	28144	CLASS SP ASM# 000	840872	1.23	6335396
ARTZ JOHN A T/A	NA	152 FISHER FARM CT	NA	CHINA GROVE	NC	28023-5738	SECT SCH A10 CLASS EQ ASM# 00	839163	2.73	6172328A
AUSTIN JO ANN FUNDERBURK	NA	5630 HIGHWAY 152 E	NA	ROCKWELL	NC	28138-8862	175 SUNSHINE LN	839258	2.06	6316596
BACKYARD STORAGE SOLUTIONS LLC	ATTN PROPERTY TAX DEPT	1000 TERNES DR	NA	MONROE	MI	48162-5224	SECT SCH A10 CLASS LI ASM# 00	844069	4.00	628324701
BAKER JAMES EMERSON	NA	160 WYANT RD	NA	ROCKWELL	NC	28138	1990 FORD	839195	2.29	19285000
BAKERCORP	NA	3020 OLD RANCH PARKWAY #220	NA	SEAL BEACH	CA	90740	SECT SCH B8 CLASS EQ ASM# 00	844097	1.75	70288601
BARBEE KAREN SUSAN	NA	3460 OLD MOCKSVILLE RD	NA	SALISBURY	NC	28144-8807	3460 OLD MOCKSVILLE RD	843023	22.22	6612
BARBEE TERA HOBBS & HUS	BARBEE MICHAEL	410 SYLVAN RD	NA	CHINA GROVE	NC	28023-6795	410 SYLVAN RD	844702	1.70	6066976
BARGER BRAXTON RITCHIE	NA	1055 GOODNIGHT RD	NA	SALISBURY	NC	28147	1996 WSTR MM8482	841661	3.48	26517
BARNES TIMOTHY A & WF	BARNES REBECCA P	175 TROUTMAN RD	NA	ROCKWELL	NC	28138-9518	175 TROUTMAN RD	842507	23.09	6059097
BARNHARDT DWIGHT A & WF	BARNHARDT GAIL	460 GOODMAN RD	NA	CHINA GROVE	NC	28023-9702	1995 ROAD PERMTAG	845853	13.89	26935000
BARNHARDT PHILIP W & WF	BARNHARDT RHONDA H	220 GOODWIN RD	NA	SALISBURY	NC	28146-8747	110 GOODWIN RD	844750	2.67	6237609
BARRINGER DWIGHT MOODY	C/O ANITA BARRINGER	2973 LEOTAR CIR	NA	SANTA CRUZ	CA	95062-0000	350 BARRINGER RD	844950	3.15	6016118
BARTLETT ERNEST DELANE & WF	BARTLETT ELIZABETH R	PO BOX 94	NA	CLEVELAND	NC	27013-0094	2650 RARY RD	836428	11.06	30483500
BARTON JENNIFER BRADFORD	NA	1015 HOUSTON LN	NA	CLEVELAND	NC	27013-8885	1986 KNOX 00014 00070	837346	7.73	6116335
BAUCOM KENNETH MAX	NA	140 JOHNSON DAIRY RD	NA	ROCKWELL	NC	28138-9745	140 JOHNSON DAIRY RD	843525	189.66	6098288
BAUCOM KENNETH MAX	NA	140 JOHNSON DAIRY RD	NA	ROCKWELL	NC	28138-9745	JOHNSON DAIRY RD	843597	9.07	6098288
BAXTER DARYLE LEN	NA	1670 CANNON FARM RD	NA	CHINA GROVE	NC	28023-6541	1670 CANNON FARM RD	840968	2.82	6676
BAYNARD ROGER DALE	NA	650 DILLON TRACE ST APT 34	NA	SUMTER	SC	29153	2007 CARO 00014 00066	839282	107.40	6678
BAYNES MARK W & WF	BAYNES VIRGINIA L	212 CEDAR LAKE DR	NA	STATESVILLE	NC	28625-8018	1470 KETCHIE RD	844835	13.33	33639000
BEAVER LINDA	NA	325 BROOKWOOD DR	NA	SALISBURY	NC	28146-8837	325 BROOKWOOD DR	839335	3.99	6111777
BEAVER LP	NA	6311 WRIGHT RD	NA	KANNAPOLIS	NC	28081-8936	BEAVER RD	841869	40.75	6258862
BEAVER TOMMY KALE & WF	BEAVER ROSALIND REINHARDT	330 ERSKINE DR	NA	CHINA GROVE	NC	28023-6699	330 ERSKINE DR	843303	401.68	39180000
BECKHAM LOIS E	NA	140 RABBIT RUN DR	NA	CHINA GROVE	NC	28023-9701	140 RABBIT RUN DR	843517	2.73	41075000
BELK FRED H & WF	BELK SHELBY C	514 FOREST RIDGE RD	NA	KANNAPOLIS	NC	28083-0000	514 FOREST RIDGE RD	843539	15.77	6306686
BELL GLENN WILLIAM & WF	BELL YVONNE M	140 J BROWN RD	NA	SALISBURY	NC	28146-7734	2001 HOME PERMTAG	839537	16.29	6206773
BELL RODNEY WAYNE	NA	928 EMERALD BAY DR	NA	SALISBURY	NC	28146-1592	2007 RANT PERMTAG AB28146	845804	1.68	9786
BELT DANIEL CALEB &	BELT SHELBY FOWLER	265 WAGON WHEEL WAY	NA	SALISBURY	NC	28147-0000	265 WAGON WHEEL WAY	844766	8.47	6336580
BENITEZ JAIME GALARCA & WF	REYES MA ANDREA ESPINOZA	1040 APRIL LN	NA	CHINA GROVE	NC	28023-7801	1040 APRIL LN	837382	2.62	6281148
BENJAMIN JOHN WILBER & WF	BENJAMIN ELAINE SARAH	6618 BRENOCK LN	NA	CHARLOTTE	NC	28269-0000	FOSTER RD	845915	1.18	6342486
BENNETT JAMES F & WF	BENNETT VALERIA M	107 BRADFORD CT	NA	SALISBURY	NC	28146-8815	107 BRADFORD CT	841765	28.99	6258800
BEST OF CARE ASSISTED LIVING	% WALTER RUMPLE	234 NORTHDAL AVE	NA	KANNAPOLIS	NC	28081-9159	CLASS SP ASM# 000	841133	2.24	6263080
BILES TONY A & WF	BERRY-BILES JOYCE	135 S GIFFORD LN	NA	RICHFIELD	NC	28137-5761	130 S GIFFORD LN	838617	2.03	6082700
BLACK BOBBY K & WF	BLACK WANDA H	1106 S JUNIPER ST	NA	KANNAPOLIS	NC	28081-0000	295 HARRIS HILL RD	836560	4.12	6343796
BLACKWOOD PLUMBING LLC	NA	PO BOX 142	NA	WOODLEAF	NC	27054	344 BARN VIEW LN	840488	13.81	6197996
BLAKENEY EUNICE	NA	400 E F ST	NA	KANNAPOLIS	NC	28083-4941	2613 MELCHOR ST	837382	6.53	6282129

Sonya Parnell
Tax Collections Manager

Batch 7512

708

BOGGS WILLENE	NA	1300 W C ST	NA	KANNAPOLIS	NC	28081-4183	1300 W C ST	839228	8.10	51640000
BOGLE HOMER R	BOGLE MARTHA L	MSC 132	NA	CHARLESTON	SC	29409-0000	1525 BARRINGER RD	843520	9.32	51710000
BOGLE MARTHA L	BOGLE HOMER R	MSC 132	NA	CHARLESTON	SC	29409-0000	BARRINGER RD	843520	17.80	51800000
BOLICK ARTHUR L & WF	BOLICK LYNN M	3103 WINGED FOOT DR	NA	SALISBURY	NC	28144-0000	3103 WINGED FOOT DR	838505	60.00	6191500
BOLICK DONNA EARL	NA	2395 LONG FERRY RD	NA	SALISBURY	NC	28146-8439	2395 LONG FERRY RD	843059	164.64	6040336
BONNIE A SPIKEK	NA	327 RIVER BIRCH DRIVE	NA	SALISBURY	NC	28146 7444	2620 FAITH RD	841761	19.72	6344817
BOOKER THEODORE G &	BOOKER GWENDOLYN R	5517 S VENTURA DR	NA	OKLAHOMA CITY	OK	73135-0000	817 W HORAH ST	841770	590.78	6338037
BOONE WILLIAM SCOTT & WF	BOONE ROBIN P	2225 BARGER RD	NA	SALISBURY	NC	28146-5049	2225 BARGER RD	839228	8.64	53266500
BOSTIAN BILLY EDWARD	NA	375 YANKEE DR	NA	RICHFIELD	NC	28137-7967	YANKEE DR	837335	18.66	6004115
BOWDEN SIDNEY N &	BOWDEN JOYCE A	6583 ROANOKE DR	NA	KANNAPOLIS	NC	28081-7806	215 WACCAMAW DR	838741	1.07	26355
BOWERS MICHAEL DAVID	NA	1545 OAKRIDGE DR	NA	SALISBURY	NC	28146-9359	2014 ADAM PERMTAG AC80114	838778	4.00	59017500
BOYER RONALD W & WF	BOYER SANDRA C	225 OAK DR	NA	SALISBURY	NC	28144-9036	225 OAK DR	834924	17.74	6277652
BRADY & KOSOFKY, PA	NA	3065R SENNA DRIVE	NA	MATTHEWS	NC	28105	110 S MERIAH ST	838548	131.79	5797
BRANDT PATSY BEAN	NA	509 4TH ST	NA	SPENCER	NC	28159-2221	N SALISBURY AV	845634	411.27	63350000
BRILEY JANIE	% TINA D WALL	PO BOX 4332	NA	CARSON	CA	90749-4332	N LONG ST	839282	1.69	65330000
BRINGLE LESLIE G	NA	4615 MOORESVILLE RD	NA	SALISBURY	NC	28147-7652	4615 MOORESVILLE RD	836525	11.72	6187789
BROOKS REVOCABLE LIVING	TRUST OF 2008 THE	9045 SORROW FARM RD	NA	KANNAPOLIS	NC	28081-9353	9045 SORROW FARM RD	839821	1.30	6291839
BROWN BRIAN WAYNE &	BROWN AMBER NICOLE	1038 ZION CHURCH RD	NA	GOLD HILL	NC	28071-7657	1038 ZION CHURCH RD	838618	4.37	6091807
BROWN DAVID & WF	BROWN CARRIE	12523 KEMERTON LN	NA	HUNTERSVILLE	NC	28708-0000	6215 GOLDFISH RD	836560	2.11	6345552
BROWN KEN & WF	BROWN NANCY	1571 DIAMOND LAKE RD	NA	GLASTONBURY	CT	06033-4051	SUNSET POINTE DR	841133	10.73	6271926
BROWN ROSS EUGENE JR	NA	1275 COUNTRY HILL DR	NA	SALISBURY	NC	28147	1992 DIXIE	836185	68.74	60277294
BROWNING IRENE E	NA	6840 N TURNER DR	NA	KANNAPOLIS	NC	28081-8105	1983 HILLCREST 00014 00070	845891	2.76	5193068
BRYANT WILLIAM & WF	BRYANT NANCY	260 RIVERVIEW CIR	NA	SALISBURY	NC	28146-9015	2009 CAON PERMTAG AB73813	842867	16.38	6321678
BUFFALO SOLDIERS GREATER NC CH	NA	414 CANDEWICK DR	NA	SALISBURY	NC	28147-7880	2004 HAUL MLT YR TAG AC29425	844380	3.15	6333113
BURGESS HAYWOOD JR &	BURGESS EDWIN L	101 JOSEPH LN	NA	MOORESVILLE	NC	28115-0000	1265 BALFOUR QUARRY RD	840207	13.03	6154204
BURGESS JOEL	X-TRA PERFORMANCE & PHYSICAL	1504 KENTUCKY ST	NA	SALISBURY	NC	28144	CLASS SP ASM# 000	842550	63.62	6325092
BURN ELMO M JR & WF	BURN GAIL G	12835 STOKES FERRY RD	NA	GOLD HILL	NC	28071-0000	12835 STOKES FERRY RD	842892	8.58	6342854
BURNOSKI LAWRENCE JAMES	NA	220 WOODLEAF BARBER RD	NA	WOODLEAF	NC	27054-0042	2016 QUAL PERMTAG AE85054	836526	2.81	6206494
BURRIS JASON WESTLEY	NA	906 OVAL ST	NA	KANNAPOLIS	NC	28081-0000	906 OVAL ST	839718	68.67	6338696
BURRIS JASON WESTLEY	NA	906 OVAL ST	NA	KANNAPOLIS	NC	28081-0000	2009 TOYT 25	842073	35.27	6338696
BURRIS SANDRA COWARD	NA	107 MISTY MEADOWS CT	NA	MOORESVILLE	NC	28117-0000	W LIMITS ST	838631	2.11	6228523
BURTON ALBERTA & RUTH JOHNSON	HEDRICK FLOREE	% GERALD HEDRICK	10933 SHELLY RENEE DR	CORNELIUS	NC	28031-0000	130 MCCLEAVE RD	839195	2.86	23272
BUTNER CHARLES L	NA	170 READING RD	NA	SALISBURY	NC	28147-5669	170 READING RD	836480	2.81	6037106
BUZZE RACING INC	ATTN THOMAS D BUZZE	1018 GATEWAY DR STE C	NA	MOORESVILLE	NC	28115-6209	CLASS SP ASM# 000	836549	2.00	63273601
BYRD HAROLD JAMES JR	BYRD JULIA HEILIG	220 LAKESIDE DR	NA	SALISBURY	NC	28146-1223	290 LAKESIDE DR	844354	17.88	6153909
CAMPBELL DEAN E & WF	MYERS-CAMPBELL SHARON	1725 STATESVILLE BLVD	NA	SALISBURY	NC	28144	1725 STATESVILLE BLVD	841874	13.60	6335440
CAMPBELL DORIS K	NA	620 PINE RIDGE RD	NA	CHINA GROVE	NC	28023-6642	620 PINE RIDGE RD	836572	2.03	84140000
CAMPBELL RODNEY DALE	NA	260 HICKORY SPRING LN	NA	SALISBURY	NC	28146-0000	260 HICKORY SPRINGS LN	838716	3.90	16427000001
CAMPECHANO CANDIDO & ANDRADE Z	RUMBA TROPICAL	244 MCGILL AVE SW	NA	CONCORD	NC	28025-4666	CLASS SP ASM# 000	840805	1.26	60863538
CARILLON ASSISTED LIVING OF	SALISBURY LLC	ATTN DALE PITHERS OR TAX DEPT	4901 WATERS EDGE DR STE 200	RALEIGH	NC	27606-2464	CLASS SP ASM# 000	842814	53.42	6168554
CARTER LINDA KAY	NA	4325 US 601 HWY	NA	SALISBURY	NC	28147-8785	US 601 HWY	843027	59.79	6032094
CARTNER BOBBY RAY	NA	3680 NEEDMORE RD	NA	WOODLEAF	NC	27054-9788	3765 NEEDMORE RD	839448	123.19	29926
CASTLE REALTY & MGT LLC	NA	2764 PLEASANT RD	NA	FORT MILL	SC	29708	1100 W FISHER ST	846072	85.89	6335857
CASTOR ADOLPHUS H JR	NA	1215 MOUNT MORIAH CHURCH RD	NA	CHINA GROVE	NC	28023-7528	1215 MT MORIAH CHURCH RD	842078	297.00	93321000
CASTOR BRIAN LEE SR	NA	255 MAE RD	NA	SALISBURY	NC	28146-0000	1150 TYRE DR	842224	2.03	6168419
CAT SCALE COMPANY	ATTN PROP TAX DEPT	395 W IOWA 80 RD	NA	WALCOTT	IA	52773-8571	SECT SCH A10 CLASS EQ ASM# 00	844891	6.37	624716401
CAUBLE D A JR	NA	14840 SHORT CUT RD	NA	GOLD HILL	NC	28071-9612	116 S OAK ST	839499	5.12	6209959
CAUBLE DELMER ADAM JR	NA	14840 SHORT CUT RD	NA	GOLD HILL	NC	28071-9612	2606 MOORESVILLE RD	839491	3.48	6009805
CAUBLE DELMER ADAM JR & WF	CAUBLE LAURDUS R	14840 SHORT CUT RD	NA	GOLD HILL	NC	28071-9612	1983 CARO 00014 00070	839499	3.88	6206980
CBMN ENTERPRISES INC	NA	STE 260	10230 BERKLEY PLACE DR	CHARLOTTE	NC	28262	1527 W INNES ST	838630	19.72	6220914
CENLAR	NA	3001 HACKBERRY ROAD	NA	IRVING	TX	75063	929 CONFEDERATE AV	839000	33.96	6345644
CHAMBERS W RAY JR & WF	CHAMBERS SUE S	7240 STOKES FERRY RD	NA	SALISBURY	NC	28146-1016	7240 STOKES FERRY RD	841637	18.03	6124765
CHANTHIMA SAENG	IRP TAG ONLY	8750 SMITH RD	NA	KANNAPOLIS	NC	28081-8537	1999 FRHT LV3290	842599	332.41	609780501
CHATHA JAGIT SINGH & WF	CHATHA HARJIT KAUR	9735 132 ST	NA	SURREY	BC	V3T3S5-000	222 BELLINGSHIRE DR	841080	1.27	6342859
CHAVEZ LEO & WF	CERRATO REINA D	1121 FRIES ST	NA	SALISBURY	NC	28144-0000	1121 FRIES ST	843191	9.22	6342291
CHESTER PHOEBE BELK	NA	415 ERSKINE DR	NA	CHINA GROVE	NC	28023-6698	929 PINE ST	836480	5.16	6037740
CHINA GROVE CHURCH OF GOD	NA	413 N FRANKLIN ST	NA	CHINA GROVE	NC	28023-2111	KIMBALL RD	836528	15.65	6247237
CHINABERRY COMMONS INC	C/O JONES PROPERTY MANAGEMENT	PO BOX 129	NA	CHINA GROVE	NC	28023-0000	405 JOHN ST	842938	2.32	6341650
CHRISTY JERRY WAYNE	NA	177 TRYON RD	NA	ROCKWELL	NC	28138-9548	1986 ZIMMER 00014 00070	843513	10.33	15127
CLINE LINDA DURHAM	NA	905 DIAL ST	NA	KANNAPOLIS	NC	28083-8307	905 DIAL ST	836496	5.49	6101228
COBB BRADEN RAFFERTY	NA	1340 ROGERS RD	NA	CHINA GROVE	NC	28023-0000	1340 ROGERS RD	843541	9.26	6341982
COCHRAN BETTY JEAN	NA	2810 MOOSE RD	NA	KANNAPOLIS	NC	28083-0000	2810 MOOSE RD	839529	13.78	6339286
COLE ANGELA KAY	NA	1788 FLAT CREEK CHURCH RD	NA	RICHFIELD	NC	28137-6748	RIVER RD	844351	4.25	6061457
COLE PEGGY W	NA	545 GHEEN RD	NA	SALISBURY	NC	28147-9737	1980 EVINRUDE O/B	843256	8.49	111309500

COLEMAN KENNETH MCRANEY &	COLEMAN PATRICK CHRISTOPHER	1282 FAIRFIELD ST	NA	ORANGEBURG	SC	29115-0000	1302 PARKVIEW CIR	836560	13.29	6344580
COLLINS SAMUEL ERNEST & WF	COLLINS AMIE TURMAN	875 FOX HOLLOW LN	NA	SALISBURY	NC	28146-1808	875 FOX HOLLOW LN	837354	76.52	6174008
CONNOR KELLY RAY & WF	CONNOR JACKLYNN COHEN	1123 BIRCH ST	NA	SALISBURY	NC	28146-8803	1123 BIRCH ST	836342	6.32	114170000
CONTE JEFFREY MARK & WF	CONTE ROBIN L	3435 STOKES FERRY RD	NA	SALISBURY	NC	28146-2215	2008 CARR PERMTAG AE67911	836484	16.12	6056016
CONTRERRA ULTRA BROADBAND LLC	% MARVIN F POER & CO	PO BOX 52427	NA	ATLANTA	GA	30355	SECT SCH K10 CLASS FF ASM# 00	836568	14.47	702757
COOK D SCOTT	NA	1002 JOEL ST	NA	CHINA GROVE	NC	28023-5527	645 LENTZ RD	844883	31.44	6186176
COOLEY DORIS	NA	119 COOLEY FARM RD	NA	WOODLEAF	NC	27054-9696	1971 MASCOT 00012 00062	839183	30.23	116260000
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	210 PERRYMAN DR	836322	1809.06	22303
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	808 BROOKFIELD CIR	836322	1058.41	31594
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	411 BRENTWOOD CIR	836322	37.07	412640000
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	511 FAIR BLUFF AV	836322	1247.29	607120000
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	965 E NC 152 HWY	836322	495.30	6135186
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	304 YOST FARM RD	836322	873.23	6146506
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	304 S MCCOY RD	836322	1013.15	6168953
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	227 PARK AV	836322	1145.54	6191998
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	175 MAPLE ST	836322	944.31	6203377
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	2903 MOORESVILLE RD	836322	1109.51	6248104
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	145 MOONLIGHT DR	836322	13.31	6252581
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	1309 BEAGLE RUN	836322	836.65	6263088
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	708 S CHURCH ST	836322	783.89	6267412
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	762 WENDOVER DR	836322	1105.40	6271830
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	2145 ASHLAND CT	836322	2.10	6290213
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	114 CHASSE CT	836322	1634.72	6293412
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	1145 LONG CREEK LN	836322	1405.72	6311983
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	111 FIELDSTONE DR	836322	762.09	6317645
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	3785 W NC 152 HWY	836322	1004.16	6319238
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	116 ENGLISH OAK LN	836322	1953.71	6320719
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	1316 STATESVILLE BLVD	836322	1565.37	6330228
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	1050 MILL WHEEL DR	836322	1955.14	6331696
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	517 3RD ST	836322	198.40	6334000
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	300 STUART DR	836322	4713.80	6339231
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	2115 WOODLAWN ST	836322	1181.18	6339344
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	BRENTWOOD CIR	836322	146.09	6342623
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	114 PRESTWICK CT	836322	2108.49	6343895
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	HORSESHOE DR	836322	29.43	9396
CORELOGIC	ATTN: REFUND DEPARTMENT	PO BOX 9202	NA	COPPELL	TX	75019-9208	3741 PATTERSON RD	836325	333.56	6343827
CORELOGIC TAX SERVICE	ATTN: REFUNDS DEPT	PO BOX 9202	NA	COPPELL	TX	75019	1324 HURLEY SCHOOL RD	842290	614.64	6235895
CORPENING MELISSA LYNN	NA	PO BOX 107	NA	EAST SPENCER	NC	28039-0107	124 S LONG ST	844557	22.89	118480000
COUNTRY PHARMACY INC	NA	8914 MAGNOLIA ESTATES DR	NA	CORNELIUS	NC	28031	SECT SCH D10 CLASS EQ ASM# 00	837128	1.02	6339612
COWAN MARJORIE BOSTIAN	NA	3686 WATERLYNN RD	NA	MOORESVILLE	NC	8115-0000	575 MT TABOR CHURCH RD	842059	9.54	6346495
COX SHARON H	NA	175 DUTCH FARM RD	NA	ROCKWELL	NC	28138-8710	317 BROWN ST	836870	794.06	6028656
CRAWFORD ROBERT DAVID & WF	CRAWFORD DANA CAROL	628 S FULTON ST	NA	SALISBURY	NC	28144-5340	628 S FULTON ST	842842	34.16	6277233
CROSBY BETTY NELL	NA	7957 GRAND CANYON RD	NA	KANNAPOLIS	NC	28081-9331	7957 GRAND CANYON RD	839780	1.28	26702
CROSS DONNA EVERHART	NA	2615 EAGLE ST	NA	KANNAPOLIS	NC	28081	EAGLE ST	840294	1.00	6022921
CROSSPOINT OF GASTONIA INC	GNC STORE #6116	243 FAITH RD	NA	SALISBURY	NC	28146	CLASS SP ASM# 000	842875	1.66	6336493
CULP CHAD MARSHALL	NA	160 MALLARD LN	NA	ROCKWELL	NC	28138-9515	2017 HOME PERM AF79316	838359	14.63	700095
CULP JOSEPH WILLIAM JR	NA	203 RIDGE CREEK CT	NA	SALISBURY	NC	28147	1997 UNIT PERMTAG AA97665	843065	2.95	6011395
CURIEL HECTOR	NA	709 PEARL ST	NA	KANNAPOLIS	NC	28083	CLEARING ACCT	846630	80.12	6346925
CURRI MARK S & WF	CURRI CONSTANCE G	2103 MAST AVE	NA	HIGH POINT	NC	27265-9392	370 PLEASANT COVE RD	836528	10.14	6259631
D E MOORE FAMILY PROP LLC	NA	PO BOX 1258	NA	MORRISVILLE	PA	19067-1258	2881 N CANNON BLVD	842892	30.90	6342288
DALIA JAMES A & WF	DALIA TABATHA L	840 MAINSAIL RD	NA	SALISBURY	NC	28146-1405	840 MAINSAIL RD	841173	13.31	6291786
DANIEL PEGGY E	NA	PO BOX 201	NA	ROCKWELL	NC	28138	1967 UNKNOWN 00012 00060	836384	4.20	134720000
DANIEL PEGGY ELLER HEIRS	NA	PO BOX 201	NA	ROCKWELL	NC	28138-0000	165 PEGGYS LN	836507	18.08	6123863
DANIELS SALLIE JO	NA	355 CHESAPEAKE DR	NA	SALISBURY	NC	28147	1996 LIBERTY 00014 00080	837346	65.95	6118316
DARK TRUCKING INC	NA	225 EASTLAND DR	NA	SALISBURY	NC	28146-9318	2005 FRHT CONV MX7649	839803	40.60	6263699
DARNELL ANTHONY G & WF	DARNELL TRACY L	140 NEWPORT DR	NA	SALISBURY	NC	28144-0000	140 NEWPORT DR	841645	14.92	6319146
DAVIDSON CARRELL RAE	DAVIDSON LEO T	1400 S SALISBURY AVE	NA	SPENCER	NC	28159-1921	180 GARDEN LN	840777	6.29	6236731
DAVIS FARM PROPERTY OWNERS	ASSOCIATION INC	PO BOX 788	NA	CHINA GROVE	NC	28023-0788	PATTERSON RD	836532	2.66	6271772
DE MOORE FAMILY PROPERTIES LLC	NA	PO BOX 1258	NA	MORRISVILLE	PA	19067-1258	2875 N CANNON BLVD	842874	29.70	6329356
DEHART RONDA C	NA	3686 FAITH RD	NA	SALISBURY	NC	28146-7373	3686 FAITH RD	834979	15.09	6112394
DELLINGER RICKY A	NA	1280 OLD MOUNTAIN RD	NA	STATESVILLE	NC	28677-2084	160 ROCKY PT	841644	4.34	6272838
DENTON DANIEL ALLEN	NA	13535 COOL SPRINGS RD	NA	CLEVELAND	NC	27013-0000	1991 FLEETWOOD 00014 00070	843020	96.18	6129554
DICK'S SPORTING GOODS INC 1191	ATTN PROP TAX DEPT	345 COURT ST	NA	CORAOPOLIS	PA	15108-3817	CLASS SP ASM# 000	842883	33.86	6337789
DICKERSON SIDNEY R & WF	DICKERSON VICKI L	1790 LONDON RD	NA	MOORESVILLE	NC	28117	2013 TRAI PERMTAG AE12217	846344	1.32	6334514

DICKSON RALPH TRANTOM III	NA	410 MCCARNS ST	NA	MOORESVILLE	NC	28115-7393	2003 HAUL PERMTAG AE79706	836178	1.23	6087096
DIX NINA GILLON	DOGGIE HOLIDAY	495 PICKLER RD	NA	SALISBURY	NC	28144-1261	CLASS SP ASM# 000	838712	2.63	6069986A
DOMINGUEZ SARAI GONZALEZ	NA	260 ST STEPHENS CHURCH RD	NA	GOLD HILL	NC	28071-0000	260 ST STEPHENS CHURCH RD	844390	4.09	6341338
DONALDSON DEBRA YATES	NA	PO BOX 1271	NA	CHINA GROVE	NC	28023-5714	1105 MEADOWCREEK DR	842789	2.28	6044603
DONALDSON RICHARD A & WF	DONALDSON DEBRA Y	P O BOX 1271	NA	CHINA GROVE	NC	28023-0000	1131 MEADOWCREEK DR	842883	1.52	6338967
DOREEN DOUGLAS R & WF	DOREEN HOLLY L	239 SPORTSMAN DR	NA	SALISBURY	NC	28146-2576	2010 AQUA PATIO	837368	1.55	6251733
DRY GRADY MAX	NA	1150 LANDOVER DR	NA	SALISBURY	NC	28147-9294	1150 LANDOVER DR	839588	237.23	6075683
DUCK AIR LLC	NA	128 CULPREZE RD	NA	MOORESVILLE	NC	28117-8998	1977 CESSNA 172N	836568	5.06	701560
DUNLAP CLYDE I JR	NA	1427 TRAFALGAR DR	NA	HIGH POINT	NC	27262-0000	W 22ND ST	840201	3.80	152930000
DUTY ANIMAL HOSPITAL PA	ANIMAL HOSPITAL OF KANNAPOLIS	401 BROOKDALE ST	NA	KANNAPOLIS	NC	28083	CLASS SP ASM# 000	846343	3.65	6331723
EARNHARDT JAMES ARTHUR & WF	EARNHARDT VICKIE DIANE	760 HARRIS QUARRY RD	NA	SALISBURY	NC	28146-5069	760 HARRIS QUARRY RD	837360	19.00	6198314
EARNHARDT JAMES ROBERT SR	NA	1630 EARNHARDT RD	NA	SALISBURY	NC	28146-2274	EARNHARDT RD	838507	5.95	6251577
EASON DON RICHARD	NA	122 TOWN CT	NA	SALISBURY	NC	28147-7812	1985 CONNOR 00014 00054	839472	9.89	159632500
ELIE THELMA P	NA	221 ANN ST	NA	SALISBURY	NC	28146-0000	221 ANN ST	839333	4.06	6335827
ENDERS MICHELE A	NA	4075 SAINT JOHNS ST	NA	HIGH POINT	NC	27265-0000	220 RIVERVIEW CIR	839838	1770.09	6341720
ENNIS TERRY M	NA	130 COPPER LEAF LN	NA	SALISBURY	NC	28146-9793	130 COPPER LEAF LN	843347	10.43	167819000
ESI ELECTRONIC SYSTEM INSTALLA	% MICHAEL J GARVER	1148 FAYE LN	NA	SALISBURY	NC	28146-7173	1998 UNEX AF61555	836881	7.52	6276778A
ESQUIVEL SANDRA CAROL	NA	1335 BROOKWOOD DR	NA	CHINA GROVE	NC	28023-7488	1335 BROOKWOOD DR	837346	1.01	6106159
ESTEP GAIL K	NA	PO BOX 587	NA	MT ULLA	NC	28125-0000	1145 OAK BREEZE DR	839282	8.50	6346988
EUBANKS HELEN K	EUBANKS JOHN M	2331 FOWLER ST	NA	KANNAPOLIS	NC	28083-9768	1982 HORTON 00014 00060	840203	2.40	169330000
EVERHART NELSON JR ETAL	NA	6015 LEESON LN	NA	CHARLOTTE	NC	28270-1705	10610 DEPOT RD	842874	8.48	6329365
FACEMIRE CYNTHIA ROBERTS	NA	5345 CREEKWOOD DR	NA	SALISBURY	NC	28147-9664	2011 CAON AA97595	838899	1.04	6101115
FARMER BOBBY NICHOLAS	NA	2255 MILLER RD	NA	SALISBURY	NC	28147-7612	2002 VOGUE 00014 00070	838826	1.37	6245130
FARRAH BRADFORD P & WF	FARRAH MARTHA B	103 E GLENVIEW DR	NA	SALISBURY	NC	28147-8172	103 E GLENVIEW DR	839545	41.84	6028851
FESPERMAN FLORENCE F	NA	160 WACCAMAW DR	NA	SALISBURY	NC	28146-2479	160 WACCAMAW DR	839798	1.40	6168510
FESPERMAN RACHEL HILL	NA	180 PINE NEEDLE TRL	NA	SALISBURY	NC	28146-5563	1968 TAYLOR 00010 00044	839325	8.19	176430000
FESPERMANS LAWN CARE INC	% RANDALL FESPERMAN	1030 UPPER PALMER RD	NA	SALISBURY	NC	28146-8127	2010 HOMS MLT YR TAG AA40033	845897	1.39	623093601
FINANCIAL PACIFIC LEASING LLC	ATTN: GARY BERGSTROM	PO BOX 4568	NA	FEDERAL WAY	WA	98063-4568	SECT SCH A10 CLASS EQ ASM# 00	837038	4.25	618457901
FINN PAUL T & WF	FINN JEAN E	2301 WOODLAWN ST	NA	KANNAPOLIS	NC	28083-3065	2301 WOODLAWN ST	840808	13.55	6138980
FINNEY TIM LEE	NA	8030 HOWARD AVE	NA	KANNAPOLIS	NC	28081-8876	8030 HOWARD AV	837198	10.57	179494000
FIRST NATIONAL BANK & TRUST CO	% COMMUNITY ONE BANK	ATTN FINANCE DEPT	PO BOX 1328	ASHEBORO	NC	27204-1328	225 FAITH RD	839182	167.73	6003988
FISHER KENNETH JAMES & WF	FISHER MEREDYTH HOLSHOUSE	PO BOX 515	NA	FAITH	NC	28041-0515	LEGION CLUB RD	844827	6.87	18355
FLOWERS JASON A	NA	1300 CHURCHILL DOWNS DR	NA	WAXHAW	NC	28173-0000	SHIVE RD	842090	5.11	6344581
FLUID-FLOW INC	NA	270 BONAVENTURE DR	NA	SALISBURY	NC	28147	SECT SCH US CLASS CE ASM# 00	843600	1.87	6261751A
FOGNER JUDITH W	NA	3475 STOKES FERRY RD	NA	SALISBURY	NC	28146-2215	3475 STOKES FERRY RD	841644	750.47	6259685
FOLLETTE DIXIE S	FARM EQUIPMENT	140 FOLLETTE LN	NA	SALISBURY	NC	28147-9595	SECT SCH U10 CLASS EQ ASM# 00	838894	30.64	18514500001
FORD M D	NA	865 KETNER FARM RD	NA	CHINA GROVE	NC	28023-6605	865 KETNER FARM RD	837199	26.68	185430000
FORD M D	NA	865 KETNER FARM RD	NA	CHINA GROVE	NC	28023-6605	KETNER FARM RD	837361	8.24	6216185
FORNEY NAOMI H	% JOHN FORNEY	2780 DUNNS MOUNTAIN RD	NA	SALISBURY	NC	28146-0000	2780 DUNNS MOUNTAIN RD	838873	5.74	6345668
FORTSON CARL DEAN & WF	FORTSON DREMA O	5035 STOKES FERRY RD	NA	SALISBURY	NC	28146-5084	2015 SUZUKI	842200	2.18	6084356
FOSTER RUSSELL K & WF	FOSTER CANDICE M	PO BOX 495	NA	WOODLEAF	NC	27054-0000	COOL SPRINGS RD	839529	2.35	6339618
FOWLER ALAN S	NA	142 CHURCHILL DR	NA	SALISBURY	NC	28144-8306	510 5TH ST	838825	8.51	6243629
FOWLER EVELYN B	NA	800 COLVILLE RD	NA	CHARLOTTE	NC	28207-0000	102 CIRCLE DR	840497	1.84	6302100
FOY ANGELA	NA	2480 OVERVIEW RD	NA	SALISBURY	NC	28147-7697	2000 YAMAHA	843898	18.55	6170586
FRANKLIN BENJAMIN JR & WF	FRANKLIN GWENDOLYNN F	550 SPORTSMAN DR	NA	SALISBURY	NC	28146-0000	EMERALD BAY DR	839282	8.32	6343501
FRICK JAMES MICHAEL & WF	FRICK PATRICIA D	2740 PANTHER CREEK RD	NA	SALISBURY	NC	28146-3517	170 NOODLE WAY	840203	5.29	193410000
FRICK ROBERT B & WF	FRICK CYNTHIA M	PO BOX 41	NA	FAITH	NC	28041-0041	QUARRY ST	845922	20.71	6073394
FRUTIG ROBERT & WF	FRUTIG CARMEN	426 CRESS LOOP RD	NA	SALISBURY	NC	28147-0000	426 CRESS LOOP RD	836560	242.81	6344131
FRY FORSTER B	GENERAL CONTRACTOR	119 QUARTER LN	NA	MOORESVILLE	NC	28117-0000	SUNSET DR	841364	7.31	6173783A
FRYE JOAN E	NA	1985 NC 152 W	NA	CHINA GROVE	NC	28023-6730	215 W RICE ST	839187	19.89	15142
FUNDERBURK DEBBIE	NA	2111 GOLFCREST DR	NA	KANNAPOLIS	NC	28081-9570	2111 GOLFCREST DR	845218	45.00	195620000
FUNDERBURK V L	NA	200 E 22ND ST	NA	KANNAPOLIS	NC	28081	2011 COT S/V AB53776	841437	7.04	6003171
GANDEE GREGORY W &	CAIN PAMELA D	1141 WESTLAKE DR	NA	KANNAPOLIS	NC	28081-0000	1141 WESTLAKE DR	837419	69.47	6344668
GAP INC & SUBS #06479	OLD NAVY	ATTN TAX DEPT	PO BOX 27809	ALBUQUERQUE	NM	87125-7809	CLASS SP ASM# 000	844148	38.13	6214323
GARDNER ERIC A	NA	2050 BARGER RD	NA	SALISBURY	NC	28146-7998	1019 GANTT ST	841624	2.80	6050381
GARDNER ERIC AUSTIN & WF	GARDNER SHARON ELMORE	2050 BARGER RD	NA	SALISBURY	NC	28146-7998	CLIFF EAGLE RD	841629	2.76	6098457
GARDNER JAMES ANDREW	NA	3220 SHERRILLS FORD RD	NA	SALISBURY	NC	28147-8094	1969 W W PERMTAG AE18477	844048	1.88	6020698
GARDNER JAMES ANDREW	LARGE ANIMAL DMV EQ	3220 SHERRILLS FORD RD	NA	SALISBURY	NC	28147-8094	SECT SCH C10 CLASS EQ ASM# 00	844034	22.58	19831007001
GARDNER WALTER H JR &	SNIPES MELISSA ANNE	4470 OLD BEATTY FORD RD	NA	CHINA GROVE	NC	28023-7662	4470 LENTZ RD	838897	17.53	6103657
GAUTHIER REBECCA	NA	20215 BEARD ST	NA	CORNELIUS	NC	28031-0000	2739 COLORADO LN	839529	2.88	6342465
GELVIN THEODORE DUANE	NA	20670 OLD BEATTY FORD RD	NA	GOLD HILL	NC	28071-9886	20660 OLD BEATTY FORD RD	840203	49.68	29804
GERARD W MCNAUGHT PLLC	TRUST ACCOUNT	118 MORLAKE DR., STE 100A	NA	MOORESVILLE	NC	28117	330 ENGLISH ST	840394	260.45	6334304
GILLESPIE PAUL G	NA	2187 TRAIL AVE	NA	KANNAPOLIS	NC	28081-9616	2187 TRAIL AV	843322	2.13	204059000
GILMORE RAY B	GILMORE MARGARET S	314 GADDY ST	NA	KANNAPOLIS	NC	28081-8904	314 GADDY ST	8396171	3.79	204460000

GILMORE TERRY DEAN	NA	335 DEAL ESTATE DR	NA	CHINA GROVE	NC	28023-5698	245 MT MORIAH CHURCH RD	840802	11.41	6071930
GLASPY JAY ALLEN	NA	1255 HOLLYWOOD AVE	NA	PLAINFIELD	NJ	07060-3336	RANKIN RD	837149	1.08	6102426
GLOBAL CAPACITY	ATTN TAX DEPARTMENT	2510 ZANKER RD	NA	SAN JOSE	CA	95131	SECT SCH B10 CLASS LI ASM# 00	845911	1.42	6336807
GOINS WRIGHT RAYMOND JR	GOINS JOYCE ANNETTA	% JAMES TALBERT	6195 OLD SALISBURY CONCORD RD	KANNAPOLIS	NC	28083-8620	1996 CRESCENT 00014 00070	838618	2.12	6093124
GOODMAN ANTHONY WAYNE	NA	378 PALMER CIR	NA	ROCKWELL	NC	28138-6501	1996 YAMAHA O/B	846359	111.31	6090210
GOODMAN SHELBY L	NA	3550 DUNNS MOUNTAIN RD	NA	SALISBURY	NC	28146-7098	3550 DUNNS MOUNTAIN RD	839367	3.71	208130000
GOODMAN VERA GRAY	NA	350 HOMER CORRIHER RD	NA	CHINA GROVE	NC	28023-8585	350 HOMER CORRIHER RD	844867	2.51	6038836
GOODNIGHT BARBARA ANNE	SALON BLEUE™	470 KING RD	NA	SALISBURY	NC	28146-2506	CLASS SP ASM# 000	840675	3.80	32971000001
GOODNIGHT SHARON WALSH	NA	284 NEW LEICESTER HWY	NA	ASHVILLE	NC	28806-0000	740 CATFISH RD	841824	545.77	212224000
GOODWILL INDUSTRIES OF N W	NORTH CAROLINA INC	2701 UNIVERSITY PKWY	NA	WINSTON SALEM	NC	27105-4223	1917 S MAIN ST	840802	54.38	6084438
GORDON OBE BOB	NA	1005 MARIE AVE	NA	KANNAPOLIS	NC	28083-9651	2002 CARR PERMTAG AD59S75	840014	1.71	213985000
GORDON RICHARD WESLEY	NA	408 S FRANKLIN ST	NA	CHINA GROVE	NC	28023-2016	2016 CARR PERMTAG AF47704	844943	1.27	703402
GRAHAM DEREK S	PLUMBING & CONSTRUCTION EQUIP	945 SHORE ACRES RD	NA	SALISBURY	NC	28146-2571	CLASS SP ASM# 000	837412	1.37	6325046
GRAHAM JANCIE L	NA	210 JANDALE CT	NA	CHINA GROVE	NC	28023-0000	210 JANDALE CT	839614	3.05	216343500
GRAHAM MARCIA KAY HELDERMAN & GRAHAM SANDRA LAKE	VAUGHN ANN HELDERMAN	220 SPICEWOOD LN	NA	SALISBURY	NC	28147-0000	14025 US S2 HWY	837392	26.56	6308893
GREEN JEFFREY TODD	NA	PO BOX 1436	NA	ROCKWELL	NC	28138-1436	3 CATS	842816	6.20	6193663
GREENE DOMILIE B	NA	153B JACOB RD	NA	OAKBORO	NC	28129-9505	1987 MEAD 00014 00072	845516	279.50	6326953
GREENE MICHAEL D & WF	GREENE CAROL A RACZ	635 TIMBERLANE TRL	NA	SALISBURY	NC	28147-7809	635 TIMBERLANE TRL	845893	14.20	6222809
GREGORY BENJAMIN R & WF	GREGORY RHONDA C	168 BLUE HERON RD	NA	SALISBURY	NC	28146-9465	1974 MAC GREGOR	843038	17.65	6284126
GRIFFITH THOMAS MICHAEL	NA	PO BOX 458	NA	GRANITE QUARRY	NC	28072-0458	125 LYERLY DR	836525	2.05	6175494
HALL CLIFFORD &	640 BRADSHAW RD	NA	NA	MOUNT ULLA	NC	28125-9775	1986 BMW 225	836518	1.17	6150996
HALL DWIGHT ANDREW	HALL LOUISE K TRUSTEES	1456 MILL WHEEL DR	NA	SALISBURY	NC	28146-8655	1456 MILL WHEEL DR	841133	13.01	6263951
HAMILTON JOHN A	NA	265 VILLA WOODS	NA	SALISBURY	NC	28146	1993 MAZDA	838965	3.67	703408
HAMILTON LUCRETIA H	HAMILTON MARK A	304 N ESTES DR	NA	CHAPEL HILL	NC	27514-0000	300 N FULTON ST	838660	36.57	6335571
HAMMILL MELVIN THOMAS & WF	1102 WELLINGTON HILLS CIR	NA	NA	SALISBURY	NC	28147-7966	1102 WELLINGTON HILLS CIR, 1102	846331	10.17	6173727
HANKIN & PACK PLLC	HAMMILL SHELLE CRUSE	3530 E RIDGE RD	NA	SALISBURY	NC	28144-1286	1987 KENCRAFT I/B	841043	29.19	14545
HANKIN & PACK PLLC	NA	2820 SELWYN AVE STE 425	NA	CHARLOTTE	NC	28209	109 N BEAVER ST	840064	687.91	6260124
HANNON B OLAN & WF	2820 SELWYN AVE STE 425	NA	NA	CHARLOTTE	NC	28209	725 RAINEY RD	836135	2.80	6293328
HAPPY DAY CHILD DEVELOPMENT	HANNON LOUISE D	1859 WETHERBURN DR	NA	KANNAPOLIS	NC	28081-7300	1859 WETHERBURN DR	837346	3548.22	6101981
HARMON MELVIN M	INC	710 S MAIN ST	NA	LANDIS	NC	28088-1722	710 S MAIN ST	842430	29.83	6132930
HARRELL JOE LYNWOOD	NA	415 HONEYCUTT RD	NA	SALISBURY	NC	28144-9017	HONEYCUTT RD	837560	240.11	6045743
HARRIS JOHN W & WF	HARRELL SHEILA H	1585 STONEWOOD DR	NA	SALISBURY	NC	28146-8700	1585 STONEWOOD DR	837207	5.54	234097750
HARRISON MAURICE LINGARD	HARRIS HELEN C	179 AUTUMN FROST AVE	NA	STATESVILLE	NC	28677-9058	WILL BLACK RD	836418	3.91	235922500
HARTLEY ANN	NA	320 ACRES LN LOT 8	NA	SALISBURY	NC	28146-6894	1999 OLDS 45 ALERO GL	844533	24.77	6316355
HARVIEL KAY HENDERSON	NA	6350 OLD MOCKSVILLE RD	NA	SALISBURY	NC	28144-0000	6350 OLD MOCKSVILLE RD	845966	11.94	6342506
HASKETT ROBERT & WF	NA	439 EASTWOOD DR	NA	SALISBURY	NC	28146-7063	439 EASTWOOD DR, 1501	839331	5.08	23557
HATCHER MARSHA A	HASKETT PATRICIA	1660 MT ULLA HWY	NA	MT ULLA	NC	28125-0000	NC 801 HWY	838660	1.84	6340430
HATHAWAY MICHAEL C & WF	NA	PO BOX 210976	NA	BROOKLYN	NY	11221-0976	3100 N MAIN ST	836926	124.84	6003173
HATHCOCK PHILIP LAMAR	HATHAWAY SUSAN DAWN C	4767 RAINBOW DR	NA	KANNAPOLIS	NC	28081-8858	RAINBOW DR	842697	139.09	240552500
HATLEY DANIEL ALEXANDER SR & WF	NA	220 LEIGH DR	NA	SALISBURY	NC	28147-8542	2007 CHEV BLS CP COBALT LS	837796	45.42	6177803
HAYES KENNETH LEE	HATLEY KATHY H	295 EDWARDS RD	NA	MOORESVILLE	NC	28115-7389	295 EDWARDS RD	839499	12.36	6215886
HEDRICK GERALD C SR ETAL	NA	330 BLUFF LN	NA	SALISBURY	NC	28146-7449	2004 HONDA JSK	841049	15.10	6292948
HEILIG GLENN MORRIS JR	C/O GERALD HEDRICK	10933 SHELLEY RENEE DR	NA	CORNELIUS	NC	28031-7414	208 ROSEMONT AV	839253	9.06	6199566
HEILIG HARRY BROWN JR & WF	NA	1165 KEPLER RD	NA	SALISBURY	NC	28147-8456	2013 KEYS CT	842239	2.79	6090017
HELMS ADA UPRIGHT & HUS	HEILIG EUNICE S	1244 ARBOR RD BOX 189	NA	WINSTON SALEM	NC	27104-0000	2320 OLD CONCORD RD	836422	1.32	24513500001
HICKORY PROPERTY INC	HELMS WILLIAM	8228 SMITH RD	NA	KANNAPOLIS	NC	28081-8532	8228 SMITH RD	845872	6.71	6132861
HICKS STEVEN B	NA	1648 MEMORIAL DR	NA	BURLINGTON	NC	27215-0000	2045 STATESVILLE BLVD	840221	99.29	6326177
HILL JOHN WILLIAM & WF	NA	335 CHESTERFIELD AVE	NA	NASHVILLE	TN	37212-4021	102 RICE ST	842002	6.49	6271031
HILL ROGER DALE	HILL MILDRED D	4230 STATESVILLE BLVD	NA	SALISBURY	NC	28147-7461	ST MATTHEWS CHURCH RD	837561	10.51	252340000
HINSON SUSIE MCINTOSH	NA	104 ARLENE AVE	NA	KANNAPOLIS	NC	28081-9533	1973 VOLKSWAGEN SUPER	841055	5.09	252771500
HOBBS ROBERT E & WF	NA	364 DAVIS FARM RD	NA	SALISBURY	NC	28147-6766	2308 AIRPORT RD	837337	10.50	6026662
HODGE STELLA MARIA	HOBBS DOLORES V	1305 GHEEN RD	NA	SALISBURY	NC	28147-9745	1305 GHEEN RD	842491	7.11	6335081
HOFMANN KENNETH	NA	730 REDLEAF LN	NA	CHINA GROVE	NC	28023-8600	730 RED LEAF LN	837600	1.95	6145542
HOLLER GUY EDWARD	NA	155 ROBINWOOD DR	NA	SALISBURY	NC	28146	2017 YAMAHA JSK	840879	2.13	702408
HOLLER JAMES ASHLEY	NA	220 COOPER RD	NA	PAULINE	SC	29374-2613	1969 VICEROY 00012 00060	841684	34.48	700582
HOLLIFIELD JAMES W T/A	PO BOX 3084	NA	NA	BOONE	NC	28607-3084	3031 SCHOOL ST	841858	4.00	6175733
HOLMES QUENTIN J &	HOLLIFIELD BUTCH BUILDING SUPP	14715 OLD BEATTY FORD RD	NA	GOLD HILL	NC	28071-9645	SECT SCH F10 CLASS EQ ASM# 00	842685	1.32	17881
HOLTZCLAW M T HEIRS	HOLMES ADANTAE TRAY	PO BOX 313	NA	EAST SPENCER	NC	28039-0313	S LONG ST	836543	8.52	6320577
HONBARRIER BETTY &	%MARSHA A HATCHER	PO BOX 210976	NA	BROOKLYN	NY	11221-0976	N MAIN ST	836759	68.12	264630000
HONEY BAKED HAM CO	HONBARRIER DEWEY	7415 UNITY CHURCH RD	NA	KANNAPOLIS	NC	28081-0000	7415 UNITY CHURCH RD	836560	7.80	6345597
HORIZON CSA LLC	ATTN NADER BOTROS	PO BOX 1001	NA	SALISBURY	NC	28145-1001	SECT SCH K10 CLASS FF ASM# 00	839258	2.10	6262087
HORIZON PROPERTIES OF NC LLC	ATTN MICHAEL K MARROW	265 PITT RD	NA	MOORESVILLE	NC	28115	CLASS SP ASM# 000	836542	21.45	630195301
HORTON RONALD H & WF	NA	PO BOX 867	NA	TROUTMAN	NC	28166-0867	265 PITT RD	836534	59.67	6296552
	HORTON SHARON S ETAL	485 LAKE POINTE LN	NA	SALISBURY	NC	28146-0000	LAKE POINTE LN	843541	3.20	6337913

HOWARD JIMMIE LEROY	NA	PO BOX 343	NA	WHITE SALMON	WA	98672-0343	EARNEST MILLER RD	838646	18.34	6262152
HTC SPORTS	BERNHARDT LYNN & JEFF	353 TALON DR	NA	SALISBURY	NC	28147	CLASS SP ASM# 000	844096	1.02	6330324
HTCHER MARSHA A	NA	PO BOX 210976	NA	BROOKLYN	NY	11221-0976	N MAIN ST	836927	28.72	26463000001
HUBER JACOB J	NA	PO BOX 2851	NA	FORT LEONARD WOOD	MO	65473	1053 INLET POINTE RD	836534	12.87	6287870
HUGHES REBECCA JUNE	NA	1825 EMANUEL CHURCH RD	NA	ROCKWELL	NC	28138	CLEARING ACCT	844338	142.56	6350013
HUNEYCUTT KENNETH G	MARK THOMPSON INSURANCE	20896 ST. MARTIN RD	NA	ALBEMARLE	NC	28001	SECT SCH US CLASS CE ASM# 00	838665	10.06	701580
HUNTER LAURA JANE & HUS	HUNTER MITCHELL DEAN	215 E BLUME ST	NA	LANDIS	NC	28088-0000	N CHAPEL ST	839529	2.79	6331681
HUTTO SANDRA SEAY	HUTTO DONALD LEE	179 PINE VALLEY DR	NA	STANLEY	NC	28164-9507	1060 ROGUE CT	836525	5.92	6178116
INTEGRO TECHNOLOGIES CORP	% THOMAS P CAMPION	301 S MAIN ST	NA	SALISBURY	NC	28144	CLASS SP ASM# 000	839810	55.51	6270389
J D'S TRUCK SERVICE INC	FRENCH DONALD R JR	225 EASTLAND DR	NA	SALISBURY	NC	28146-9318	CLASS SP ASM# 000	839821	2.53	6291089
JACKSON GREGORY S	NA	523 DEAN DR	NA	LAURENS	SC	29360-0000	770 LAKEVIEW RD	843631	494.85	6344772
JACKSON RICHARD A	NA	5145 SUNRISE TER	NA	WINSTON SALEM	NC	27105-2154	430 HAWK RD	843215	3308.61	6102326
JACOBS GEORGE GRAY & WF	JACOBS FRANCES	205 W CENTERVIEW ST	NA	CHINA GROVE	NC	28023-2511	205 W CENTERVIEW ST	846200	2.26	282330000
JAMARI, LLC	NA	10700 SAM BLACK RD	NA	MIDLAND	NC	28107	CROWDER DIXON RD	837773	242.86	6344026
JAMES HENRY L & WF	JAMES HELEN G	670 RIMER RD	NA	SALISBURY	NC	28146-1150	670 RIMER RD	844417	8.54	283040000
JAMISON MICHELLE L	NA	212 HUDSON AV	NA	SPENCER	NC	28159	608 S ROWAN AV	841027	5.22	6283266
JOERNS LLC	PERSONAL PROPERTY TAX DEPT	2430 WHITEHALL PARK DR STE 100	NA	CHARLOTTE	NC	28273	CLASS SP ASM# 000	837421	208.99	704185
JOHN S SLOOP LLC	NA	175 VINEYARD DR	NA	MOORESVILLE	NC	28117-0000	BROWN RD	837419	3.80	6341388
JOHNSON FRANCIS & WF	JOHNSON REGINA R	PO BOX 2395	NA	SALISBURY	NC	28145-2395	2412 MOORESVILLE RD	845467	16.13	6270263
JOHNSON GREGORY EARL	JOHNSON KIMBERLY	150 MARION ST	NA	ROCKWELL	NC	28138-9413	2015 KAUF PERMTAG AH24167	837198	1.07	14681
JOHNSON JOHNNY EDWARD	JOHNSON CARPORTS MLT YR TAG	990 KEPLEY RD	NA	SALISBURY	NC	28147-8454	2003 KAUF MLT YR TAG AAS2903	834927	41.23	6308837
JOHNSON L ROY & WF	JOHNSON VIRGINIA G	150 HOMER CORRIHER RD	NA	CHINA GROVE	NC	28023-8587	150 HOMER CORRIHER RD	838600	3.07	25961
JOHNSTONE ROBERT KEITH & WF	JOHNSTONE KELLY NICOLE	1144 FOREST OAKS DR	NA	SALISBURY	NC	28146-0000	KLUTTZ RD	844892	14.53	6287043
JONES RICHARD JAMES	NA	PO BOX 71	NA	GRANITE QUARRY	NC	28072-0071	1976 TRIUMP	842175	4.15	290630000
JUICE LIFE USA LLC	NA	712 JAKE ALEXANDER BLVD W STE	NA	SALISBURY	NC	28147-1268	CLASS SP ASM# 000	841884	7.93	702931
KANIPPE TINA MARIE	NA	280 WILLIAM DR	NA	SALISBURY	NC	28146	2008 JUN PERM TAG AF94684	843551	288.63	703799
KARRIKER JEAN	NA	405 CORINTH CHURCH RD	NA	MOORESVILLE	NC	28115-4220	405 CORINTH CHURCH RD	838640	3.02	6242746
KARRIKER PRATT RAY	FARM EQUIPMENT	325 DEAL RD	NA	MOORESVILLE	NC	28115-9568	SECT SCH U12 CLASS EQ ASM# 00	836428	2.35	29527500001
KD AND SONS LLC	NA	210 BUNDY HILL LOT 50	NA	LISBON	CT	06351-0000	608 N MARTIN LUTHER KING JR AV	839279	8.05	6341001
KELLEY DAVID L & WF	KELLEY GLENNETTE LYNN	935 PEBBLE PT	NA	SALISBURY	NC	28146-8299	1998 KAWASAKI JSK	841207	6.07	6134870
KEPLEY ANTHONY BRYAN &	KEPLEY CHRISTOPHER MICHAEL	1655 MAJOLICA RD	NA	SALISBURY	NC	28147-8022	ROWAN MILL RD	838817	22.30	6183281
KEPLEY DAVID BRINGLE	NA	2230 AGNER RD	NA	SALISBURY	NC	28146-0000	2230 AGNER RD	836480	8.54	6014573
KEPLEY RENTALS LLC &	HARBINSON PROPERTIES LLC	780 COLEY DR	NA	SALISBURY	NC	28146-0000	110 MARTIN ST	839261	63.09	6328679
KESLER DAN	NA	1395 PROVIDENCE CHURCH RD	NA	SALISBURY	NC	28146-1257	1395 PROVIDENCE CHURCH RD	842224	20.47	6164078
KETCHIE JANE P ETAL	NA	180 WILLIAM DR	NA	SALISBURY	NC	28146-1279	240 WILLIAM DR	841869	1.54	6271937
KETCHIE MARK ALLEN	NA	1375 QUARRY RD	NA	WOODLEAF	NC	27054-0351	1974 OAKWOOD 00012 00065	841603	1.60	16553
KEVIN C LINK TRUST ACCOUNT	RE: 069 02101	1 BUFFALO AV NW SUITE 3305	NA	CONCORD	NC	28025-0000	500 GOLD HILL DR	845357	12.28	6341874
KEY BETTY	NA	2217 KENWOOD DR	NA	KANNAPOLIS	NC	28081-0000	2217 KENWOOD DR	838500	6.36	6300193
KING TUT CAFE & HOOKAH LOUNGE	% HAMDY KISHK	5 EASY ST	NA	SALISBURY	NC	28144	SECT SCH E10 CLASS EQ ASM# 00	841645	10.12	6330213
KIRK RICKY WAYNE	NA	1350 BEAGLE CLUB RD	NA	SALISBURY	NC	28146-2012	2010 CAON PERMTAG AAS3037	840487	1.20	307917500
KISAMORE CAROL	NA	410 WILEY AV	NA	SALISBURY	NC	28144-0000	410 WILEY AV	841240	10.14	6339817
KLK TRUCKING LLC	ATTN DANIEL G GOODMAN	PO BOX 1123	NA	ROCKWELL	NC	28138-1123	2007 MAC TL AE56786	838873	2.82	6336501
KLUTTZ BRENDA BROWN	NA	PO BOX 1421	NA	ROCKWELL	NC	28138-1421	1987 FISHER 00014 00070	838674	7.48	309599000
KLUTTZ BROTHERS PLUMBING	KLUTTZ BRAD & CHRISTOPHER R	PO BOX 1805	NA	SALISBURY	NC	28145-1805	2005 LOAT TL AE93098	837371	2.58	6255453
KLUTTZ EVA S	NA	1885 CRESCENT RD	NA	SALISBURY	NC	28146-8998	1885 CRESCENT RD	844835	3.62	31073250001
KLUTTZ JOHN C	% LAVARIERE KAREN	305 LEXINGTON RD	NA	KINGFORD	ME	04947-4322	US 52 HWY	837212	2.37	311135000
KLUTTZ MARTIN W	NA	PO BOX 853	NA	FAITH	NC	28041-0853	1820 KLUTTZ RD	843337	8.45	26279
KLUTTZ-ELISON ROBIN M	NA	305 S LINK AVE	NA	SALISBURY	NC	28144-2526	GOODSON RD	838293	1.64	6325067
KNOX D CLARK JR &	KNOX J BENJAMIN TRUSTEES	3485 AMITY HILL RD	NA	CLEVELAND	NC	27013-0000	KNOX RD	839282	3.69	6343443
KNOX DAVID C JR &	KNOX JOHN B	3485 AMITY HILL RD	NA	CLEVELAND	NC	27013-9258	KNOX RD	839255	1.49	6233186
KNOX DAVID CLARK JR	NA	3485 AMITY HILL RD	NA	CLEVELAND	NC	27013-9258	KNOX RD	839202	3.38	313495000
KNOX DAVID CLARK JR	FARM EQUIPMENT	3485 AMITY HILL RD	NA	CLEVELAND	NC	27013-9258	CLASS SP ASM# 000	839203	1.02	31349500099
KNOX JAMES HOWARD & WF	KNOX JEAN STRICKLAND ETAL	104 HOLLY DR	NA	FORT MILL	SC	29715-1910	HALL RD	839195	1.62	23432
KONGMANY CHONGCHITH & WF	KONGMANY KORRAKAHN	115 STONEHAVEN CT	NA	SALISBURY	NC	28146-3235	115 STONE HAVEN CT	836526	15.43	6187932
KRESS PLAZA	NA	300 S MAIN ST	NA	SALISBURY	NC	28144	SECT SCH K10 CLASS FF ASM# 00	836203	5.75	626133701
KRISHNAL PROPERTIES LLC	NA	23 BARCELONA	NA	IRVINE	CA	92614-0000	CANNON FARM RD	841239	1.52	6298608
KWD ENTERPRISES LLC	NA	205 LENTZ RD	NA	CHINA GROVE	NC	28023-0000	BEAVER RD	836322	1.93	6329223
LADSON JAMES F	NA	904 RICHARD ST	NA	SALISBURY	NC	28144	904 RICHARD ST	841780	1.32	6282053
LAKE EDEN BECK	NA	PO BOX 2213	NA	CORNELIUS	NC	28031-0000	1205 MOSS AV	836528	10.18	6246710
LAMBERT TIMOTHY CRAIG & WF	LAMBERT JANICE M	207 DOTS CIR	NA	CHINA GROVE	NC	28023-9786	9494 DOGWOOD DR	841890	2.75	317961125
LANGFORD WILLIAM C & WF	LANGFORD DELFHIA	124 E HALL ST	PO BOX 295	EAST SPENCER	NC	28039-0295	110 HALL ST	840779	13.10	318960000
LARGE ANIMAL MEDICINE & SRG PA	NA	3220 SHERRILLS FORD RD	NA	SALISBURY	NC	28147-8094	CLASS SP ASM# 000	844037	110.83	19831007002
LAZENBY CALVIN	NA	1070 COBBLERS CROSSING	NA	ELGIN	IL	60120-0000	CHENAUPT RD	838523	1.96	6318478
LCA LAUREL POINTE LP	% LAKESIDE CAPITAL ADVISORS	30 S WACKER DR STE 2750	NA	CHICAGO	IL	60606-7533	CLASS SP ASM# 000	842886	6.13	63404878

LEE PENNIE STEEN	NA	255 WADE DR	NA	CHINA GROVE	NC	28023-7455	255 WADE DR	836491	480.83	16086129
LERETA LLC	NA	1123 SOUTH PARKVIEW DR	NA	COVINA	CA	91724	308 VANCE AV	836328	925.58	280925000
LERETA LLC	NA	1123 SOUTH PARKVIEW DR	NA	COVINA	CA	91724	2202 AIRPORT RD	836328	1.40	6263915
LERETA LLC	NA	1123 SOUTH PARKVIEW DR	NA	COVINA	CA	91724	1445 E RIDGE RD	836328	1068.49	6265760
LEWIS LAURA W	REFUND DEPARTMENT	1123 SOUTH PARKVIEW DR	NA	COVINA	CA	91724	121 ELMWOOD DR	836280	1988.09	6159738
LI ZHEN KAI & WF	LAURA LEWIS PHOTOGRAPHY	104 N PARK DRIVE	NA	SALISBURY	NC	28144	CLASS SP ASM# 000	839710	2.36	6329260
LIBERTY COMMONS NURSING & REHA	ZHANG WEN LAN	1406 DEVONMERE PL	NA	SALISBURY	NC	28144-0000	1406 DEVONMERE PL	839265	2285.17	6333729
LINDSAY TRAVIS	CENTER OF ROWAN COUNTY LLC	ATTN KIM HOLLIS	2334 S 41ST ST	WILMINGTON	NC	28403-5502	CLASS SP ASM# 000	842827	1758.71	6234669
LINKER BILLY R & WF	NA	2345 HAWTHORNE TRCE	NA	MONROE	GA	30655-4040	1802 STOKES FERRY RD	843870	50.00	6248332
LINKER M NEEL & WF	LINKER MARY LOU HEIRS	730 DAUGHERTY RD	NA	CHINA GROVE	NC	28023-7673	730 DAUGHERTY RD	836534	31.48	6291721
LIPE HERMAN DOYLE	LINKER BURNES M	1611 OAK RIDGE FARM WHY	NA	MOORESVILLE	NC	28115-0000	105 CLOUD TOP LN	837368	33.57	6255413
LITTLE RACHEL T	NA	604 W RICE ST	NA	LANDIS	NC	28088-0000	604 W RICE ST	840168	11.80	6344027
LIVENGOOD CLETUS W &	NA	250 ROCK LN	NA	CHINA GROVE	NC	28023-7452	250 ROCK LN	840472	7.76	335455000
LIVENGOOD SHEILA M TRUSTEES	LIVENGOOD SHEILA M TRUSTEES	1540 W RIDGE RD	NA	SALISBURY	NC	28144-0000	1540 W RIDGE RD	837432	10.11	6343588
LOANCARE	NA	P O BOX 8068	NA	VIRGINIA BEACH	VA	23450-4968	3185 W NC 152 HWY	837147	261.64	6143903
LOANCARE	NA	P O BOX 8068	NA	VIRGINIA BEACH	VA	23450-4968	1702 SAWTOOTH CT	837162	1934.54	6346125
LONG LAFAYETTE J SR &	LONG ROBERT	PO BOX 526	NA	SPENCER	NC	28159-0526	ROBINSON RD	841744	3.16	6313453
LONG TONY & WF	LONG RENA	380 WACCAMAW DR	NA	SALISBURY	NC	28146-2481	380 WACCAMAW DR	840988	4.06	6260300
LOVE RONNIE CURLEE	NA	231 DEATON PARK LN	NA	ROCKWELL	NC	28138-5629	2005 TRST PERM TAG AF25715	846335	20.11	6260867
LUCKY TURN AROUND CORP	% KRISTON BURTON (BAR EQUIP)	1560 S US 29 HWY	NA	CHINA GROVE	NC	28023-6678	CLASS SP ASM# 000	836537	1.73	6299382
LUDWICK CAROL	NA	350 MOUNT VERNON RD	NA	WOODLEAF	NC	27054-9684	350 MT VERNON RD	841932	13.84	6156618
LUDWICK ROBERT SCOTT & WF	LUDWICK DIANNE T	2602 MCGILL ST	NA	KANNAPOLIS	NC	28081-9149	2602 MCGILL ST	846014	3.04	6187561
LUDWIG JAMES DAVID & WF	LUDWIG NANCY L	1170 CRESCENT RD	NA	ROCKWELL	NC	28138-7519	CRESCENT RD	836431	4.02	344450000
LUDWIG PAUL W & WF	LUDWIG JUDY Y	2608 BOONES CAVE RD	NA	LEXINGTON	NC	27295-0000	515 WALTON RD	842175	5.79	4906
LUNA FRANCISCO	NA	170 SWIMMERS LN	NA	SALISBURY	NC	28146-7584	170 SWIMMERS LN	836507	4.67	6114407
LYNN WHITE	NA	150 2ND AVE	NA	CHINA GROVE	NC	28023	150 SECOND ST	838211	4.26	6024397
LYON RICKY	NA	530 W HENDERSON ST	NA	SALISBURY	NC	28144	1983 TRAI PERMTAG AA97207	836532	2.74	6273915
MABRY STEVEN NEDDIE	NA	2995 NC HWY 152 W	NA	CHINA GROVE	NC	28023-6764	1989 PROCRAFT	835001	3.93	3483
MAHAFFEY PROPERTIES LLC	NA	850 LUTHER BARGER RD	NA	SALISBURY	NC	28146-0000	1373 LEGION CLUB RD	845911	11.53	6337099
MANERS DAVID ROGERS	NA	1090 JENNIFERS LN	NA	ROCKWELL	NC	28138-6824	1065 JENNIFERS LN	839490	5.62	350500000
MANERS RUTH A &	MANERS DAVID ROGERS	1090 JENNIFERS LN	NA	ROCKWELL	NC	28138-6824	1090 JENNIFERS LN	839520	12.05	6294593
MARINER FINANCE NORTH CAROLINA	MARINER FINANCE	1870 EXECUTIVE PARK	NA	CLEVELAND	TN	37312	CLASS SP ASM# 000	838726	13.23	18085
MARKLIN WILLIAM J JR	NA	848 S MAIN ST	NA	MOCKSVILLE	NC	27028-2650	NC 801 HWY	836534	9.98	6295784
MARSHALL GEORGE MATTHEW JR	NA	2120 E RIDGE RD	NA	SALISBURY	NC	28144-1274	DSO REFUND	843436	250.40	13666
MARTIN JEFFERY	NA	PO BOX 148	NA	EAST SPENCER	NC	28039-0000	316 S LONG ST	839656	3.08	6333191
MASTRANUNZIO CYNTHIA	NA	450 VERA DR	NA	ROCKWELL	NC	28138	1996 TRACKER MARINE SPECTRUM	840001	1.30	702532
MATTISON WILLIAM RICHARD	NA	1190 FAWN CREEK RD	NA	SALISBURY	NC	28147-6104	2004 KIA RIO	842927	7.14	354715000
MAUROMATA LLC	ROMANOS ITALIAN CUISINE	902 NANCE ST	NA	KANNAPOLIS	NC	28083-3851	SECT SCH K10 CLASS FF ASM# 00	838642	4.89	6251674
MCCALL BILLY D & WF	MCCALL BECKY H	1004 TERRACE DR	NA	SALISBURY	NC	28146-6065	1004 TERRACE DR	836432	4.00	356470000
MCCCLINTOCK MICHAEL CHARLES	NA	340 MONTEGO LN	NA	SALISBURY	NC	28147-8587	340 MONTEGO LN	845893	2.57	6212810
MCCUBBINS BENJAMIN DAVID & WF	MCCUBBINS JEAN	1628 WILTSHIRE RD	NA	SALISBURY	NC	28144-2247	1628 WILTSHIRE RD	845220	5.92	36026000002
MCELMURRY ROBERT A TRUSTEE	NA	12809 LAKE ERIE LN	NA	CHARLOTTE	NC	28273-0000	409 1ST ST	834209	48.38	6343507
MCELROY JOHN	BIG R'S ENTERPRISES	120 BELK RD	NA	MOUNT ULLA	NC	28125-6784	2016 KAUF TL AE12439	844077	1.19	6295942
MCELROY JOHN WILLIAM & WF	MCELROY LAURETTE	1115 EMERALD DR	NA	CONCORD	NC	28025-7911	120 BELK RD	844069	5.69	6295591
MCEWEN WILLIAM PRICE	NA	2175 ENON CHURCH RD	NA	SALISBURY	NC	28147-9716	2175 ENON CHURCH RD	837214	2.13	362920000
MCINTYRE LINDA DELORES WRICE	NA	2002 CARNATION DR	NA	DURHAM	NC	27703-8193	RAILROAD ST	841123	1.72	612890000
MCINTYRE SYLVIA B TRUSTEE &	CALLIHAN RICHARD D TRUSTEE	400 OAKWOOD DR	NA	SPENCER	NC	28159-1722	400 OAKWOOD DR	842820	6.46	6230286
MCLAURIN MARGARET LOUISE C &	CAMPBELL HERBERT LEE	5885 NEEDMORE RD	NA	CLEVELAND	NC	27013-8036	5885 NEEDMORE RD	837387	4.37	6293444
MCMANUS ROBERT GUY & WF	MCMANUS DOROTHY MOORE	2400 LOWER STONE CHURCH RD	NA	ROCKWELL	NC	28138-9487	1967 MART 00012 00060	838474	285.47	367110000
MELLONS DORIS DIXON	NA	1903 W C ST	NA	KANNAPOLIS	NC	28081-9384	1903 W C ST	839969	3.97	6278150
MENTUS CHARLES L & WF	MENTUS MARGARET A	100 SWAIM CT	NA	SALISBURY	NC	28147-0000	100 SWAIM CT, 100	838861	2.02	6330854
MERERITT LAW PLC	NA	1309 MOORESVILLE ROAD	NA	KANNAPOLIS	NC	28081	2250 RICHFIELD RD	839387	391.30	6198258
MERIDIAN BRICK, LLC	NA	PO BOX 1957	NA	AUGUSTA	GA	30903-1957	LEONARD RD	840336	10.16	6042915
MERITT LAW PLLC	NA	1309 MOORESVILLE ROAD	NA	KANNAPOLIS	NC	28081	RICHFIELD RD	839404	60.48	6198258
MESSICK DEBORAH H	NA	3120 SHUPING MILL RD	NA	ROCKWELL	NC	28138-6686	3120 SHUPING MILL RD	841871	21.81	6295637
MESSICK DEBORAH KLUTTZ	NA	3120 SHUPING MILL RD	NA	ROCKWELL	NC	28138-0000	CASTOR RD	841876	3.73	6343456
MILLER CARL E JR	NA	122 CARDINAL DR	NA	ROCKWELL	NC	28138-9400	ZEB ST	842700	1.04	374115000
MILLER CARL E JR & WF	MILLER SALLIE G	122 CARDINAL DR	NA	ROCKWELL	NC	28138-9400	115 CARDINAL DR	842783	11.04	6036922
MILLER KAY	NA	170 HAPPY HOLLOW RD	NA	ROCKWELL	NC	28138-8624	2002 EXIS AA73559	843623	18.66	287105500
MILLER LOIS	NA	2050 DUNNS MOUNTAIN RD	NA	SALISBURY	NC	28146-8021	2050 DUNNS MOUNTAIN RD	837707	4.47	379146000
MILLER SYLVIA ANN	NA	335 SHORE ACRES RD	NA	SALISBURY	NC	28146-0000	345 SHORE ACRES RD	843019	5.39	6093242
MILLS JAMIE	NA	PO BOX 198	NA	FAITH	NC	28041	2002 CARR C/V	837148	14.79	6224041
MISENHEIMER ROBERT S & WF	MISENHEIMER BERNIE H	408 WALKER ST	NA	KANNAPOLIS	NC	28081-5424	2201 BROOKSIDE AV	841107	170.32	383131500
MITCHELL AVENUE PROPERTIES INC	NA	810 MITCHELL AVE	NA	SALISBURY	NC	28144-6253	1035 LINCOLNTON RD	836190	632.14	14969

MITCHELL JON B	&WF	MITCHELL SHARMYN C	367 HICKORY WOOD DR	NA	KANNAPOLIS	NC	28083-9196	367 HICKORY WOOD DR	841130	11.98	6262955
MONROE MARK W	& WF	MONROE DIANE B	265 ASHLEY DR	NA	ROCKWELL	NC	28138-8473	265 ASHLEY DR	837214	8.30	385030000
MOORE THOMAS BANKS	NA		230 CAMELOT DR	NA	SALISBURY	NC	28144-9414	230 CAMELOT DR	839683	7.11	388160000
MOOSE PHARMACY OF SALIS LLC	NA		PO BOX 67	NA	MT PLEASANT	NC	28124-0067	CLASS SP ASM# 000	844073	22.95	6295924
MOREFIELD STEPHEN MARK	NA		116 SHOREVIEW DR	NA	MOORESVILLE	NC	28117-0000	POOLE RD	837137	1.83	6309453
MORETZ, KARB & GELWICKS, P.A.	NA		300 MCGILL AVE NW	NA	CONCORD	NC	28027-6150	2351 FERNDAL CT	837122	8979.87	6309668
MORGAN NITA E	&HUS	MORGAN WILLIAM R	5220 STATESVILLE BLVD	NA	SALISBURY	NC	28147-7471	931 HARRIS GRANITE RD	836526	3.99	6197982
MORGAN WILLIAM RILEY	NA		5220 STATESVILLE BLVD	NA	SALISBURY	NC	28147-7471	2004 BRIM PERMITAG	836477	1.29	6006176
MORGAN WILLIAM RILEY & WF		MORGAN NITA E	5220 STATESVILLE BLVD	NA	SALISBURY	NC	28147-7471	5220 STATESVILLE BLVD	837214	7.48	394397000
MORRIS HELEN LEAZER	NA		1139 TWIN CHAPEL DR	NA	SALISBURY	NC	28147-8283	1139 TWIN CHAPEL DR	839553	1.02	395085000
MORRIS ROY VAN	& WF	MORRIS JEAN F	407 WEBB RD	NA	SALISBURY	NC	28147-8389	407 WEBB RD	839727	8.70	395772500
MORRIS WINSLOW OLIVER JR & WF		MORRIS BRENDA KAY	7512 MOORESVILLE RD	NA	SALISBURY	NC	28147-7681	7512 MOORESVILLE RD	836284	8.26	6254052
MOSS DANIEL J	&WF	MOSS SHAREE E	7481 PRESCOTT LN	NA	LAKE WORTH	FL	33467-0000	HINSDALE AV	839268	2.58	6334917
MOSS GARY MASON	& WF	MOSS ANITA A	PO BOX 155	NA	LANDIS	NC	28088-0155	2014 FEAT PERMITAG AD63501	841617	24.95	397915000
MRW INVESTMENTS OF CONCORD LLC	NA		3429 TRINITY CHURCH RD	NA	CONCORD	NC	28027-0000	240 WESTEN ACRES DR	840872	10.68	6342984
MURILLO LUIS	NA		16121 STUARTS DRAFT CT	NA	CHARLOTTE	NC	28278-0000	302 MILL BRIDGE CIR	837419	4.08	6342502
MYERS B T	NA		48441 GOLD BRANCH RD	NA	RICHFIELD	NC	28137-7943	2133 S JAKE ALEXANDER BLVD	837214	5.80	401775000
NAPOLEON CAROLYN HOLLAND ETAL	NA		811 MAPLE RIDGE CREEK	NA	SALISBURY	NC	28147-0000	STONER MORGAN RD	842233	1.44	6334095
NCACC	ATTN: FINANCE DEPT		353 E SIX FORKS RD	SUITE 300	RALEIGH	NC	27609	DSO REFUND	842483	90.36	6062024
NEELY GEORGE LEVIE & WF		NEELY BARBARA B	555 STONER MORGAN RD	NA	SALISBURY	NC	28146-1499	555 STONER MORGAN RD	839490	4.26	405610000
NELSON RICHARD GARRET	NA		270 BUCCANEER CIR	NA	SALISBURY	NC	28146-2487	1998 VICT 00014 00076	844867	2.74	6048433
NEW YORK PIZZA & CAFE INC	NA		1401 N CANNON BLVD	NA	KANNAPOLIS	NC	28083	CLASS SP ASM# 000	844387	1.56	6338840
NEWSOME SHARON D		NEWSOME PAUL BRIAN	6790 BROOKS RD	NA	ROCKWELL	NC	28138-8597	6790 BROOKS RD	839253	9.36	6218725
NICHOLSON TYRE LEWIS	NA		2150 MARY ST	NA	CHINA GROVE	NC	28023-6607	1143 TYRE DR	837787	7.99	6019213
NIYOSHI JAPANESE EXPRESS REST	NA		817 JAKE ALEXANDER BLVD S	NA	SALISBURY	NC	28147-9058	SECT SCH E10 CLASS FF ASM# 00	845982	26.40	6233978
NOAHS PLAYLOFT PRESCHOOL INC		KLUTTZ ROBIN M	305 S LINK AVE	NA	SALISBURY	NC	28144-2526	CLASS SP ASM# 000	840583	5.68	6100055
NORMAN DONALD H JR	NA		91-1019 OPUKU ST UNIT B	NA	KAPOLEI	HI	96707-0000	SUNSET POINTE DR	836244	3.41	6311281
NORVELL EDWARD PROCTOR & WF		NORVELL SUSAN LINN	128 S FULTON ST	NA	SALISBURY	NC	28144-4843	2 CATS	836580	26.79	409876000
NOVA CREDIT UNION	NA		3601 MULBERRY CHURCH ROAD	NA	CHARLOTTE	NC	28208	6720 DELLWOOD DR	838223	1.93	6146585
NSB ENTERPRISES INC	ATTN SARAH A BICKISH		427 COVE LN	NA	CHINA GROVE	NC	28023-9418	CLASS SP ASM# 000	841141	3.01	6334365
NU SKIN ENTERPRISE UNITED STAT	NA		75 W CENER ST	NA	PROVO	UT	84601-4432	SECT SCH U5 CLASS CE ASM# 00	840881	13.11	6284895
OBER KEITH EARL	NA		4520 PEBBLEBROOK CIR SW	NA	CONCORD	NC	28027-8970	1997 FORCE	844333	3.57	6111184
OLIPHANT STEVEN BILLY SR	NA		1425 HURLEY SCHOOL RD	NA	SALISBURY	NC	28147-7020	2001 EASY PERMITAG AZ22941	839312	270.63	6024644
OSBORNE JAMES A	NA		1112 S MAIN ST	NA	LANDIS	NC	28088-2138	HIGHLAND AV	845149	10.27	412960000
OVERCASH MARK LYNN	NA		315 UPPER OVERCASH AVE	NA	CHINA GROVE	NC	28023-5514	315 UPPER OVERCASH AV	840784	12.53	616040000
P M G RESEARCH INC	PMG RESEARCH OF SALISBURY LLC		4505 COUNTRY CLUB RD STE 110	NA	WINSTON SALEM	NC	27104	CLASS SP ASM# 000	836774	11.76	6303143
PAC-VAN INC	NA		9155 HARRISON PARK CT	NA	INDIANAPOLIS	IN	46215-2108	SECT SCH N10 CLASS EQ ASM# 00	846333	3.75	6225892
PALMS CAFE LLC	% GEORGIU NICK V		902 NANCE ST	NA	KANNAPOLIS	NC	28083-3851	SECT SCH E10 CLASS EQ ASM# 00	838654	2.37	6297850
PARKS JEFFREY DAVID	NA		7843 WRIGHT RD	NA	KANNAPOLIS	NC	28081	2006 CAROLINA SKIFF	840657	1.01	700307
PARRISH RICHARD WAYNE	NA		185 GARDEN LN	NA	SALISBURY	NC	28144	2003 MITS OUT MP OUTLANDER XLS 2WD	841084	745.85	6133014
PATTERSON REATHER DENNIS	KINLEY TERRIE		1316 E COUNCIL ST	NA	SALISBURY	NC	28146-4704	1316 E COUNCIL ST	844005	4.70	425159000
PAYTON ELIZABETH MORTON	NA		115 W BANK ST	NA	GRANITE QUARRY	NC	28146-9630	1999 FORD TK	838383	6.58	6323005
PEDDYCORD BARRY WAYNE &WF		PEDDYCORD JACQUELINE C	1010 RED OAK DR	NA	ROCKWELL	NC	28138-6768	1010 RED OAK DR	841625	2.06	6071918
PEDRO JOHN E	NA		8485 DOGWOOD DR	NA	KANNAPOLIS	NC	28081-8584	1996 OAKWOOD 00014 00076	836500	67.63	6106711
PEDRO JOHN E	NA		8485 DOGWOOD DR	NA	KANNAPOLIS	NC	28081-8584	1 CAT	835027	1.29	6106711
PEDRO JOHN EDWARD	NA		8485 DOGWOOD DR	NA	KANNAPOLIS	NC	28081-8584	8485 DOGWOOD DR	836500	38.10	6105949
PEGRAM ROBERT WILLIAM III	NA		PO BOX 2013	NA	SALISBURY	NC	28145-2013	2006 YAMAHA	843018	11.29	4286
PERKINS DWIGHT CALVIN	NA		520 JAYCEE ST	NA	FAITH	NC	28041-0789	2015 LEON PERMITAG AD67093	836475	1.31	6005178
PERRY PERRY CONSTRUCTION	NA		2015 W C ST	NA	KANNAPOLIS	NC	28081-9383	1996 SKYLINE 00014 00076	840197	1.27	6150971
PHELPS WILLIAM C JR & WF		PHELPS REBECCA B	360 LONG BOW RD	NA	SALISBURY	NC	28144-1224	360 LONG BOW RD	844800	9.42	435330000
PHILLIPS BERNRD	NA		1117A SPRING HORN DRIVE	NA	KERNERSVILLE	NC	27284	523 S LONG ST	837912	61.16	437286000
PHILLIPS GARY L	NA		6330 WOODLEAF RD	NA	WOODLEAF	NC	27054-9738	6330 WOODLEAF RD	838579	8.77	436630000
PHILLIS FORREST A	NA		108 ETHEL LN	PO BOX 102	CHINA GROVE	NC	28023-0102	108 ETHEL LN	842585	26.57	6088174
PHILLIS FORREST ALLEN	NA		108 ETHEL LN	PO BOX 102	CHINA GROVE	NC	28023-0102	108 ETHEL LN	845134	35.64	6088174
PHOMSALY KONGKEO	NA		109 ARABIAN LN	NA	SALISBURY	NC	28147-0000	603 W LIBERTY ST	837372	18.84	6261385
PHOMSALY TENGONE	BANGKOK DOWNTOWN		109 ARABIAN LN	NA	SALISBURY	NC	28147	CLASS SP ASM# 000	837416	3.69	6330300
PHOMSALY TENGONE & WF		PHOMSALY KONGKEO	109 ARABIAN LN	NA	SALISBURY	NC	28147-8926	109 ARABIAN LN	837367	43.66	6249176
PINKSTON GLENN FRANKLIN		PINKSTON RACHEL D	3930 STATESVILLE BLVD	NA	SALISBURY	NC	28147-7458	3930 STATESVILLE BLVD	839490	2.77	439050000
PLESS JERRY LEE & WF		PLESS SHIRLEY ANN	3875 MILLER RD	NA	SALISBURY	NC	28147-7628	3875 MILLER RD	842713	939.56	440740000
PLYLER DALE E	NA		414 ROWAN MILL RD	NA	SALISBURY	NC	28147-0000	414 ROWAN MILL RD	838873	10.50	6342599
POITTE STEPHEN P & WF		PIOTTE FAITH E	26 MIDDLEMOST WAY	NA	STOW	MA	01775-0000	1135 INLET POINTE RD	844063	13.57	6265526
POLANCO ISRAEL	NA		445 STONYBROOK RD	NA	STRATFORD	CT	06614-0000	209 W 16TH ST	844097	9.70	6345058
POLYTEC INC	NA		PO BOX 659	NA	MOORESVILLE	NC	28115-0659	11330 NC 801 HWY	839237	4.80	6135788
POOLE JAMES JR	NA		22207 OAKWOOD RD	NA	ALBEMARLE	NC	28001 7643	2702 ASHE AV	842228	7.59	6295650

POORE TOBY DEWAYNE	NA	2303 ENGLEWOOD ST	NA	KANNAPOLIS	NC	28083-3032	2003 TRST PERMTAG AD75226	837121	1.72	6204096
POWELL MILDRED CRAIG TRUSTEE	NA	406 S WHITEHEAD AVE	NA	SPENCER	NC	28159-1662	406 S WHITEHEAD AV	842461	5.87	6013965
POWER RODNEY DALE	NA	375 TAMARAC SHORES DR	NA	SALISBURY	NC	28146-0000	375 TAMARAC SHORES DR	842799	1124.09	6118616
PRAXAIR DISTRIBUTION INC	TAX DEPT	10 RIVERVIEW DR	NA	DANBURY	CT	06810	SECT SCH B25 CLASS EQ ASM# 00	841954	2.49	6203844
PREMIER FEDERAL CREDIT UNION	NA	1400 YANCEYVILLE ST	NA	GREENSBORO	NC	27405-6930	CLASS SP ASM# 000	841635	24.16	6108638
PRIVETT MONICA JEAN	NA	120 MCFIELD DR	NA	CHINA GROVE	NC	28023-0000	2003 MERC SLP 45 SABLE LS PREMIUM	843953	104.65	6255640
PRIVETT MONICA JEAN	NA	120 MCFIELD DR	NA	CHINA GROVE	NC	28023-0000	120 MCFIELD DR	843802	45.18	6255640
PRIVETT MONICA JEAN	NA	120 MCFIELD DR	NA	CHINA GROVE	NC	28023-0000	120 MCFIELD DR	840569	261.32	6255640
PROFFITT MICHAEL R	NA	1311 ELLIS GRIFFIN RD	NA	WINGATE	NC	28174-0000	216 SYLVAN RD	845623	6.74	6344259
PROTHEROE CARRIE LEE	NA	107 PRESTWOOD LN	NA	MOORESVILLE	NC	28117-7516	1538 TEETER FARMS DR	842837	7.78	6261143
PRYOR TIMOTHY WALKER	NA	7880 BRINGLE FERRY RD	NA	SALISBURY	NC	28146-9517	2013 QUAY PERMTAG AC37876	844044	2.69	449898000
PRZYBYSZEWSKI AARON DAVID	NA	414 TOWN ST	NA	LANDIS	NC	28088-1172	414 TOWN ST	839501	7.86	6238869
QUALITY CARE SOLUTIONS	NA	1306 PADDOCK DR STE E100	NA	RALEIGH	NC	27609	1120 N MAIN ST	837139	211.16	6336266
RAKES ROBERT TODD & WF	RAKES VALERIE N	560 WATERS RUN	NA	SALISBURY	NC	28146	2007 TRIT PERMTAG	844311	1.69	6257957
RAMSEY OTIS DEWAYNE	NA	3235 CAUBLE RD	NA	SALISBURY	NC	28144-0000	CABAGNOT LN	842314	1.72	6342417
RANKIN SAMUEL B &	BURKE SANDRA G TRUSTEES	% AUSTIN MANAGEMENT	4100 BELLINGHAM LN	CHARLOTTE	NC	28215-0000	8775 US 52 HWY	842783	6.00	6029328
RATLUFF TILDA	NA	380 MOORESVILLE RD	NA	KANNAPOLIS	NC	28081-0000	606 N JUNIPER ST	839529	8.81	6340816
REED KAREN PARKER LYNNETTE	NA	360 COLLINS RD	NA	CHINA GROVE	NC	28023-8454	COLLINS RD	843525	11.98	6141118
REEVES MARILYN C	NA	917 BRIGHTON DR	NA	KANNAPOLIS	NC	28087-0000	322 CORRIHER HEIGHTS AV	837419	7.58	6341665
REPLOGLE JERRY F & WF	REPLOGLE NANCY G	304 MEADOW GREEN DR	NA	SALISBURY	NC	28147-8804	304 MEADOW GREEN DR	844048	12.88	6028856
REYNOLDS SHELIA LANE	NA	1045 HIGH MEADOW DR	NA	SALISBURY	NC	28144-8822	330 PICKLER RD	844877	3.96	6134519
RICE RHONDA C	NA	4730 HASE ST	NA	EL PASO	TX	79906-0000	1431 W A ST	846012	11.01	6265630
RICKS PATRICIA J	NA	225 RUTHERFORD ST	NA	SALISBURY	NC	28144	225 RUTHERFORD ST	836242	199.96	6267030
RIGGS ALLEN WAYNE	NA	160 WAYCROSS DR	NA	ROCKWELL	NC	28138-9544	MILITARY AV	842281	15.14	6146168
RITTER JAKE K	NA	260 POTTERY DR	PO BOX 6892	STATESVILLE	NC	28687-0000	E HENDERSON ST	845870	1.68	6069920
ROBB FINGER ENTERPRISES LLC	NA	PO BOX 381	NA	DAVIDSON	NC	28036-0000	1150 HIGHLAND CREEK DR	836883	10.69	6342600
ROBERSON HARVEY DARNELL	NA	415 LONG FERRY RD	NA	SALISBURY	NC	28144-0000	N LONG ST	837943	10.22	467722500
ROBERTSON JOHN J	ROBERTSON ROSALYN G	5321 POTOSI CT	NA	PENSACOLA	FL	32504-8481	CHENAULT RD	841617	4.08	469240000
ROCKWELL SOLAR LLC	% O2 ENERGIES INC	PO BOX 1395	NA	CORNELIUS	NC	28031-1395	SECT SCH T18 CLASS EQ ASM# 00	844910	117.38	6336878
RODGERS ARTHUR B	RODGERS BESSIE W	606 AIRPORT RD	NA	KANNAPOLIS	NC	28081-8112	606 AIRPORT RD	839185	4.06	471010000
RODRIGUEZ GILBERTO	NA	340 IMPERIAL DR	NA	SALISBURY	NC	28147-7026	340 IMPERIAL DR	840088	20.00	6211488
RODRIGUEZ GILBERTO GARCIA	NA	205 E BEAVER ST	NA	CHINA GROVE	NC	28023	1973 UNKNOWN 00012 00060	840979	53.07	6310187
ROGERS JEFFERY LYNN	NA	1022 E 10TH ST	NA	KANNAPOLIS	NC	28083-2904	UNITY CHURCH RD	838782	1.21	6072002
ROGERS PAUL RAYMOND	NA	8200 UNITY CHURCH RD	NA	KANNAPOLIS	NC	28081-8562	1997 FLEETWOOD 00014 00064	843043	17.71	472522500
ROGERS PAUL RAYMOND	NA	8200 UNITY CHURCH RD	NA	KANNAPOLIS	NC	28081-8562	8200 UNITY CHURCH RD	843047	264.29	6014549
ROLLINS DANNY KEITH & WF	ROLLINS KAREN SEVERT	PO BOX 294	NA	SOUTHMONT	NC	27315-0000	2017 ARON CT	841820	7.01	6134512
ROSEMAN ALDEN LEE	NA	353 QUAIL POINT DR	NA	SALISBURY	NC	28147-0000	353 QUAIL POINTE DR	837974	5.58	6342480
ROTH LAWRENCE JAMES	NA	495 MURRAY DR	NA	SALISBURY	NC	28146	2007 BOMBARDIER JSK SEADOO	837395	1.01	6309561
ROWAN BOLT & SUPPLY INC	NA	4055 S MAIN ST	NA	SALISBURY	NC	28147-7914	CLASS SP ASM# 000	838343	5.95	24339
ROWAN MUTUAL FIRE INS CO	NA	426 S MAIN ST	NA	SALISBURY	NC	28144	SECT SCH US CLASS CE ASM# 00	842097	14.80	476837500
ROWAN-CABARRUS COMM COLLEGE	FOUNDATION INC	1333 JAKE ALEXANDER BLVD	NA	SALISBURY	NC	28144-0000	OLD CONCORD RD	839529	5.52	6337828
ROY PRASANTA K & WF	ROY LATIKA	319 MUIRFIELD WAY	NA	SALISBURY	NC	28144-0000	319 MUIRFIELD WAY	839412	3.05	6338199
RUDY T VICK	NA	7741 PINE BLVD	NA	LUSBY	MD	20657-4252	LONG BOW RD	837790	1.00	6082765
RUFTY OLIVER OSCHO II	NA	2080 SAINT LUKE CHURCH RD	NA	SALISBURY	NC	28146-7957	ST LUKES CHURCH RD	836450	12.16	478940000
RUFTY OLIVER OSCHO II	NA	2080 SAINT LUKE CHURCH RD	NA	SALISBURY	NC	28146-7957	2080 ST LUKES CHURCH RD	836491	30.45	6084828
RUFTY-HOLMES SENIOR CENTER INC	NA	1120 S MLK JR AVE	NA	SALISBURY	NC	28144-5692	KELLY DR	836528	1.36	6244514
RUMPLE MAUDE MENIUS	NA	916 LAURA AVE	NA	KANNAPOLIS	NC	28083-3020	6500 DENNY RUMPLE RD	844357	354.99	6177594
RUSSELL JAMES WILLIAM JR & WF	RUSSELL CONNIE R	1308 N RIDGE AVE	PO BOX 919	KANNAPOLIS	NC	28082-0919	1308 N RIDGE AV	845870	8.61	6098613
RUSSELL PATTY F	NA	2312 PRITCHARD ST	NA	KANNAPOLIS	NC	28081-9754	1993 IMPERIAL 00014 00080	840815	2.24	6211568
SAJOMA SUPERMARKET CORP DBA	LUPITAS VARIADADES	1740 N MAIN ST	NA	CHINA GROVE	NC	28023-8448	CLASS SP ASM# 000	844378	13.14	6302196
SALISBURY AUDIOLOGY & HEARING	AID SERVICES PA	644 STATESVILLE BLVD STE 3	NA	SALISBURY	NC	28144-2281	CLASS SP ASM# 000	840367	2.62	6273795A
SALLY BEAUTY COMPANY INC	SALLY BEAUTY SUPPLY #2742	PO BOX 90220	NA	DENTON	TX	76202-5220	CLASS SP ASM# 000	845240	2.38	14486A
SAMS ROBERT G	SAMS PEGGY	1185 W PARK DR	NA	ROCKWELL	NC	28138-8449	1966 FORD MUSTANG	836459	29.97	485265000
SAVARIA BERNARD D & WF	SAVARIA VIVIAN L	230 MITCHELL AVE	NA	SALISBURY	NC	28144-0000	230 MITCHELL AV	837404	27.83	6312616
SAWYER TERESA	NA	2150 ASHLAND CT	NA	SALISBURY	NC	28147-5666	2150 ASHLAND CT	839336	2.03	6291040
SAYAVONG RATTANA	SAYAVONG SOMBOUN	210 FOUR LAKES DR	NA	CLEVELAND	NC	27013-8996	210 FOUR LAKES DR	840808	5.19	6156081
SCHECHTER WALTER & WF	SCHECHTER GINA ETAL	525 HOLSHOUSE RD	NA	ROCKWELL	NC	28138-0000	525 HOLSHOUSE RD	837127	7.31	6343558
SCHMUCKER TRANSPORT LLC	NA	6325 FOSTER RD	NA	WOODLEAF	NC	27054-9641	2017 PINH TL AH35496	837967	4.26	701747
SELENE FINANCE	C/O MARSHEA CARRDINE	9990 RICHMOND AVE	SUITE 400 SOUTH	HOUSTON	TX	77042	1110 LAUREL ST	842668	305.00	201330000
SERVI SOLUTIONS	NA	PO BOX 242928	NA	MONTGOMERY	AL	36124	2113 GLENWOOD ST	837848	1503.27	6193114
SERVICE LINK,LLC	NA	1355 CHERINGTON PKWY	NA	MOON TOWNSHIP	PA	15108	3760 CLIFFDALE RD	842287	9.47	6337820
SHADY GROVE BAPT CH TRUSTEES	NA	220 SHADY GROVE CHURCH RD	NA	MOUNT ULLA	NC	28125-8809	SHADY GROVE CHURCH RD	839079	6.39	6253443
SHAFFER ROBERT KELLER SR & WF	SHAFFER REBA LEE	407 JOE ST	NA	ROCKWELL	NC	28138-9375	407 JOE ST	841842	9.74	494084000
SHARONVIEW FEDERAL CREDIT UNIO	NA	1081 RED VENTURES DRIVE	NA	FORT MILL	SC	29707	204 ROLLING RD	835015	7.90	6206725

SHATTERLY RALPH E & WF	SHATTERLY SHARON F	106 ELMWOOD DR	NA	SALISBURY	NC	28147-9113	106 ELMWOOD DR	846057	7.16	494680000
SHAVER ROY ALVIN	NA	7130 HIGHWAY 152 E	NA	ROCKWELL	NC	28138-8874	7130 E NC 152 HWY	836461	4.96	495757500
SHERRILL JOSH	NA	2508 CELOSIA DR	NA	CHARLOTTE	NC	28262-0000	104 RICE ST	843254	6.27	6341240
SHERRILL JOSH & WF	PARTIN MEREDITH	2508 CELOSIA DR	NA	CHARLOTTE	NC	28262-0000	534 HEILIGTOWN RD	842892	12.32	6344722
SHIVE BRENT WRAY	NA	1625 SHUPING MILL RD	NA	ROCKWELL	NC	28138-6671	1 DOG	836184	62.13	6023094
SHORT ORALIA M	NA	1395 ROBERTSON RD	PO BOX 222	SPENCER	NC	28159-0222	1395 ROBERTSON RD	846625	1.32	6146779
SILVA-MARAVILLO CARLOS	NA	1109 RAILROAD AVE	NA	CHINA GROVE	NC	28023	1985 CONNER 00014 00054	836158	174.56	6181241
SIMMERSON THOMAS CRAIG	NA	290 SHAG BARK LN	NA	SALISBURY	NC	28146-4513	1997 LONG S/V P-TAG AA68164	846331	8.82	6172610
SIMS WALTER L & WF	SIMS DONNA C	2612 THUNDERBIRD DR	NA	SIERRA VISTA	AZ	85650-6691	124 CORRELL ST	837367	6.51	6246409
SINRAM ROBERT	NA	1250 GLENN FAUST RD	NA	CLEVELAND	NC	27013-0000	GLENN FAUST RD	838873	1.10	6345083
SISK HAROLD C & WF	SISK OPAL H	2313 PRITCHARD ST	NA	KANNAPOLIS	NC	28081-9754	2313 PRITCHARD ST	841741	1.91	511812520
SKYELINE CONSTRUCTION LLC	NA	19015 KANAWHA DR	NA	CORNELIUS	NC	28031-0000	1203 RACHEL LN	838663	2.93	6341442
SMITH EDNA K	NA	1312 STANDISH ST	NA	SALISBURY	NC	28144-3031	1312 STANDISH ST	838769	9.34	516392000
SMITH MARTY LANE	NA	1320 ZION CHURCH RD	NA	GOLD HILL	NC	28071-7659	1320 ZION CHURCH RD	841854	9.16	6120994
SMITH RANDY KENT HEIRS	NA	335 RANCHWOOD DR	NA	SALISBURY	NC	28146-1095	335 RANCHWOOD DR	837382	7.64	6282026
SMYRE MARY LOUISE &	SMYRE BETTY R	107 SCOTT RD	NA	SALISBURY	NC	28146-7849	107 SCOTT RD	836425	4.10	29220
SPECK JACOB C &	SPECK JUDY R CO-TRUSTEES	1750 MILLER RD	NA	CHINA GROVE	NC	28023-0000	MILLER RD	836581	1115.08	6345006
SPECK JACOB CALVIN	SPECK JUDY R	1750 MILLER RD	NA	CHINA GROVE	NC	28023-9350	1999 HOME PERMTAG AA67822	836519	1.89	6157991
STACY REALTY LLC	NA	6332 BOYKIN SPANIEL RD	NA	CHARLOTTE	NC	28277-0000	1004 W HORAH ST	837409	4.63	6316599
STALCUP TODD ROBERT	NA	513 OAK FOREST LN	NA	SALISBURY	NC	28146	2014 MAMA PERM TAG AF25779	840656	1.71	701979
STEELE BRENDA D	NA	3635 PINE TREE PL	NA	SALISBURY	NC	28144-1291	3635 PINE TREE PL	841963	6.15	532007500
STEHR MARK JOSEPH	NA	720 HIDDEN COVE DR	NA	SALISBURY	NC	28146-9058	2005 FORD TK	840880	1.66	6246529
STEWART MICHAEL WAYNE	NA	110 AVIATION LN	NA	GOLD HILL	NC	28071-0000	100 AVIATION LN	838857	40.03	6316120
STILLER JOHN RAY	NA	4050 RICHFIELD RD	NA	RICHFIELD	NC	28137	2 DOGS	839530	1.02	700409
STIREWALT RHONDA S	HAPPYS FARM	985 PARKS RD	NA	SALISBURY	NC	28146-1194	CLASS SP ASM# 000	839524	3.56	6296658
STIRLING DIANE	NA	328 BEATEN PATH RD	NA	MOORESVILLE	NC	28117-8980	670 KEPELY RD	836618	6.53	6274394
STOPPER LESLIE HANG TRAN	SALISBURY SALON & SPA	210 E INNES ST	NA	SALISURY	NC	28144	CLASS SP ASM# 000	839549	3.29	632239001
STORIE MARTY R & WF	STORIE LESLIE A	140 MIDFIELD ST	NA	MOORESVILLE	NC	28115-6734	140 MIDFIELD ST	844891	12.62	6258502
STORY JOSEPH LAWRENCE & WF	STORY ETHEL R	280 OLD WOOD LN	NA	SALISBURY	NC	28144-9023	1966 CHEVROLET MALIBU	841620	2.90	539485000
STROUD TERESA	NA	412 W KETCHIE ST	NA	CHINA GROVE	NC	28023-2120	412 W KETCHIE ST	840414	6.09	541245000
STURGILL JOHN BRIGGS JR	NA	156 DEATON PARK LN	NA	ROCKWELL	NC	28138	2007 HORTON 00014 00072	843544	5.00	701682
SUNSET PHASE INC	JERSEY MIKES SUBS & SALADS	237 SUDLEY CIR	NA	SALISBURY	NC	28144-2960	CLASS SP ASM# 000	842212	5.67	6138830
SWING TRANSPORT	NA	1405 N SALISBURY AVE	NA	SALISBURY	NC	28144-8544	1405 N SALISBURY AV	844867	34.50	6021237
SWINSON KENNETH LEE	NA	280 GOLD KNOB RD	NA	SALISBURY	NC	28146-8752	2006 TRST S/V AC13894	838774	1.11	546127500
TANNER LINDA D	NA	4310 HAMPTON RD	NA	SALISBURY	NC	28144-1201	4310 HAMPTON RD	836142	3.67	6252784
TARHEEL PROPERTY GROUP LLC	NA	115 MCBRIDE DR	NA	ROCKWELL	NC	28138-7861	604 W 8TH ST	836283	9.18	6266459
TAYLOR EARL G & WF	TAYLOR LISA S	1450 E RIDGE RD	NA	SALISBURY	NC	28144-1269	1450 E RIDGE RD	842179	2.47	548459500
TEETER C LAVETTE SR	CEDAR LANE ASSOCIATES LIMITED	474 TEETER RD	NA	MOORESVILLE	NC	28115-8289	W NC 152 HWY	837362	5.31	6236340
TEETER REID I	NA	905 SAFRIT RD	NA	SALISBURY	NC	28146-8599	1985 CHEV C10	839324	48.08	6227848
TEETER SYLVIA C ESTATE	% LAVETTE TEETER	474 TEETER RD	NA	MOORESVILLE	NC	28115-0000	9855 W NC 152 HWY	837368	15.30	6255431
TENNEY JENNIFER ELAINE	JENNY TENNEY PHOTOGRAPHY	119 RICHMOND RD	NA	SALISBURY	NC	28144-2847	SECT SCH U5 CLASS CE ASM# 00	842233	1.73	6327539
THE SMOKE PIT SALISBURY LLC D	THE SMOKE PIT	796 CONCORD PARKWAY N	NA	CONCORD	NC	28027	CLASS SP ASM# 000	836146	18.04	700205
THOMAS ANNIE LEE	NA	508 E 13TH ST	NA	KANNAPOLIS	NC	28083-2883	508 E 13TH ST	838774	4.89	551360000
THOMAS GREGORY NEIL	NA	4875 JACK BROWN RD	NA	SALISBURY	NC	28147-9046	2002 HOME PERMTAG AC90019	842679	5.04	11162
THOMASON JAMES P	NA	224 S MILFORD DR	NA	SALISBURY	NC	28144-2228	224 S MILFORD DR	837125	13.62	553290000
THOMPSON KEMP & WF	THOMPSON HILDA C REV TRUST	39075 KEMP RD	NA	ALBEMARLE	NC	28001-7930	OLD FOUTZ FARM RD	837372	9.56	6265316
THORNE, BEVERLY	THORNE, JEFFREY	4 BASS LANE	NA	LEBANON	NJ	08833	614 HAWKINSTOWN RD	838947	5.74	6346076
THORNTON ROBERT A & WF	THORNTON SUSAN M	408 PARK ST	NA	CHINA GROVE	NC	28023-2143	N MYRTLE AV	838630	2.58	6197728
TONEY KRISTY MICHELE	NA	415 GRANTS CREEK RD	NA	SALISBURY	NC	28147	2002 FORD TK	844028	4.18	6345287
TOSOH BIOSCIENCE INC	NA	3600 GANTZ RD	NA	GROVE CITY	OH	43123-1895	SECT SCH C10 CLASS EQ ASM# 00	840214	2.24	6239726
TOYOTA MOTOR SALES USA INC	%CORPORATE TAX DEPT	19001 S WESTERN AVE	PO BOX 2991	TORRANCE	CA	90509-2991	1125 COMPETITION DR	842782	283.24	6010216
TRD USA INC	TOYOTA RACING DEVELOPMENT	335 BAKER ST E	NA	COSTA MESA	CA	92626-4518	CLASS SP ASM# 000	842863	354.77	6294536
TREXLER KENNETH A & WF	TREXLER JANET LEWIS	PO BOX 1195	NA	GRANITE QUARRY	NC	28072-0000	806 S SALISBURY AV	836556	29.93	6337829
TRI ELECTRIC INC	ATTN TAX DEPT	PO BOX 1412	NA	SALISBURY	NC	28145-1412	1969 BROW MLT YR TAG AE18311	842193	32.98	5646
TURAN MELIAH BAHIC & HUS	TURAN MUHAREM	2306 AIRPORT RD	NA	SALISBURY	NC	28147-9208	2306 AIRPORT RD	838902	6.77	6236768
TURNER WAYNE	NA	2350 SLOOP RD	NA	MOUNT ULLA	NC	28125	1805 4TH ST	842238	1286.91	6345117
TYLER JOSEPH EDWARD	NA	345 TIMBER CREEK LN	NA	SALISBURY	NC	28146	2016 COT	844878	3.03	6134664
UNDERWOOD ALICE F	NA	425 N BATH AVE	NA	WAYNESBORO	VA	22980-0000	427 W D AV	838672	259.40	6277785
UNDERWOOD ALICE F	NA	425 N BATH AVE	NA	WAYNESBORO	VA	22980-0000	211 W MAIN ST	838673	362.70	6277785
UNION CORRUGATING CO	NA	PO BOX 229	NA	FAYETTEVILLE	NC	28302-0229	101 LEXINGTON AV	842083	49.30	568181000
UNITED PARCEL SERVICE	ATTN PROPERTY TAX	660 FRITZ DRIVE	NA	COPPELL	TX	75019	CLASS SP ASM# 000	839161	61.97	56819362028
UNITED PARCEL SERVICE	ATTN PROPERTY TAX DEPT	660 FRITZ DR	NA	COPPELL	TX	75019	CLASS SP ASM# 000	839233	33.21	568193620
UPRIGHT THURMOND M	NA	935 BALFOUR QUARRY RD	NA	SALISBURY	NC	28146-8994	1974 INTL PARTS CAR	842420	13.49	26231
URGO DAVID M	NA	203 COVENTRY LN	NA	CARY	NC	27511-6638	5060 REEVES ISLAND RD	837911	186.44	6304937

VAN DEN BERG TAMARA A	NA	330 BOXER LN	NA	CLEVELAND	NC	27013-0000	1210 AMITY HILL RD	836552	12.65	6332289
VAN KAMPEN KAY T	NA	360 SCALEY BARK DR	NA	SALISBURY	NC	28147-7442	1990 FLEETWOOD 00014 00066	837335	1.34	570246000
VANDERBILT MORTGAGE & FINANCE	NA	P O BOX 9800	NA	MARYVILLE	TN	37802	2680 SHUE RD	840143	865.83	6317797
VANDEWEGE ROXANNE W	NA	394 FOUR LAKES DR	NA	CLEVELAND	NC	27013-0000	370 FOUR LAKES DR	836560	4.04	6347935
VANG CHANG & WF	YANG XAO	613 BARLOW AVE	NA	KANNAPOLIS	NC	28081-0000	BLACKWELDER RD	844907	3.45	6326517
VANOVER RODNEY	NA	PO BOX 756	NA	MOUNT ULLA	NC	28125-0756	1999 OAKWOOD 00014 00066	836507	1.07	6115889
VFS LEASING CO	ATTN TAX DEPT	% ADVANCED PROPERTY TAX COMPL	1611 N INTERSTATE 35E STE 428	CARROLLTON	TX	75006-8616	2017 VOLV TR MN1681	837473	167.72	6339015
VFS LEASING CO	ATTN TAX DEPT	% ADVANCED PROPERTY TAX COMPL	1611 N INTERSTATE 35E STE 428	CARROLLTON	TX	75006-8616	SECT SCH A10 CLASS EQ ASM# 00	837437	76.80	633901501
VILLARREAL NUNEZ DANIA A	NA	1476 COTTAGE RD	NA	KANNAPOLIS	NC	28081	1998 INTE S/V P-TAG AE32391	837802	51.29	6339201
WALKER SANDRA T & HUS	WALKER RICHARD	4417 MERIDIAN DR	NA	CHARLOTTE	NC	28216-2320	314 PARTEE ST	840796	12.51	6003770
WALLACE ERROLL WILLIAM & WF	WALLACE MARY D	1171 PLANTATION DR	NA	COLLINSVILLE	VA	24078-1226	CEDARWOOD DR	844060	2.81	6228761
WALLACE ROBERT VICTOR & WF	WALLACE VICKIE M	1110 CONFEDERATE AVE	NA	SALISBURY	NC	28144-2815	1110 CONFEDERATE AV	838265	106.14	577928500
WARD THERESA ELAINE	NA	7840 ROB WARD RD	NA	ROCKWELL	NC	28138-9314	7840 ROB WARD RD	843570	17.88	6070005
WASTE PRO OF NORTH CAROLINA IN	NA	2101 W STATE RD 434 STE 305	NA	LONGWOOD	FL	32779-5053	SECT SCH B8 CLASS EQ ASM# 00	841367	18.26	6340158
WATSON CAROLE P &	HOWARD GEORGE C	122 COOL WIND DR	NA	SALISBURY	NC	28146-0000	122 COOL WIND DR	841083	9.13	6344441
WATSON LARRY W	NA	28093 GOODMAN RD	NA	GOLD HILL	NC	28071-9323	GOODMAN RD	836496	2.44	6100252
WATSON PHYLLIS RAY	WATSON MICHAEL EUGENE	PO BOX 91	NA	GOLD HILL	NC	28071	1365 BULL DOG LN	844003	1.88	6223167
WATTS WILLIE T	NA	726 FLOWE DR	NA	SALISBURY	NC	28146-6973	716 FLOWE DR	838630	1.03	6217777
WEAVER CLAUDE M & WF	WEAVER BETTY JOANNE	149 DUVAL ST NW	NA	CONCORD	NC	28025-4840	9465 OLD BEATTY FORD RD	839472	1.40	15272
WEIMER GERALD JR &	WEIMER SANDRA	624 N FULTON ST	NA	SALISBURY	NC	28144-0000	624 N FULTON ST	838380	6.89	6322357
WELCH KENNETH JAMES	NA	1222 PUMP STATION RD	NA	KANNAPOLIS	NC	28081-8119	2006 YAMAHA O/B	837391	4.88	6298533
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	3405 SIDES RD	836211	363.24	11904
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	185 HAWKRIDGE LN	836211	1.41	21184
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	623 N WALNUT ST	836211	5.42	412408400
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	205 E KERNS ST	836211	823.57	428180000
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	415 SKYSAIL RD	836211	6.53	592337000
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	340 VIRGINIA AV	836211	182.88	6046907
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	6240 US 601 HWY	836211	5.37	6050343
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	100 LADY LN	836211	3375.73	6091009
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	72 GOODMAN DR	836211	59.01	6141443
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	135 COPPER LEAF LN	836211	1316.32	6172208
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	215 S CALDWELL ST	836211	2.34	6185988
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	404 BETHEL DR	836211	2881.40	6212922
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	1940 PEELER RD	836211	992.83	6230083
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	410 CALHOUN ST	836211	1083.98	6241430
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	1590 LEONARD RD	836211	829.83	6251631
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	7880 BRINGLE FERRY RD	836211	3167.17	6265008
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	402 OAK BROOK DR	836211	3545.29	6269193
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	302 EDGEWOOD DR	836211	372.55	6270229
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	412 CANDLEWICK DR	836211	272.57	6277040
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	1213 MIRROR PARK DR	836211	1311.60	6286227
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	1103 ARBOR DR	836211	879.48	6311845
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	9110 WRIGHT RD	836211	156.86	6313544
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	215 KINGS TER	836211	702.51	6338981
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	445 LEAZER RD	836211	1159.60	6344640
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	4320 QUEENS RD	836211	614.65	7864000001
WELLS FARGO REAL ESTATE TAX	MACX2302-04D	1 HOME CAMPUS	NA	DES MOINES	IA	50328	104 GALLANT CIR	836211	2470.41	85406000
WETTREICH HERBERT L & WF	WETTREICH DEBRA M	531 BIG INDIAN LOOP	NA	MOORESVILLE	NC	28117-9045	SHERRILLS FORD RD	841764	4.15	6154969
WFG LENDER SERVICES LLC	NA	3211 INTERNET BLVD SUITE 100	NA	FRISCO	TX	75034	7775 WOODLEAF RD	840128	4.56	6201024
WHISNANT JACQUELINE L	NA	1234 DOGWOOD LN	NA	SALISBURY	NC	28146-6017	1234 DOGWOOD LN	845356	2.09	592200000
WHITE GRANITE INC	NA	8550 LEE HWY STE 700	NA	FAIRFAX	VA	22031-1515	DEER TRACK RD	844877	46.61	6124588
WHITLEY KELLI LYN	NA	300 RIDGE DR	NA	LEXINGTON	NC	27295-0839	ROCK GROVE CHURCH RD	836532	1.55	6274431
WILKINSON DANRIDGE HUDGINS JR	NA	345 DAN RIDGE LN	NA	ROCKWELL	NC	28138-6514	3 DOGS	839789	8.25	6077139
WILLIAMS ADA E ETAL	NA	1402 IRVING ST	NA	SOUTH PLAINFIELD	NJ	07080-1722	STONER MORGAN RD	841962	1.10	6341747
WILLIAMS BETTY LOU SHAFER	NA	1431 REDCOAT DR	NA	CHARLOTTE	NC	28211-4302	113 GOLD HILL AV	845893	7.42	6196285
WILLIS DONNA P	NA	280 VICTORIA ST	NA	SALISBURY	NC	28147-7727	BARRINGER RD	836534	5.04	6289434
WILLIS PEGGY BOGER	NA	4366 HILTON LAKE RD	NA	KANNAPOLIS	NC	28083-9645	1972 WALK 00012 00070	836396	4.33	14895
WILLIS THOMAS F & WF	WILLIS PEGGY B	4366 HILTON LAKE RD	NA	KANNAPOLIS	NC	28083-9645	485 OLIVER RD	836574	76.02	8744
WINECOFF BOYCE E & WF	WINECOFF NELL Y	2000 WEAVER RD	NA	CHINA GROVE	NC	28023-8733	WEAVER RD	836486	8.31	60675000002
WINGLER LYDIA S	NA	126 PIEMONT DR	NA	KANNAPOLIS	NC	28081-0000	5664 TURNER DR	836560	4.38	6342545
WINOWICH PROPERTIES LLC	NA	PO BOX 2124	NA	CONCORD	NC	28026-0000	1875 GRACE CHURCH RD	837419	2.04	6343546
WOODSON SAYERS LAWYER, SHORT	PARROTT & ABRAMSON, LLP	P O BOX 829	NA	SALISBURY	NC	28144	350 CHESAPEAKE DR	846003	46.38	6140856
WORKMAN JUDY MORRIS & HS	WORKMAN SAM	1113 MORRIS FARM RD	NA	SALISBURY	NC	28147-7367	WEBB RD	839726	14.22	612557000
WYATT JERRY LEE & WF	WYATT DEBORAH PHILLIPS	127 THAYER CT	NA	MOORESVILLE	NC	28115-2684	DOE RIDGE DR	842226	4.56	6264045

XIONG SHOUA	NA	2502 WIND SWEEPED WAY	NA	ROCKWELL	NC	28138-8458	2502 WIND SWEEPED WAY	840817	4.94	6237309
XIONG YIA	NA	20 PINE LN	NA	HICKORY	NC	28601-0000	406 E EARNHARDT ST	844907	7.15	6331111
YATES & FUNDERBURK CONCRETE	FINISHING LLC	175 ANSON DR	PO BOX 870	CHINA GROVE	NC	28023-0870	2018 PJTM AF61524	839274	4.45	6337432
YATES RODNEY SHANE	NA	3035 AIRPORT RD	NA	SALISBURY	NC	28147-9215	1983 CHEV TK	844341	4.54	6085917
YATES STEVEN LEE	NA	4025 DAUGHERTY RD	NA	CHINA GROVE	NC	28023-9682	4025 DAUGHERTY RD	842783	21.16	6027713
1232 MAXWELL LLC	NA	1401 NORWALK ST	NA	GREENSBORO	NC	27407-0000	1232 MAXWELL ST	840217	21.73	6311295
730 MAINSAIL HOLDINGS LLC	NA	182 DOE CROSSING	NA	LEXINGTON	NC	27295-0000	730 MAINSAIL RD	836935	13.55	6338342
								TOTAL:	\$ 123,444.44	

Sonya Parnell
Tax Collections Manager

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Chris Soliz
DATE: 9/7/18
SUBJECT: 2019 Homeland Security Exercise Grant Application

The Emergency Services Department would like to apply for the 2019 Homeland Security Exercise Grant. Funds will be used to provide active violence training to fire, ems, and law enforcement partners. This Grant does not require any matching of funds, however there may be a requirement to spend the awarded amount from County funds and receive reimbursement at a later date. This condition has been used in the past but will only be known if/when the MOU for the Grant Award is received.

A description of the proposed funds usage is attached.

The Emergency Services Training Division respectfully requests authorization be given to apply for the Grant.

ATTACHMENTS:

Description	Upload Date	Type
Summary_2019 Homeland Security Exercise Grant Application	9/7/2018	Cover Memo



Be an original.

2727 Old Concord Rd, Suite E, Salisbury, NC, 28146-8388

[p] 704-216-8900

[f] 704-216-8921

To: Rowan County Board of Commissioners

From: Chris Soliz, Emergency Services Chief

Date: August 29, 2018

Re: 2019 Homeland Security Exercise Grant Application

Application Summary for the proposed use of Exercise Grant Funds:

Overview:

Bring key stakeholders and representatives from various local governmental, volunteer, and private agencies together for response and recovery integration for active violence incidents. The outcome of the exercise will allow development of a strategic integrated response plan that can be adapted / adopted by each participating agency to violent incidents. The exercise will be conducted in accordance with Homeland Security Exercise and Evaluation Program Guidelines with a focus on integrated response capabilities.

Proposed schedule:

- Provide Final Proposal to NCEM – 11/30/2018
- Prepare Exercise Plan – 01/31/2019
- Mail Notice of Exercise – 03/29/2019
- Conduct Exercise between – 05/01/2019 and 12/06/2019
- Complete Action Report – 01/07/2019

Estimated Budget:

1. Planning Conferences - \$4000.00
 2. Seminar(s) on Active Violence Threats - \$20000.00
 3. Table Top Exercise - \$10000.00
 4. Full Scale Exercise Conduct - \$20000.00
- Total = \$54,000.00

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Scott Shelton, EDC, Vice-President of Operations
DATE: September 7, 2018
SUBJECT: Request to Schedule Public Hearings for Oct. 1, 2018 for Projects "Arizona" & "Care"

The Rowan EDC requests that the Board of Commissioners schedule public hearings for their October 1st meeting to consider incentives for Projects "Arizona" and "Care."

The companies behind both projects are considering Rowan County for potential expansions. "Project Arizona" would create 77 new jobs and invest approximately \$188 million dollars in new construction and equipment. "Project Care" would create 59 new jobs while investing \$68 million dollars in new construction and equipment.

ATTACHMENTS:

Description	Upload Date	Type
Memo - Request to Set Public Hearings	9/7/2018	Cover Memo

Be an original.

Date: September 7, 2018
To: Greg Edds, Chairman
Cc: Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
From: Scott Shelton, Vice President of Operations
Re: *Request for public hearings to consider incentives for "Project Arizona" & "Project Care"*

Dear Chairman Edds,

With cautious optimism, I respectfully request that the Board of Commissioners schedule public hearings for October 1, 2018 to consider incentive requests from "Project Arizona" and "Project Care." Both companies are existing employers in our community that are considering Rowan County for their potential expansions.

The expansion proposed by "Project Arizona" would retain current employment levels and create 77 new jobs. While these numbers are preliminary, the company behind "Project Arizona" also estimates that it will invest approximately \$188 million dollars in new construction and equipment.

"Project Care" also plans to retain their current employment levels while creating 59 new jobs. The company also plans to invest \$68 million dollars in new construction and equipment.

These projects would allow both companies to add additional full-time jobs and expand their operations in Rowan County. Both projects would also give us the opportunity to actively support companies that have long and valued relationships with our community, as well as substantially increase the County's tax base.

I look forward to providing you detailed information regarding this request and these projects in the coming days. Please do not hesitate to contact me with any questions you may have, and thank you for considering this matter.

Yours truly,



Scott Shelton
Vice President of Operations

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/8/2018
SUBJECT: Another Choice For Black Children - Foster Care Contract

Please see the attached contract.

Please approve the attached contract between Another Choice for Black Children, Inc. and the Rowan County Department of Social Services for foster care services.

ATTACHMENTS:

Description	Upload Date	Type
Another Choice for Black Children - Foster Care Contract	9/8/2018	Cover Memo

ROWAN COUNTY CONTRACT CONTROL FORM

Date: 8/28/2018

SECTION I - DEPARTMENT COMPLETES

Department: Social Services Account #: 1155420-593005 Amount: -
Account #: 1155425-593008 Amount: -
Total: \$30,000.00 - not to exceed
Vendor name: Another Choice for Black Children, Inc. Term Dates: 7/21/2018-6/30/2019
Contract description: Therapeutic Foster Care
POC name: Ruth Amerson Phone: 704-394-1194
POC email: ruth@anotherchoice.net
Vendor mail address: 3028 Beatties Ford Road, Suite G, Charlotte, NC 28216 Vendor #: 13368

New contract ☒ Contract renewal ☐ Munis contract #:
Amendment to contract ☐ Vendor signatures ☐ Munis BA #, if applicable:
Notes:
Department Head Initials: DT Date: 8-28-18

SECTION II - CONTRACT ADMINISTRATOR REVIEW

☒ Section I properly completed ☒ Requires Board approval (Y/N) 9/17/18
☒ Budgeted funds are available
Contract Administrator Initials: DS Date: 8/30/18

SECTION III - INFORMATION TECHNOLOGY REVIEW, IF APPLICABLE

This document has been reviewed and approved by the IT Director as to technical content.

IT Director Initials: NA Date:
SECTION IV - INSURANCE REVIEW

SECTION IV - INSURANCE REVIEW

Hold contract pending receipt of Certificate of Insurance ☐ Certificate attached and approved ☐ No insurance required ☐
Risk Manager Initials:
Date:
SECTION V - LEGAL REVIEW

SECTION V - LEGAL REVIEW

Non-appropriation clause ☐ Indemnity clause ☐ Termination clause ☐ E-verify clause ☐
Approved as to form and sufficiency ☐ If Board approval required, sent to Department for agenda item ☐
Attorney Initials:
Date:
SECTION VI - FINANCE DIRECTOR REVIEW AND PRE-AUDIT

SECTION VI - FINANCE DIRECTOR REVIEW AND PRE-AUDIT

Budgeted funds are available ☐ Contract has been pre-audited ☐
Finance Director Initials:
Date:
SECTION VII - COUNTY MANAGER REVIEW

SECTION VII - COUNTY MANAGER REVIEW

Contract has been properly signed by all parties ☐
County Manager Initials:
Date:
SECTION VIII - CONTRACT ADMINISTRATOR COMPLETES

SECTION VIII - CONTRACT ADMINISTRATOR COMPLETES

This document has been reviewed and approved by the Board of Commissioners and/or County Manager. ☐ Yes ☐ No Date:
Document fully executed, scanned and posted on the County website Date:
Contract Administrator Initials:
Date:

Contract #**Fiscal Year Begins 7/1/2018 Ends 6/30/2019**

This contract is hereby entered into by and between the Rowan County Department of Social Services (the "County") and Another Choice for Black Children Inc. (the "Contractor") (referred to collectively as the "Parties"). The Contractor's federal tax identification number or Social Security Number is _____ and DUNS Number (required if funding from a federal funding source).

1. Contract Documents: This Contract consists of the following documents:

- (1) This contract
- (2) The General Terms and Conditions (Attachment A)
- (3) The Scope of Work, description of services, and rate (Attachment B)
- (4) Federal Certification Regarding Drug-Free Workplace & Certification Regarding Nondiscrimination (Attachment C)
- (5) Conflict of Interest (Attachment D)
- (6) No Overdue Taxes (Attachment E)
- (7) Federal Certification Regarding Environmental Tobacco Smoke (Attachment F)
- (8) Federal Certification Regarding Lobbying (Attachment G)
- (9) Federal Certification Regarding Debarment (Attachment H)
- (10) *If applicable*, HIPAA Business Associate Addendum (checklist and forms)
- (11) Certification of Transportation (Attachment J)
- (12) *If applicable*, IRS federal tax exempt letter or 501 (c)(3) (Attachment K) <http://www.irs.gov/pub/irs-fill/k1023.pdf>
- (13) Certain Reporting and Auditing Requirements (Attachment L)
- (14) State Certification (Attachment M)
- (15) Iran Divestment Act Certification (Attachment N)
- (16) Contract Determination Questionnaire (required)

These documents constitute the entire agreement between the Parties and supersede all prior oral or written statements or agreements.

2. Precedence among Contract Documents: In the event of a conflict between or among the terms of the Contract Documents, the terms in the Contract Document with the highest relative precedence shall prevail. The order of precedence shall be the order of documents as listed in Paragraph 1, above, with the first-listed document having the highest precedence and the last-listed document having the lowest precedence. If there are multiple Contract Amendments, the most recent amendment shall have the highest precedence and the oldest amendment shall have the lowest precedence.**3. Effective Period:** This contract shall be effective on 7/1/2018 and shall terminate on 6/30/2019, This contract must be twelve months or less.**4. Contractor's Duties:** The Contractor shall provide the services and in accordance with the approved rate as described in Attachment B, Scope of Work.**5. County's Duties:** The County shall pay the Contractor in the manner and in the amounts specified in the Contract Documents. The total amount paid by the County to the Contractor under this contract shall not exceed \$ 30,000.00. This amount consists of \$ _____ in Federal funds (CFDA # _____), \$ _____ in State Funds, \$ _____ in County funds

☒ a. There are no matching requirements from the Contractor.

☐ b. The Contractor's matching requirement is \$ _____, which shall consist of:

<input type="checkbox"/> In-kind	<input type="checkbox"/> Cash
<input type="checkbox"/> Cash and In-kind	<input type="checkbox"/> Cash and/or In-kind

The contributions from the Contractor shall be sourced from non-federal funds.
The total contract amount including any Contractor match shall not exceed \$30,000.00.

6. Reversion of Funds:

Any unexpended grant funds shall revert to the County Department of Social Services/Human Services upon termination of this contract.

7. Reporting Requirements:

Contractor shall comply with audit requirements as described in N.C.G.S. § 143C-6-22 & 23 and OMB Circular- CFR Title 2 Grants and Agreements, Part 200, and shall disclose all information required by 42 USC 455.104, or 42 USC 455.105, or 42 USC 455.106.

8. Payment Provisions:

Payment shall be made in accordance with the Contract Documents as described in the Scope of Work, Attachment B.

- 9. Contract Administrators:** All notices permitted or required to be given by one Party to the other and all questions about the contract from one Party to the other shall be addressed and delivered to the other Party's Contract Administrator. The name, post office address, street address, telephone number, fax number, and email address of the Parties' respective initial Contract Administrators are set out below. Either Party may change the name, post office address, street address, telephone number, fax number, or email address of its Contract Administrator by giving timely written notice to the other Party.

For the County:

IF DELIVERED BY US POSTAL SERVICE		IF DELIVERED BY ANY OTHER MEANS	
Name & Title	Donna F. Fayko, Director	Name & Title	Donna F. Fayko, Director
County	Rowan	County	Rowan
Mailing Address	1813 East Innes Street	Street Address	1813 East Innes Street
City, State, Zip	Salisbury NC 28146	City, State, Zip	Salisbury NC 28146
Telephone	704.216.8422		
Fax	704.638.3041		
Email	donna.fayko@rowancountync.gov		

For the Contractor:

IF DELIVERED BY US POSTAL SERVICE		IF DELIVERED BY ANY OTHER MEANS	
Name & Title	Ruth Amerson, CEO	Name & Title	Ruth Amerson, CEO
Company Name	Another Choice for Black Children, Inc.	Company Name	Another Choice for Black Children, Inc.
Mailing Address	3028 Beatties Ford Road	Street Address	3028 Beatties Ford Road
City State Zip	Charlotte Suite G, NC 28216	City State Zip	Charlotte Suite G, NC 28216
Telephone	704.394.1194		
Fax	704.394.2645		
Email	ruth@anotherchoice.net		

10. Supplementation of Expenditure of Public Funds:

The Contractor assures that funds received pursuant to this contract shall be used only to supplement, not to supplant, the total amount of federal, state and local public funds that the Contractor otherwise expends for contract services and related programs. Funds received under this contract shall be used to provide additional public funding for such services; the funds shall not be used to reduce the Contractor's total expenditure of other public funds for such services.

11. Disbursements:

As a condition of this contract, the Contractor acknowledges and agrees to make disbursements in accordance with the following requirements:

- (a) Implement adequate internal controls over disbursements;
- (b) Pre-audit all vouchers presented for payment to determine:
 - Validity and accuracy of payment
 - Payment due date
 - Adequacy of documentation supporting payment
 - Legality of disbursement
- (c) Assure adequate control of signature stamps/plates;
- (d) Assure adequate control of negotiable instruments; and
- (e) Implement procedures to insure that account balance is solvent and reconcile the account monthly.

12. Outsourcing to Other Countries:

The Contractor certifies that it has identified to the County all jobs related to the contract that have been outsourced to other countries, if any. The Contractor further agrees that it will not outsource any such jobs during the term of this contract without providing notice to the County.

13. Federal Certifications:


Individuals and Organizations receiving federal funds must ensure compliance with certain certifications required by federal laws and regulations. The contractor is hereby complying with Certifications regarding Nondiscrimination, Drug-Free Workplace Requirements, Environmental Tobacco Smoke, Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions, and Lobbying. These assurances and certifications are to be signed by the contractor's authorized representative.

14. Specific Language Not Previously Addressed:

(can be delted if not needed)

- 15. Signature Warranty:** The undersigned represent and warrant that they are authorized to bind their principals to the terms of this agreement.

The Contractor and the County have executed this contract in duplicate originals, with one original being retained by each party.

 _____ Signature	8/18/18 _____ Date
Melvin Jackson _____ Printed Name	Boara Pineda _____ Title

COUNTY

 _____ Signature	8-28-18 _____ Date
Donna F Fayko _____ Printed Name	Director _____ Title

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act.

_____ Signature of County Finance Officer	_____ Date
--	---------------

ATTACHMENT B – Scope of Work Federal Tax Id. or SSN

A. CONTRACTOR INFORMATION

1. Contractor Agency Name: Another Choice for Black Children, Inc.
2. *If different* from Contract Administrator Information in General Contract:
Address Telephone Number: Fax Number: Email:
3. Name of Program (s): Foster Care/Adoption/Emergency Shelter
4. Status: ☐ Public ☒ Private, Not for Profit ☐ Private, For Profit
5. Contractor's Financial Reporting Year January 1 through December 31

B. Explanation of Services to be provided and to whom (include SIS Service Code):
Child Placing Agency

C. Rate per unit of Service (define the unit): see below

1. If Standard Fixed Rate, Maximum Allowable, (See Rates for Services Chart)

Standard Board Rates	Monthly Rates		
	Age 0-5	Age 6-12	Age 13+
Foster Care			
Therapeutic Foster Care	\$475	\$581	\$634
Residential Treatment (Level 2)			
Child Placing Agency	\$1,433	\$1,564	\$1,638
Residential Child Caring Institution	\$4,279	\$4,437	\$4,516
Standard Board and Treatment Rates	Daily Rates		
	Board	Treatment*	
Residential Treatment Level 3, 0-4 beds	\$43	\$232.88	
Residential Treatment Level 3, 5+ beds	\$33	\$189.75	
Residential Treatment Level 4, 0-4 beds	\$43	\$315.71	
Residential Treatment Level 4, 5+ beds	\$40	\$315.71	
*Treatment Rates set by DMA and are subject to change.			

2. Negotiated County Rate.
Additional county funds per individual client agreements.

D. Number of units to be provided: The number of applications submitted by the Rowan County DSS and accepted by the Contractor during each fiscal year shall equal the number of units provided.

E. Details of Billing process and Time Frames: Invoices are due to Rowan County DSS no later than the 3rd working day of the month and payment is issued no later than the 25th day of each month.

F. Area to be served/Delivery site(s): Rowan County clients at Contractor facilities

G. MUTUAL AGREEMENT

I. Both Parties Agree to:

1. Meet at least annually to review the outcome data and quality standards information, and as needed to address special projects or issues throughout the year.
2. Return all phone calls received within twenty-four (24) hours or by the end of the next business day.
3. Share all information needed to ensure a good match/appropriate placement.
4. Clearly communicate any concerns about the partnership with a problem-solving approach that prioritizes the best interest of the child and family being served.

II. Private Partner

Private Partner agrees to provide foster care services for children in the custody of County, and shall do the following:

Share Performance Data:

1. Provide data to the County annually or as needed for special projects or to address specific concerns..
2. Enter data into the ***Client Dashboard Data Capture Sheet*** which will generate the aggregate data reports, which the Private Agency will share with the County. Data will be entered in accordance with the definitions provided in the ***Performance Data Definition Guide***.
3. Ensure records are accessible for review for monitoring services rendered and for financial audits.
4. Ensure records are accessible for research and evaluation. Upon request of County, Private Partner shall provide data about individual children for research and study.

Provide Services:

1. Provide foster care services, guided by an individualized permanency and/or treatment plan to be developed with the child's social worker within 30 days of admission.
2. Provide for each child's safety, shelter, emotional, nutritional, and basic everyday needs. Within reason and to be negotiated as needed, provide for personal hygiene items, school supplies, school field trips, extracurricular activities, photos, and yearbooks.
3. Within reason and to be negotiated as needed, maintain the child's clothing inventory, providing adequate and appropriate attire to meet the child's growth, wear/tear, seasonal, and educational needs. A clothing inventory at admission and a clothing inventory at discharge (adequate for transition to the next placement) shall be completed.

4. Participate in County-supervised visits between child and family.
5. Train and support foster parents in shared parenting with biological parents.
6. Provide transportation within 100 miles round trip to and from parental visits, school, and medical, dental, and therapy appointments if required.
7. Coordinate non-emergency, temporary respite care placements that have been approved by a child's County-assigned social worker.
8. For placements involving mental health treatment (Levels 2-4, PRTF), provide case management including development and maintenance of Person-Centered Plan and oversee requests for authorizations and reauthorizations.
9. Adhere to the County's policy/procedures regarding discipline of children in foster care.
10. Adhere to the County's procedures for applying for day care (if applicable).

Communication and Planning:

1. Notify County immediately when a child receives emergency care, is hospitalized, is placed in detention, or is absent/missing (e.g., on the run). Private Partner will also notify local authorities immediately upon discovering a child is missing.
2. When a child is transported to the hospital for an emergency psychiatric hospital assessment, accompany/supervise the child in the emergency room for up to 4 hours to allow County adequate travel and coordination time to respond to the event.
3. Communicate with the County monthly regarding each child's needs and progress in the program, unless more frequent communications are needed based on the specific circumstances.
4. Notify County of Child and Family Team meetings, Treatment Team meetings, and other planning meetings as necessary.
5. With County, coordinate planning for any move of the child.
6. Work closely with County to develop a written transition plan within 7 business days prior to discharge from program.
7. Attend Permanency Planning Review meetings for each child. If attendance is not feasible, Private Partner will submit a written report prior to said meeting.
8. Attend court hearings and provide information to the court as needed. If attendance is not feasible, review report prior to court. Private Partner should provide a written summary to the court regarding each child's progress.
9. Provide County a minimum 30 day grace period prior to discharge of any child so that an appropriate subsequent placement can be secured. Shorter periods may be agreed upon if the Parties deem it necessary.
10. If Private Partner is the clinical home for the child: if after consultation with the County it is determined that continuation of the placement is not beneficial, provide 30 days notice to the County to arrange another more appropriate placement. Private Partner will continue meeting the child's clinical needs until another provider can assume clinical home responsibility for the child.
11. Discuss potential medication changes with the child's social worker, and provide written notification of medication changes or current medication list within 24 hours of changes, and upon discharge.

12. Provide a discharge summary within thirty (30) days of discharge, including history, course of treatment, progress in care, medications, and plan for the child.

III. County

The County shall retain custody of each child while the child is placed with the Private Partner, and shall do the following:

Share Information:

1. Provide the following for each child upon admission:
 - a. Verification of current physical exam within the last 12 months and assist with scheduling of exam within 72 hours of placement.
 - b. Social Security card (copy of).
 - c. Medicaid card.
 - d. Documentation of custody.
 - e. Case history, including information regarding special court sanctions, treatment plans, and medical records.
 - f. Out-of-home family services agreement.
 - g. Psychological evaluation (if applicable).
 - h. Immunization record.
 - i. Visitation agreement (if applicable).
 - j. Child Health Status Component (DSS-5125-II).
 - k. Education Component (DSS-5245).
 - l. Birth certificate (copy of).
 - m. School enrollment letter (if applicable).
 - n. A document outlining the financial criteria for each child. A signed copy of the document must be returned to the County in order for Private Partner to receive financial payment for the child. In the event of an emergency placement, the document will be provided to the Private Partner within seven (7) working days.
 - o. Any other forms or information required by the Private Partner.

Provide Services:

1. Conduct regular, face-to-face visits with Private Partner on at least a monthly basis to review each child's progress toward meeting the goals of the out-of-home services agreement and treatment plan.
2. Conduct in-person visits with each child at least once a month in the placement provider's home.

3. Monitor and assure implementation of all aspects of a child's treatment plan, including court-ordered visitation by parent/guardian.
4. Enroll/withdraw the child in school.
5. Collaborate with Private Partner to support shared parenting between foster parents and children's biological parents.

Communication and Planning:

1. Coordinate required medical exams for each child and advise Private Partner of results.
2. If not provided at placement (due to emergency circumstances), share the out-of-home family services agreement for each child within 7 business days of when it is developed.
3. Share updated out-of-home family services agreement every six months or when the child or family's circumstances or needs change.
4. Maintain close communication with the Private Partner regarding treatment issues, changes in each child's family situation, child/family needs, and discharge planning for each child.
5. Attend Child and Family Team meetings, Treatment Team meetings, and other planning meetings as necessary.
6. Provide a ten (10) day notice for all Permanency Planning Meetings and court dates, and notify Private Partner when the Permanency Plan changes.
7. Notify the child's parent/guardian and Guardian ad Litem when child will be moved or has been moved.
8. Plan for discharge in concert with the Private Partner's treatment team, providing a 7 business day advance notice prior to discharge.
9. Be available or have the supervisor or after hours staff respond to emergencies.


(Signature of County Authorized Person)

8-28-18
(Date Submitted)


(Signature of Contractor)

8/18/18
(Date Submitted)

Contractor: Another Choice for Black Children, Inc.

ATTACHMENT C

**CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS
AND CERTIFICATION REGARDING NONDISCRIMINATION**

Rowan County Department of Social Services

- I. By execution of this Agreement the Contractor certifies that it will provide a drug-free workplace by:
 - A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - B. Establishing a drug-free awareness program to inform employees about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The Contractor's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 - C. Making it a requirement that each employee be engaged in the performance of the agreement be given a copy of the statement required by paragraph (A);
 - D. Notifying the employee in the statement required by paragraph (A) that, as a condition of employment under the agreement, the employee will:
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
 - E. Notifying the County within ten days after receiving notice under subparagraph (D)(2) from an employee or otherwise receiving actual notice of such conviction;
 - F. Taking one of the following actions, within 30 days of receiving notice under subparagraph (D)(2), with respect to any employee who is so convicted:
 - (1) Taking appropriate personnel action against such an employee, up to and including termination; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (A), (B), (C), (D), (E), and (F).

II. The site(s) for the performance of work done in connection with the specific agreement are listed below:

1. 3028 Beatties Ford Road (Street address)

Charlotte, Mecklenburg, NC 28216 (City, county, state, zip code)

2. (Street address)

(City, county, state, zip code)

Contractor will inform the County of any additional sites for performance of work under this agreement.

False certification or violation of the certification shall be grounds for suspension of payment, suspension or termination of grants, or government-wide Federal suspension or debarment
45 C.F.R. Section 82.510. Section 4 CFR Part 85, Section 85.615 and 86.620.

Certification Regarding Nondiscrimination

The Vendor certifies that it will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (h) the Food Stamp Act and USDA policy, which prohibit discrimination on the basis of religion and political beliefs; and (i) the requirements of any other nondiscrimination statutes which may apply to this Agreement.


Signature

Board President
Title

Another Choice for Black Children, Inc.
Agency/Organization

8/18/18
Date

(Certification signature should be same as Contract signature.)



Another Choice for Black Children Inc.

3028 BEATTIES FORD ROAD

CHARLOTTE, NC 28216

Phone: (704) 394-1124 or (800) 774-3534 (toll free)

Fax: (704) 394-2645

Website: www.acfbc.org

NOTARIZED CONFLICT OF INTEREST POLICY

State of North Carolina

County of Union

I, Deborah R. Harley, Notary Public for said County and State, certify that

Melvin Jackson personally appeared before me this day and acknowledged

that he/she is President, Board of Directors of Another Choice for Black Children
{enter name of entity}

and by that authority duly given and as the act of the Organization, affirmed that the foregoing Conflict of Interest Policy was adopted by the Board of Directors/Trustees or other governing body in a meeting held on the 13th day of

January, 2018.

Sworn to and subscribed before me this 16th day of August, 2018.

(Official Seal)

Deborah R. Harley
Notary Public

My Commission expires November 23, 2018

Another Choice for Black Children, Inc.

Name of Organization

Melvin Jackson
Signature of Organization Official

Melvin Jackson

Printed Name of Organization Official

Conflict of Interest Policy

The Board of Directors/Trustees or other governing persons, officers, employees or agents are to avoid any conflict of interest, even the appearance of a conflict of interest. The Organization's Board of Directors/Trustees or other governing body, officers, staff and agents are obligated to always act in the best interest of the organization. This obligation requires that any Board member or other governing person, officer, employee or agent, in the performance of Organization duties, seek only the furtherance of the Organization mission. At all times, Board members or other governing persons, officers, employees or agents, are prohibited from using their job title, the Organization's name or property, for private profit or benefit.

A. The Board members or other governing persons, officers, employees, or agents of the Organization should neither solicit nor accept gratuities, favors, or anything of monetary value from current or potential contractors/vendors, persons receiving benefits from the Organization or persons who may benefit from the actions of any Board member or other governing person, officer, employee or agent. This is not intended to preclude bona-fide Organization fund raising-activities.

B. A Board or other governing body member may, with the approval of Board or other governing body, receive honoraria for lectures and other such activities while not acting in any official capacity for the Organization. Officers may, with the approval of the Board or other governing body, receive honoraria for lectures and other such activities while on personal days, compensatory time, annual leave, or leave without pay. Employees may, with the prior written approval of their supervisor, receive honoraria for lectures and other such activities while on personal days, compensatory time, annual leave, or leave without pay. If a Board or other governing body member, officer, employee or agent is acting in any official capacity, honoraria received in connection with activities relating to the Organization are to be paid to the Organization.

C. No Board member or other governing person, officer, employee, or agent of the Organization shall participate in the selection, award, or administration of a purchase or contract with a vendor where, to his knowledge, any of the following has a financial interest in that purchase or contract:

1. The Board member or other governing person, officer, employee, or agent;
2. Any member of their family by whole or half blood, step or personal relationship or relative-in-law;
3. An organization in which any of the above is an officer, director, or employee;
4. A person or organization with whom any of the above individuals is negotiating or has any arrangement concerning prospective employment or contracts.

D. **Duty to Disclosure** -- Any conflict of interest, potential conflict of interest, or the appearance of a conflict of interest is to be reported to the Board or other governing body or one's supervisor immediately.

E. **Board Action** -- When a conflict of interest is relevant to a matter requiring action by the Board of Directors/Trustees or other governing body, the Board member or other governing person, officer, employee, or agent (person(s)) must disclose the existence of the conflict of interest and be given the opportunity to disclose all material facts to the Board and members of committees with governing board delegated powers considering the possible conflict of interest. After disclosure of all material facts, and after any discussion with the person, he/she shall leave the governing board or committee meeting while the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists.

In addition, the person(s) shall not participate in the final deliberation or decision regarding the matter under consideration and shall leave the meeting during the discussion of and vote of the Board of Directors/Trustees or other governing body.

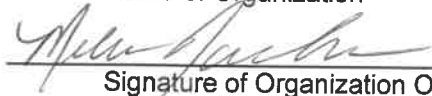
F. **Violations of the Conflicts of Interest Policy** -- If the Board of Directors/Trustees or other governing body has reasonable cause to believe a member, officer, employee or agent has failed to disclose actual or possible conflicts of interest, it shall inform the person of the basis for such belief and afford the person an opportunity to explain the alleged failure to disclose. If, after hearing the person's response and after making further investigation as warranted by the circumstances, the Board of Directors/Trustees or other governing body determines the member, officer, employee or agent has failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

G. **Record of Conflict** -- The minutes of the governing board and all committees with board delegated powers shall contain:

1. The names of the persons who disclosed or otherwise were found to have an actual or possible conflict of interest, the nature of the conflict of interest, any action taken to determine whether a conflict of interest was present, and the governing board or committee's decision as to whether a conflict of interest in fact existed.
2. The names of the persons who were present for discussions and votes relating to the transaction or arrangement that presents a possible conflict of interest, the content of the discussion, including any alternatives to the transaction or arrangement, and a record of any votes taken in connection with the proceedings.

Approved by:

Another Choice for Black Children, Inc.
Name of Organization


Signature of Organization Official

Melvin Jackson
Printed Name of Organization Official

August 16, 2018
Date



Another Choice for Black Children Inc.

3028 BEATTIES FORD ROAD

CHARLOTTE, NC 28216

Phone: (704) 394-1124 or (800) 774-3534 (toll free)

Fax: (704) 394-2645

Website: www.acfbc.org

August 18, 2018

To: Rowan COUNTY DEPARTMENT OF HEALTH AND HUMAN SERVICES

Certification:

We certify that the Another Choice for Black Children, Inc. does not have any overdue tax debts, as defined by N.C.G.S. 105-243.1, at the federal, State, or local level. We further understand that any person who makes a false statement in violation of N.C.G.S. 143C-6-23(c) is guilty of a criminal offense punishable as provided by N.C.G.S. 143C-10-1b.

Sworn Statement:

Melvin Jackson and Ruth Amerson being duly sworn, say that we are the Board Chair and Chief Executive Officer, respectively, of Another Choice for Black Children, Inc. of Charlotte in the State of North Carolina and that the foregoing certification is true, accurate and complete to the best of our knowledge and was made and subscribed by us. We also acknowledge and understand that any misuse of State funds will be reported to the appropriate authorities for further action.

Signature: _____

Board Chair

Signature: _____

Title of Second Authorizing Officer _____ CEO

Sworn to and subscribed before me on the day of the date of said certification.

Deborah K. Harley
(Notary Signature and Seal)

My Commission Expires: November 23, 2018

¹ G.S. 105-243.1 defines: Overdue tax debt. – Any part of a tax debt that remains unpaid 90 days or more after the notice of final assessment was mailed to the taxpayer. The term does not include a tax debt, however, if the taxpayer entered into an installment agreement for the tax debt under G.S. 105-237 within 90 days after the notice of final assessment was mailed and has not failed to make any payments due under the installment agreement."

Contractor: Another Choice for Black Children, Inc.

ATTACHMENT F

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Rowan County Department of Social Services

Certification for Contracts, Grants, Loans and Cooperative Agreements

Public Law 103-227, Part C-Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity.

By signing and submitting this application, the Contractor certifies that it will comply with the requirements of the Act. The Contractor further agrees that it will require the language of this certification be included in any subawards which contain provisions for children's services and that all subgrantees shall certify accordingly.


Signature

Board President
Title

Another Choice for Black Children, Inc.
Agency/Organization

8/16/2018
Date

(Certification signature should be same as Contract signature.)

Contractor: Another Choice for Black Children, Inc.

Attachment G

Rowan County Department of Social Services

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal, state or local government agency, a Member of Congress, a Member of the General Assembly, an officer or employee of Congress, an officer or employee of the General Assembly, an employee of a Member of Congress, or an employee of a Member of the General Assembly in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal, state or local government agency, a Member of Congress, a Member of the General Assembly, an officer or employee of Congress, an officer or employee of the General Assembly, an employee of a Member of Congress, or an employee of a Member of the General Assembly in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- (4) This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Notwithstanding other provisions of federal OMB Circulars-CFR Title 2, Grants and Agreements, Part 200, costs associated with the following activities are unallowable:

Paragraph A.

- (1) Attempts to influence the outcomes of any Federal, State, or local election, referendum, initiative, or similar procedure, through in kind or cash contributions, endorsements, publicity, or similar activity;
- (2) Establishing, administering, contributing to, or paying the expenses of a political party, campaign, political action committee, or other organization established for the purpose of influencing the outcomes of elections;
- (3) Any attempt to influence: (i) The introduction of Federal or State legislation; or (ii) the enactment or modification of any pending Federal or State legislation through communication with any member or employee of the Congress or State legislature (including efforts to influence State or local officials to engage in similar lobbying activity),

- or with any Government official or employee in connection with a decision to sign or veto enrolled legislation;
- (4) Any attempt to influence: (i) The introduction of Federal or State legislation; or (ii) the enactment or modification of any pending Federal or State legislation by preparing, distributing or using publicity or propaganda, or by urging members of the general public or any segment thereof to contribute to or participate in any mass demonstration, march, rally, fundraising drive, lobbying campaign or letter writing or telephone campaign; or
 - (5) Legislative liaison activities, including attendance at legislative sessions or committee hearings, gathering information regarding legislation, and analyzing the effect of legislation, when such activities are carried on in support of or in knowing preparation for an effort to engage in unallowable lobbying.

The following activities as enumerated in Paragraph B are excepted from the coverage of Paragraph A:

Paragraph B.

- (1) Providing a technical and factual presentation of information on a topic directly related to the performance of a grant, contract or other agreement through hearing testimony, statements or letters to the Congress or a State legislature, or subdivision, member, or cognizant staff member thereof, in response to a documented request (including a Congressional Record notice requesting testimony or statements for the record at a regularly scheduled hearing) made by the recipient member, legislative body or subdivision, or a cognizant staff member thereof; provided such information is readily obtainable and can be readily put in deliverable form; and further provided that costs under this section for travel, lodging or meals are unallowable unless incurred to offer testimony at a regularly scheduled Congressional hearing pursuant to a written request for such presentation made by the Chairman or Ranking Minority Member of the Committee or Subcommittee conducting such hearing.
- (2) Any lobbying made unallowable by subparagraph A (3) to influence State legislation in order to directly reduce the cost, or to avoid material impairment of the organization's authority to perform the grant, contract, or other agreement.
- (3) Any activity specifically authorized by statute to be undertaken with funds from the grant, contract, or other agreement.

Paragraph C.

- (1) When an organization seeks reimbursement for indirect costs, total lobbying costs shall be separately identified in the indirect cost rate proposal, and thereafter treated as other unallowable activity costs in accordance with the procedures of subparagraph B.(3).
- (2) Organizations shall submit, as part of the annual indirect cost rate proposal, a certification that the requirements and standards of this paragraph have been complied with.
- (3) Organizations shall maintain adequate records to demonstrate that the determination of costs as being allowable or unallowable pursuant to this section complies with the requirements of this Circular.
- (4) Time logs, calendars, or similar records shall not be required to be created for purposes of complying with this paragraph during any particular calendar month when: (1) the employee engages in lobbying (as defined in subparagraphs (a) and (b)) 25 percent or less of the employee's compensated hours of employment during that calendar month, and (2) within the preceding five-year period, the organization has not materially misstated allowable or unallowable costs of any nature, including legislative lobbying costs. When conditions (1) and (2) are met, organizations are not required to establish records to support the allowability of claimed costs in addition to records already required or maintained. Also, when conditions (1) and (2) are met, the absence of time logs, calendars, or similar records will not serve as a basis for disallowing costs by contesting estimates of lobbying time spent by employees during a calendar month.
- (5) Agencies shall establish procedures for resolving in advance, in consultation with OMB, any significant questions or disagreements concerning the interpretation or application of this section. Any such advance resolution shall be binding in any subsequent

settlements, audits or investigations with respect to that grant or contract for purposes of interpretation of this Circular; provided, however, that this shall not be construed to prevent a contractor or grantee from contesting the lawfulness of such a determination.

Paragraph D.

Executive lobbying costs. Costs incurred in attempting to improperly influence either directly or indirectly, an employee or officer of the Executive Branch of the Federal Government to give consideration or to act regarding a sponsored agreement or a regulatory matter are unallowable. Improper influence means any influence that induces or tends to induce a Federal employee or officer to give consideration or to act regarding a federally sponsored agreement or regulatory matter on any basis other than the merits of the matter.


Signature

Board President
Title

Another Choice for Black Children, Inc. _____
Agency/Organization

8/26/2018
Date

(Certification signature should be same as Contract signature.)

Contractor: Another Choice for Black Children, Inc.

ATTACHMENT K

What is a Private Non Profit Agency?

Answer: A private non profit is an organization that is incorporated under State law and whose purpose is not to make a profit, but rather to further a charitable, civic, religious, scientific, or other lawful purpose. The Secretary of State's office grants corporate status to organizations in North Carolina.

What is a 501(c)(3) designation?

Answer: When the agency becomes a state private non profit corporation, it can then apply for 501(c)(3) designation through the IRS. Once the IRS grants 501(c)(3) status, the organization is exempt from certain taxes and any donations to the charitable organization are tax deductible. Many individuals and organizations prefer to make donations to 501(c)(3) private non profits.

Who can obtain a 501(c)(3) designation?

Answer: Any organization or group can apply for 501(c)(3) status, provided their charter or mission focuses on the non profit's objective.

Another option is to apply for a 509(a)(1) status which falls under the 501(c)(3) umbrella. Being a 509(a)(1) designates an organization as a tax-free public charity that receives most of its support from a governmental unit or from the general public. Becoming a 509(a)(1) provides public recognition of tax-exempt status, advance assurance to donors of deductibility of contributions, exemption from certain State and federal taxes, and non profit mailing privileges. Organizations that typically qualify are churches, educational institutions, hospitals, and governmental units.

How does a Private Non Profit obtain Tax Exempt Status?

EO Web Site [www.irs.gov/eo]

IRS TE/GE Customer Service

You may direct technical and procedural questions concerning charities and other nonprofit organizations, including questions about your tax-exempt status and tax liability, to the IRS Tax Exempt and Government Entities Customer Account Services at (877) 829-5500 (toll-free number).

If you prefer to write, you may write at:

Internal Revenue Service
Exempt Organizations Determinations
P.O. Box 2508
Cincinnati, OH 45201

You may also contact the Taxpayer Advocate Service, an independent organization within the IRS that helps taxpayers resolve problems with the IRS and recommends changes that will prevent problems.

A private non profit must apply to the IRS for tax exempt status. To qualify, applicants must complete and submit to the IRS Form 1023. Once federal tax exempt status is granted, the private non profit applies for State tax exempt status by completing Form CD-435 and submitting it to the N. C. Department of Revenue.

What must a County Department of Social Services/Human Services do?

Answer: Verify the Tax Exempt Letter. Check date for expiration and check if current address of agency is reflected.

INTERNAL REVENUE SERVICE
P. O. BOX 2538
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: OCT 16 2000

ANOTHER CHOICE FOR BLACK CHILDREN
INC
C/O TERENCE HAWKINS
5736 N TRYON STS 101
CHARLOTTE, NC 28211

Employer Identification Number:
56-1941171
DLN:
17053197739010
Contact Person:
STEPHANIE L JONES ID# 41325
Contact Telephone Number:
(877) 819-5300

Our Letter Dated:
January of 1996
Addendum Applies:
No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(c)(3) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(1) and 170(b)(1)(A)(vi).

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(1) organization.

You are required to make your annual information return, Form 990 or Form 990-EZ, available for public inspection for three years after the later of the due date of the return or the date the return is filed. You are also required to make available for public inspection your exemption application, any supporting documents, and your exemption letter. Copies of these documents are also required to be provided to any individual upon written or in person request without charge other than reasonable fees for copying and postage. You may fulfill this requirement by placing these documents on the Internet. Penalties may be imposed for failure to comply with these requirements. Additional information is available in Publication 557, Tax-Exempt Status for Your Organization, or you may call our toll free number shown above.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Letter 1050 (20/00)

Attachment M

State Certification

Contractor Certifications Required by North Carolina Law

Instructions

The person who signs this document should read the text of the statutes listed below and consult with counsel and other knowledgeable persons before signing.

- The text of Article 2 of Chapter 64 of the North Carolina General Statutes can be found online at: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/ByArticle/Chapter_64/Article_2.pdf
- The text of G.S. 105-164.8(b) can be found online at: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_105/GS_105-164.8.pdf
- The text of G.S. 143-48.5 (S.L. 2013-418, s. 2.(d)) can be found online at: <http://www.ncga.state.nc.us/Sessions/2013/Bills/House/PDF/H786v6.pdf>
- The text of G.S. 143-59.1 can be found online at: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-59.1.pdf
- The text of G.S. 143-59.2 can be found online at: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-59.2.pdf
- The text of G.S. 147-33.95(g) (S.L. 2013-418, s. 2. (e)) can be found online at: <http://www.ncga.state.nc.us/Sessions/2013/Bills/House/PDF/H786v6.pdf>

Certifications

- (1) Pursuant to G.S. 143-48.5 and G.S. 147-33.95(g), the undersigned hereby certifies that the Contractor named below, and the Contractor's subcontractors, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system." E-Verify System Link: www.uscis.gov

Local government is specifically exempt from Article 2 of Chapter 64 of the North Carolina General Statutes. However, local government is subject to and must comply with North Carolina General Statute §153A-99.1., which states in part as follows:

Counties Must Use E-Verify. - Each county shall register and participate in E-Verify to verify the work authorization of new employees hired to work in the United States.

- (2) Pursuant to G.S. 143-59.1(b), the undersigned hereby certifies that the Contractor named below is not an "ineligible Contractor" as set forth in G.S. 143-59.1(a) because:
- (a) Neither the Contractor nor any of its affiliates has refused to collect the use tax levied under Article 5 of Chapter 105 of the General Statutes on its sales delivered to North Carolina when the sales met one or more of the conditions of G.S. 105-164.8(b); and
- (b) [check one of the following boxes]
- ☐ Neither the Contractor nor any of its affiliates has incorporated or reincorporated in a "tax haven country" as set forth in G.S. 143-59.1(c) (2) after December 31, 2001; or

☐ The Contractor or one of its affiliates **has** incorporated or reincorporated in a "tax haven country" as set forth in G.S. 143-59.1(c)(2) after December 31, 2001 **but** the United States is not the principal market for the public trading of the stock of the corporation incorporated in the tax haven country.

- (3) Pursuant to G.S. 143-59.2(b), the undersigned hereby certifies that none of the Contractor's officers, directors, or owners (if the Contractor is an unincorporated business entity) has been convicted of any violation of Chapter 78A of the General Statutes or the Securities Act of 1933 or the Securities Exchange Act of 1934 within 10 years immediately prior to the date of the bid solicitation.
- (4) The undersigned hereby certifies further that:
- (a) He or she is a duly authorized representative of the Contractor named below;
 - (b) He or she is authorized to make, and does hereby make, the foregoing certifications on behalf of the Contractor; and
 - (c) He or she understands that any person who knowingly submits a false certification in response to the requirements of G.S. 143-59.1 and -59.2 shall be guilty of a Class I felony.

Another Choice for Black Children, Inc.

Contractor's Name

Melvin Jackson
Signature of Contractor's Authorized Agent

8/18/18

Date

Melvin Jackson

Board President

Printed Name of Contractor's Authorized Agent

Deborah R. Harley
Signature of Witness

Title

Notary Public

Signature of Witness

Deborah R. Harley
Printed Name of Witness

Title

8/18/18
Date

The witness should be present when the Contractor's Authorized Agent signs this certification and should sign and date this document immediately thereafter.

Contractor: Another Choice for Black Children, Inc.

**CERTIFICATION OF ELIGIBILITY
Under the Iran Divestment Act**

Pursuant to G.S. 147-86.59, any person identified as engaging in investment activities in Iran, determined by appearing on the Final Divestment List created by the State Treasurer pursuant to G.S. 147-86.58, is ineligible to contract with the State of North Carolina or any political subdivision of the State. The Iran Divestment Act of 2015, G.S. 147-86.55 *et seq.** requires that each vendor, prior to contracting with the State certify, and the undersigned on behalf of the Vendor does hereby certify, to the following:

1. that the vendor is not identified on the Final Divestment List of entities that the State Treasurer has determined engages in investment activities in Iran;
2. that the vendor shall not utilize on any contract with the State agency any subcontractor that is identified on the Final Divestment List; and
3. that the undersigned is authorized by the Vendor to make this Certification.

Vendor: Another Choice for Black Children, Inc.

By:  8/16/2018
Signature Date

Melvin Jackson Board President
Printed Name Title

The State Treasurer's Final Divestment List can be found on the State Treasurer's website at the address:
<https://www.nctreasurer.com/inside-the-department/OpenGovernment/Pages/Iran-Divestment-Act-Resources.aspx>
and will be updated every 180 days. For questions about the Department of State Treasurer's Iran Divestment Policy, please contact Meryl Murtagh at Meryl.Murtagh@nctreasurer.com or (919) 814-3852.

* Note: Enacted by Session Law 2015-118 as G.S. 143C-55 *et seq.*, but has been renumbered for codification at the direction of the Revisor of Statutes.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/29/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Watson Insurance 245 East Second Avenue Gastonia NC 28053	CONTACT NAME: Megan Henry	
	PHONE (A/C, No, Ext): 704-854-4662	FAX (A/C, No): 704-866-9866
INSURED Another Choice for Black Children, Inc. 3028 Beatties Ford Rd. Charlotte NC 28216	E-MAIL ADDRESS: mhenry@watsoninsurance.com	
	INSURER(S) AFFORDING COVERAGE	
	INSURER A: Carolina Mutual Insurance, Inc	
	INSURER B:	
	INSURER C:	
	INSURER D:	
INSURER E:		
INSURER F:		
NAIC #		

COVERAGES**CERTIFICATE NUMBER:** 663286985**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WYD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY					
	<input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR					EACH OCCURRENCE \$
						DAMAGE TO RENTED PREMISES (Ea occurrence) \$
						MED EXP (Any one person) \$
						PERSONAL & ADV INJURY \$
						GENERAL AGGREGATE \$
						PRODUCTS - COMP/OP AGG \$
						\$
	AUTOMOBILE LIABILITY					
	<input type="checkbox"/> ANY AUTO					COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> OWNED AUTOS ONLY	<input type="checkbox"/> SCHEDULED AUTOS				BODILY INJURY (Per person) \$
	<input type="checkbox"/> HIRED AUTOS ONLY	<input type="checkbox"/> NON-OWNED AUTOS ONLY				BODILY INJURY (Per accident) \$
						PROPERTY DAMAGE (Per accident) \$
						\$
	UMBRELLA LIAB	<input type="checkbox"/> OCCUR				EACH OCCURRENCE \$
	EXCESS LIAB	<input type="checkbox"/> CLAIMS-MADE				AGGREGATE \$
	DED	RETENTION \$				\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	<input type="checkbox"/> Y <input checked="" type="checkbox"/> N	WC22073-2017	12/21/2017	12/21/2018	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)					E.L. EACH ACCIDENT \$ 500,000
	If yes, describe under DESCRIPTION OF OPERATIONS below					E.L. DISEASE - EA EMPLOYEE \$ 500,000
						E.L. DISEASE - POLICY LIMIT \$ 500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER**CANCELLATION**

Rowan County DSS
1813 E Innes Street
Salisbury NC 28146
United States

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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ACORD 25 (2016/03)

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THIS CERTIFICATE SUPERSEDES PREVIOUSLY ISSUED CERTIFICATE

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/8/2018
SUBJECT: Falcon Crest - Foster Care Contract

Please see the attached contract.

Please approve the attached contract between Falcon Crest Residential Care, Inc. and the Rowan County Department of Social Services for foster care services.

ATTACHMENTS:

Description	Upload Date	Type
Falcon Crest - Foster Care Contract	9/8/2018	Cover Memo

ROWAN COUNTY CONTRACT CONTROL FORM

Date: 8/17/2018

SECTION I - DEPARTMENT COMPLETES

Department: Social Services Account #: 1155420-593005 Amount: -
 Account #: 1155425-593008 Amount: -
 Total: \$30,000.00 - not to exceed
 Vendor name: Falcon Crest Residential Care, Inc. Term Dates: 7/1/2018-6/30/2019
 Contract description: Therapeutic Foster Care
 POC name: George Ivey Phone: 336-226-2575
 POC email: lennie382@cs.com
 Vendor mail address: 1101 S. Fifth Street, Mebane, NC 27302 Vendor #: 18097

☒ New contract ☒ Contract renewal Munis contract #:
☐ Amendment to contract ☐ Vendor signatures Munis BA #, if applicable:
 Notes:

Department Head Initials: 24 Date: 8-17-18

SECTION II - CONTRACT ADMINISTRATOR REVIEW

☒ Section I properly completed ☒ Requires Board approval (Y/N) 9/17/18
☒ Budgeted funds are available
 Contract Administrator Initials: JS Date: 8/30/18

SECTION III - INFORMATION TECHNOLOGY REVIEW, IF APPLICABLE

This document has been reviewed and approved by the IT Director as to technical content.

IT Director Initials: NA Date:

SECTION IV - INSURANCE REVIEW

☐ Hold contract pending receipt of Certificate of Insurance ☐ Certificate attached and approved ☐ No insurance required
 Risk Manager Initials: Date:

SECTION V - LEGAL REVIEW

☐ Non-appropriation clause ☐ Indemnity clause ☐ Termination clause ☐ E-verify clause
☐ Approved as to form and sufficiency ☐ If Board approval required, sent to Department for agenda item
 Attorney Initials: Date:

SECTION VI - FINANCE DIRECTOR REVIEW AND PRE-AUDIT

☐ Budgeted funds are available ☐ Contract has been pre-audited
 Finance Director Initials: Date:

SECTION VII - COUNTY MANAGER REVIEW

☐ Contract has been properly signed by all parties
 County Manager Initials: Date:

SECTION VIII - CONTRACT ADMINISTRATOR COMPLETES

This document has been reviewed and approved by the Board of Commissioners and/or County Manager. ☐ Yes ☐ No Date:
☐ Document fully executed, scanned and posted on the County website Date:
 Contract Administrator Initials: Date:

Contract #**Fiscal Year Begins 7/1/2018 Ends 6/30/2019**

This contract is hereby entered into by and between the Rowan County Department of Social Services (the "County") and Falcon Crest Residential Care Inc. (the "Contractor") (referred to collectively as the "Parties"). The Contractor's federal tax identification number or Social Security Number is _____ and DUNS Number (required if funding from a federal funding source).

1. Contract Documents: This Contract consists of the following documents:

- (1) This contract
- (2) The General Terms and Conditions (Attachment A)
- (3) The Scope of Work, description of services, and rate (Attachment B)
- (4) Federal Certification Regarding Drug-Free Workplace & Certification Regarding Nondiscrimination (Attachment C)
- (5) Conflict of Interest (Attachment D)
- (6) No Overdue Taxes (Attachment E)
- (7) Federal Certification Regarding Environmental Tobacco Smoke (Attachment F)
- (8) Federal Certification Regarding Lobbying (Attachment G)
- (9) Federal Certification Regarding Debarment (Attachment H)
- (10) *If applicable*, HIPAA Business Associate Addendum (checklist and forms)
- (11) Certification of Transportation (Attachment J)
- (12) *If applicable*, IRS federal tax exempt letter or 501 (c)(3) (Attachment K) <http://www.irs.gov/pub/irs-fill/k1023.pdf>
- (13) Certain Reporting and Auditing Requirements (Attachment L)
- (14) State Certification (Attachment M)
- (15) Contract Determination Questionnaire (required)

These documents constitute the entire agreement between the Parties and supersede all prior oral or written statements or agreements.

- 2. Precedence among Contract Documents:** In the event of a conflict between or among the terms of the Contract Documents, the terms in the Contract Document with the highest relative precedence shall prevail. The order of precedence shall be the order of documents as listed in Paragraph 1, above, with the first-listed document having the highest precedence and the last-listed document having the lowest precedence. If there are multiple Contract Amendments, the most recent amendment shall have the highest precedence and the oldest amendment shall have the lowest precedence.
- 3. Effective Period:** This contract shall be effective on 07/01/2018 and shall terminate on 6/30/2019, This contract must be twelve months or less.
- 4. Contractor's Duties:** The Contractor shall provide the services and in accordance with the approved rate as described in Attachment B, Scope of Work.
- 5. County's Duties:** The County shall pay the Contractor in the manner and in the amounts specified in the Contract Documents. The total amount paid by the County to the Contractor under this contract shall not exceed \$ 30,000.00. This amount consists of \$ _____ in Federal funds (CFDA # _____), \$ _____ in State Funds, \$ _____ in County funds

☒ a. There are no matching requirements from the Contractor.

☐ b. The Contractor's matching requirement is \$ _____, which shall consist of:

<input type="checkbox"/> In-kind	<input type="checkbox"/> Cash
<input type="checkbox"/> Cash and In-kind	<input type="checkbox"/> Cash and/or In-kind

The contributions from the Contractor shall be sourced from non-federal funds.
The total contract amount including any Contractor match shall not exceed \$30,000.00.

6. Reversion of Funds:

Any unexpended grant funds shall revert to the County Department of Social Services/Human Services upon termination of this contract.

7. Reporting Requirements:

Contractor shall comply with audit requirements as described in N.C.G.S. § 143C-6-22 & 23 and OMB Circular- CFR Title 2 Grants and Agreements, Part 200, and shall disclose all information required by 42 USC 455.104, or 42 USC 455.105, or 42 USC 455.106.

8. Payment Provisions:

Payment shall be made in accordance with the Contract Documents as described in the Scope of Work, Attachment B.

- 9. Contract Administrators:** All notices permitted or required to be given by one Party to the other and all questions about the contract from one Party to the other shall be addressed and delivered to the other Party's Contract Administrator. The name, post office address, street address, telephone number, fax number, and email address of the Parties' respective initial Contract Administrators are set out below. Either Party may change the name, post office address, street address, telephone number, fax number, or email address of its Contract Administrator by giving timely written notice to the other Party.

For the County:

IF DELIVERED BY US POSTAL SERVICE	IF DELIVERED BY ANY OTHER MEANS
Name & Title Donna F. Fayko, Director	Name & Title Donna F. Fayko, Director
County Rowan	County Rowan
Mailing Address 1813 East Innes Street	Street Address 1813 East Innes Street
City, State, Zip Salisbury NC 28146	City, State, Zip Salisbury NC 28146
Telephone 704.216.8422	
Fax 704.638.3041	
Email donna.fayko@rowancountync.gov	

For the Contractor:

IF DELIVERED BY US POSTAL SERVICE	IF DELIVERED BY ANY OTHER MEANS
Name & Title George Ivey, Program Director	Name & Title George Ivey, Program Director
Company Name Falcon Crest Residential Care Inc	Company Name Falcon Crest Residential Care Inc.
Mailing Address 1101 S. Fifth Street	Street Address 1101 S. Fifth Street
City State Zip Mebane NC 27302	City State Zip Mebane NC 27302
Telephone 336.226.2575	
Fax 336.226.2474	
Email lennie382@cs.com	

10. Supplementation of Expenditure of Public Funds:

The Contractor assures that funds received pursuant to this contract shall be used only to supplement, not to supplant, the total amount of federal, state and local public funds that the Contractor otherwise expends for contract services and related programs. Funds received under this contract shall be used to provide additional public funding for such services; the funds shall not be used to reduce the Contractor's total expenditure of other public funds for such services.

11. Disbursements:

As a condition of this contract, the Contractor acknowledges and agrees to make disbursements in accordance with the following requirements:

- (a) Implement adequate internal controls over disbursements;
- (b) Pre-audit all vouchers presented for payment to determine:
 - Validity and accuracy of payment
 - Payment due date
 - Adequacy of documentation supporting payment
 - Legality of disbursement
- (c) Assure adequate control of signature stamps/plates;
- (d) Assure adequate control of negotiable instruments; and
- (e) Implement procedures to insure that account balance is solvent and reconcile the account monthly.

12. Outsourcing to Other Countries:

The Contractor certifies that it has identified to the County all jobs related to the contract that have been outsourced to other countries, if any. The Contractor further agrees that it will not outsource any such jobs during the term of this contract without providing notice to the County.

13. Federal Certifications:



Individuals and Organizations receiving federal funds must ensure compliance with certain certifications required by federal laws and regulations. The contractor is hereby complying with Certifications regarding Nondiscrimination, Drug-Free Workplace Requirements, Environmental Tobacco Smoke, Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions, and Lobbying. These assurances and certifications are to be signed by the contractor's authorized representative.

14. Specific Language Not Previously Addressed:

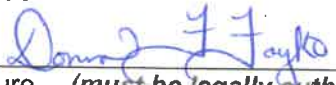

(can be delted if not needed)

15. Signature Warranty: The undersigned represent and warrant that they are authorized to bind their principals to the terms of this agreement.

The Contractor and the County have executed this contract in duplicate originals, with one original being retained by each party.


 Signature _____ Date 8/13/18

 Printed Name _____ Title Program Director

COUNTY


 Signature _____ Date 8-16-18
(must be legally authorized to sign contracts for County DSS)

 Printed Name _____ Title Director

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act.

 Signature of County Finance Officer _____ Date

Attachment A
General Terms and Conditions

Relationships of the Parties

Independent Contractor: The Contractor is and shall be deemed to be an independent contractor in the performance of this contract and as such shall be wholly responsible for the work to be performed and for the supervision of its employees. The Contractor represents that it has, or shall secure at its own expense, all personnel required in performing the services under this agreement. Such employees shall not be employees of, or have any individual contractual relationship with the County.

Subcontracting: The Contractor shall not subcontract any of the work contemplated under this contract without prior written approval from the County. Any approved subcontract shall be subject to all conditions of this contract. Only the subcontractors specified in the contract documents are to be considered approved upon award of the contract. The County shall not be obligated to pay for any work performed by any unapproved subcontractor. The Contractor shall be responsible for the performance of all of its subcontractors.

Assignment: No assignment of the Contractor's obligations or the Contractor's right to receive payment hereunder shall be permitted. However, upon written request approved by the issuing purchasing authority, the County may:

- (a) Forward the Contractor's payment check(s) directly to any person or entity designated by the Contractor, or
- (b) Include any person or entity designated by Contractor as a joint payee on the Contractor's payment check(s).

In no event shall such approval and action obligate the County to anyone other than the Contractor and the Contractor shall remain responsible for fulfillment of all contract obligations.

Beneficiaries: Except as herein specifically provided otherwise, this contract shall inure to the benefit of and be binding upon the parties hereto and their respective successors. It is expressly understood and agreed that the enforcement of the terms and conditions of this contract, and all rights of action relating to such enforcement, shall be strictly reserved to the County and the named Contractor. Nothing contained in this document shall give or allow any claim or right of action whatsoever by any other third person. It is the express intention of the County and Contractor that any such person or entity, other than the County or the Contractor, receiving services or benefits under this contract shall be deemed an incidental beneficiary only.

Indemnity and Insurance

Indemnification: The Contractor agrees to indemnify and hold harmless the County and any of their officers, agents and employees, from any claims of third parties arising out of or any act or omission of the Contractor in connection with the performance of this contract.

Insurance: During the term of the contract, the Contractor at its sole cost and expense shall provide commercial insurance of such type and with such terms and limits as may be reasonably associated with the contract. As a minimum, the Contractor shall provide and maintain the following coverage and limits:

- (a) **Worker's Compensation** - The contractor shall provide and maintain Worker's Compensation Insurance as required by the laws of North Carolina, as well as employer's liability coverage with minimum limits of \$500,000.00, covering all of Contractor's employees who are engaged in any work under the contract. If any work is sublet, the Contractor shall require the subcontractor to provide the same coverage for any of his employees engaged in any work under the contract.
- (b) **Commercial General Liability** - General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of \$1,000,000.00 Combined Single Limit. (Defense cost shall be in excess of the limit of liability.)
- (c) **Automobile Liability Insurance:** The Contractor shall provide automobile liability insurance with a combined single limit of \$500,000.00 for bodily injury and property damage; a limit of \$500,000.00 for uninsured/under insured motorist coverage; and a limit of \$2,000.00 for medical payment coverage. The Contractor shall provide this insurance for all automobiles that are:
 - (a) owned by the Contractor and used in the performance of this contract;
 - (b) hired by the Contractor and used in the performance of this contract; and
 - (c) Owned by Contractor's employees and used in performance of this contract ("non-owned vehicle insurance"). Non-owned vehicle insurance protects employers when employees use their personal vehicles for work purposes. Non-owned vehicle insurance supplements, but does not replace, the car-owner's liability insurance.

The Contractor is not required to provide and maintain automobile liability insurance on any vehicle -- owned, hired, or non-owned -- unless the vehicle is used in the performance of this contract.

- (d) The insurance coverage minimums specified in subparagraph (a) are exclusive of defense costs.
- (e) The Contractor understands and agrees that the insurance coverage minimums specified in subparagraph (a) are not limits, or caps, on the Contractor's liability or obligations under this contract.
- (f) The Contractor may obtain a waiver of any one or more of the requirements in subparagraph (a) by demonstrating that it has insurance that provides protection that is equal to or greater than the coverage and limits specified in subparagraph (a). The County shall be the sole judge of whether such a waiver should be granted.
- (g) The Contractor may obtain a waiver of any one or more of the requirements in paragraph (a) by demonstrating that it is self-insured and that its self-insurance provides protection that is equal to or greater than the coverage and limits specified in subparagraph (a). The County shall be the sole judge of whether such a waiver should be granted.
- (h) Providing and maintaining the types and amounts of insurance or self-insurance specified in this paragraph is a material obligation of the Contractor and is of the essence of this contract.
- (i) The Contractor shall only obtain insurance from companies that are authorized to provide such coverage and that are authorized by the Commissioner of Insurance to do business in the State of North Carolina. All such insurance shall meet all laws of the State of North Carolina.
- (j) The Contractor shall comply at all times with all lawful terms and conditions of its insurance policies and all lawful requirements of its insurer.
- (k) The Contractor shall require its subcontractors to comply with the requirements of this paragraph.
- (l) The Contractor shall demonstrate its compliance with the requirements of this paragraph by submitting certificates of insurance to the County before the Contractor begins work under this contract.

Transportation of Clients by Contractor:

The contractor will maintain Insurance requirements if required as noted under Article 7 Rule R2-36 of the North Carolina Utilities Commission.

Default and Termination

Termination Without Cause: The County may terminate this contract without cause by giving 30 days written notice to the Contractor.

Termination for Cause: If, through any cause, the Contractor shall fail to fulfill its obligations under this contract in a timely and proper manner, the County shall have the right to terminate this contract by giving written notice to the Contractor and specifying the effective date thereof. In that event, all finished or unfinished deliverable items prepared by the Contractor under this contract shall, at the option of the County, become its property and the Contractor shall be entitled to receive just and equitable compensation for any satisfactory work completed on such materials, minus any payment or compensation

previously made. Notwithstanding the foregoing provision, the Contractor shall not be relieved of liability to the County for damages sustained by the County by virtue of the Contractor's breach of this agreement, and the County may withhold any payment due the Contractor for the purpose of setoff until such time as the exact amount of damages due the County from such breach can be determined. In case of default by the Contractor, without limiting any other remedies for breach available to it, the County may procure the contract services from other sources and hold the Contractor responsible for any excess cost occasioned thereby. The filing of a petition for bankruptcy by the Contractor shall be an act of default under this contract.

Waiver of Default: Waiver by the County of any default or breach in compliance with the terms of this contract by the Provider shall not be deemed a waiver of any subsequent default or breach and shall not be construed to be modification of the terms of this contract unless stated to be such in writing, signed by an authorized representative of the County and the Contractor and attached to the contract.

Availability of Funds: The parties to this contract agree and understand that the payment of the sums specified in this contract is dependent and contingent upon and subject to the appropriation, allocation, and availability of funds for this purpose to the County.

Force Majeure: Neither party shall be deemed to be in default of its obligations hereunder if and so long as it is prevented from performing such obligations by any act of war, hostile foreign action, nuclear explosion, riot, strikes, civil insurrection, earthquake, hurricane, tornado, or other catastrophic natural event or act of God.

Survival of Promises: All promises, requirements, terms, conditions, provisions, representations, guarantees, and warranties contained herein shall survive the contract expiration or termination date unless specifically provided otherwise herein, or unless superseded by applicable Federal or State statutes of limitation.

Intellectual Property Rights

Copyrights and Ownership of Deliverables: All deliverable items produced pursuant to this contract are the exclusive property of the County. The Contractor shall not assert a claim of copyright or other property interest in such deliverables.

Federal Intellectual Property Bankruptcy Protection Act: The Parties agree that the County shall be entitled to all rights and benefits of the Federal Intellectual Property Bankruptcy Protection Act, Public Law 100-506, codified at 11 U.S.C. 365 (n) and any amendments thereto.

Compliance with Applicable Laws

Compliance with Laws: The Contractor shall comply with all laws, ordinances, codes, rules, regulations, and licensing requirements that are applicable to the conduct of its business, including those of federal, state, and local agencies having jurisdiction and/or authority.

Title VI, Civil Rights Compliance: In accordance with Federal law and U.S. Department of Agriculture (USDA) and U.S. Department of Health and Human Services (HHS) policy, this institution is prohibited from discriminating on the basis of race, color, national origin, sex, age or disability. Under the Food Stamp Act and USDA policy, discrimination is prohibited also on the basis of religion or political beliefs.

Equal Employment Opportunity: The Contractor shall comply with all federal and State laws relating to equal employment opportunity.

Health Insurance Portability and Accountability Act (HIPAA): The Contractor agrees that, if the County determines that some or all of the activities within the scope of this contract are subject to the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91, as amended ("HIPAA"), or its implementing regulations, it will comply with the HIPAA requirements and will execute such agreements and practices as the County may require to ensure compliance.

- (a) **Data Security:** The Contractor shall adopt and apply data security standards and procedures that comply with all applicable federal, state, and local laws, regulations, and rules.
- (b) **Duty to Report:** The Contractor shall report a suspected or confirmed security breach to the local Department of Social Services/Human Services Contract Administrator within twenty-four (24) hours after the breach is first discovered, provided that the Contractor shall report a breach involving Social Security Administration data or Internal Revenue Service data within one (1) hour after the breach is first discovered.
- (c) **Cost Borne by Contractor:** If any applicable federal, state, or local law, regulation, or rule requires the Contractor to give written notice of a security breach to affected persons, the Contractor shall bear the cost of the notice.

Trafficking Victims Protection Act of 2000 :

The Contractor will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104)

Executive Order # 24: It is unlawful for any vendor, contractor, subcontractor or supplier of the state to make gifts or to give favors to any state employee. For additional information regarding the specific requirements

and exemptions, contractors are encouraged to review Executive Order 24 and G.S. Sec. 133-32.

Confidentiality

Confidentiality: Any information, data, instruments, documents, studies or reports given to or prepared or assembled by the Contractor under this agreement shall be kept as confidential and not divulged or made available to any individual or organization without the prior written approval of the County. The Contractor acknowledges that in receiving, storing, processing or otherwise dealing with any confidential information it will safeguard and not further disclose the information except as otherwise provided in this contract.

Oversight

Access to Persons and Records: The State Auditor shall have access to persons and records as a result of all contracts or grants entered into by State agencies or political subdivisions in accordance with General Statute 147-64.7. Additionally, as the State funding authority, the Department of Health and Human Services shall have access to persons and records as a result of all contracts or grants entered into by State agencies or political subdivisions.

Record Retention: Records shall not be destroyed, purged or disposed of without the express written consent of the Division. State basic records retention policy requires all grant records to be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years since records must be retained for a period of three years following submission of the final Federal Financial Status Report, if applicable, or three years following the submission of a revised final Federal Financial Status Report. Also, if any litigation, claim, negotiation, audit, disallowance action, or other action involving this Contract has been started before expiration of the five-year retention period described above, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular five-year period described above, whichever is later. The record retention period for Temporary Assistance for Needy Families (TANF) and MEDICAID and Medical Assistance grants and programs must be retained for a minimum of ten years.

Warranties and Certifications

Date and Time Warranty: The Contractor warrants that the product(s) and service(s) furnished pursuant to this contract ("product" includes, without limitation, any piece of equipment, hardware, firmware, middleware, custom or commercial software, or internal components, subroutines, and interfaces therein) that perform any date and/or time data recognition function, calculation, or sequencing will support a four digit year format and will provide accurate date/time data and leap year

calculations. This warranty shall survive the termination or expiration of this contract.

Certification Regarding Collection of Taxes: G.S. 143-59.1 bars the Secretary of Administration from entering into contracts with vendors that meet one of the conditions of G.S. 105-164.8(b) and yet refuse to collect use taxes on sales of tangible personal property to purchasers in North Carolina. The conditions include: (a) maintenance of a retail establishment or office; (b) presence of representatives in the State that solicit sales or transact business on behalf of the vendor; and (c) systematic exploitation of the market by media-assisted, media-facilitated, or media-solicited means. The Contractor certifies that it and all of its affiliates (if any) collect all required taxes.

E-Verify

Pursuant to G.S. 143-48.5 and G.S. 147-33.95(g), the undersigned hereby certifies that the Contractor named below, and the Contractor's subcontractors, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system." E-Verify System Link: www.uscis.gov

Miscellaneous

Choice of Law: The validity of this contract and any of its terms or provisions, as well as the rights and duties of the parties to this contract, are governed by the laws of North Carolina. The Contractor, by signing this contract, agrees and submits, solely for matters concerning this Contract, to the exclusive jurisdiction of the courts of North Carolina and agrees, solely for such purpose, that the exclusive venue for any legal proceedings shall be the county in which the contract originated. The place of this contract and all transactions and agreements relating to it, and their situs and forum, shall be the county where the contract originated, where all matters, whether sounding in contract or tort, relating to the validity, construction, interpretation, and enforcement shall be determined.

Amendment: This contract may not be amended orally or by performance. Any amendment must be made in written form and executed by duly authorized representatives of the County and the Contractor.

Severability: In the event that a court of competent jurisdiction holds that a provision or requirement of this contract violates any applicable law, each such provision or requirement shall continue to be enforced to the extent it is not in violation of law or is not otherwise unenforceable and all other provisions and requirements of this contract shall remain in full force and effect.

Headings: The Section and Paragraph headings in these General Terms and Conditions are not material parts of the agreement and should not be used to construe the meaning thereof.

Time of the Essence: Time is of the essence in the performance of this contract.

Key Personnel: The Contractor shall not replace any of the key personnel assigned to the performance of this contract without the prior written approval of the County. The term "key personnel" includes any and all persons identified as such in the contract documents and any other persons subsequently identified as key personnel by the written agreement of the parties.

Care of Property: The Contractor agrees that it shall be responsible for the proper custody and care of any property furnished to it for use in connection with the performance of this contract and will reimburse the County for loss of, or damage to, such property. At the termination of this contract, the Contractor shall contact the County for instructions as to the disposition of such property and shall comply with these instructions.

Travel Expenses: Reimbursement to the Contractor for travel mileage, meals, lodging and other travel expenses incurred in the performance of this contract shall not exceed the rates established in County policy.

Sales/Use Tax Refunds: If eligible, the Contractor and all subcontractors shall: (a) ask the North Carolina Department of Revenue for a refund of all sales and use taxes paid by them in the performance of this contract, pursuant to G.S. 105-164.14; and (b) exclude all refundable sales and use taxes from all reportable expenditures before the expenses are entered in their reimbursement reports.

Advertising: The Contractor shall not use the award of this contract as a part of any news release or commercial advertising.

ATTACHMENT B – Scope of Work Federal Tax Id. or SSN

A. CONTRACTOR INFORMATION

1. Contractor Agency Name: Falcon Crest Residential Care Inc.
2. *If different* from Contract Administrator Information in General Contract:
 Address Telephone Number: Fax Number: Email:
3. Name of Program (s): Residential Level 3
4. Status: ☐ Public ☐ Private, Not for Profit ☒ Private, For Profit
5. Contractor's Financial Reporting Year January through December

B. Explanation of Services to be provided and to whom (include SIS Service Code):

C. Rate per unit of Service (define the unit): 0-4 bed facility

1. If Standard Fixed Rate, Maximum Allowable, (See Rates for Services Chart)

Standard Board Rates	Monthly Rates		
	Age 0-5	Age 6-12	Age 13+
Foster Care			
Therapeutic Foster Care	\$475	\$581	\$634
Residential Treatment (Level 2)			
Child Placing Agency	\$1,433	\$1,564	\$1,638
Residential Child Caring Institution	\$4,279	\$4,437	\$4,516
Standard Board and Treatment Rates	Daily Rates		
	Board	Treatment*	
Residential Treatment Level 3, 0-4 beds	\$43	\$232.88	
Residential Treatment Level 3, 5+ beds	\$33	\$189.75	
Residential Treatment Level 4, 0-4 beds	\$43	\$315.71	
Residential Treatment Level 4, 5+ beds	\$40	\$315.71	
*Treatment Rates set by DMA and are subject to change.			

2. Negotiated County Rate.
Additional county funds per individual client agreements.

D. Number of units to be provided: The number of applications submitted by the Rowan County DSS and accepted by the Contractor during each fiscal year shall equal the number of units provided.

E. Details of Billing process and Time Frames: Invoices are due to Rowan County DSS no later than the 3rd working day of the month and payment is issued no later than the 25th day of each month.

F. Area to be served/Delivery site(s): Rowan County clients at Contractor facilities

G. MUTUAL AGREEMENT

I. Both Parties Agree to:

1. Meet at least annually to review the outcome data and quality standards information, and as needed to address special projects or issues throughout the year.
2. Return all phone calls received within twenty-four (24) hours or by the end of the next business day.
3. Share all information needed to ensure a good match/appropriate placement.
4. Clearly communicate any concerns about the partnership with a problem-solving approach that prioritizes the best interest of the child and family being served.

II. Private Partner

Private Partner agrees to provide foster care services for children in the custody of County, and shall do the following:

Share Performance Data:

1. Provide data to the County annually or as needed for special projects or to address specific concerns..
2. Enter data into the *Client Dashboard Data Capture Sheet* which will generate the aggregate data reports, which the Private Agency will share with the County. Data will be entered in accordance with the definitions provided in the *Performance Data Definition Guide*.
3. Ensure records are accessible for review for monitoring services rendered and for financial audits.
4. Ensure records are accessible for research and evaluation. Upon request of County, Private Partner shall provide data about individual children for research and study.

Provide Services:

1. Provide foster care services, guided by an individualized permanency and/or treatment plan to be developed with the child's social worker within 30 days of admission.
2. Provide for each child's safety, shelter, emotional, nutritional, and basic everyday needs. Within reason and to be negotiated as needed, provide for personal hygiene items, school supplies, school field trips, extracurricular activities, photos, and yearbooks.
3. Within reason and to be negotiated as needed, maintain the child's clothing inventory, providing adequate and appropriate attire to meet the child's growth, wear/tear, seasonal, and educational needs. A clothing inventory at admission and a clothing inventory at discharge (adequate for transition to the next placement) shall be completed.

4. Participate in County-supervised visits between child and family.
5. Train and support foster parents in shared parenting with biological parents.
6. Provide transportation within 100 miles round trip to and from parental visits, school, and medical, dental, and therapy appointments if required.
7. Coordinate non-emergency, temporary respite care placements that have been approved by a child's County-assigned social worker.
8. For placements involving mental health treatment (Levels 2-4, PRTF), provide case management including development and maintenance of Person-Centered Plan and oversee requests for authorizations and reauthorizations.
9. Adhere to the County's policy/procedures regarding discipline of children in foster care.
10. Adhere to the County's procedures for applying for day care (if applicable).

Communication and Planning:

1. Notify County immediately when a child receives emergency care, is hospitalized, is placed in detention, or is absent/missing (e.g., on the run). Private Partner will also notify local authorities immediately upon discovering a child is missing.
2. When a child is transported to the hospital for an emergency psychiatric hospital assessment, accompany/supervise the child in the emergency room for up to 4 hours to allow County adequate travel and coordination time to respond to the event.
3. Communicate with the County monthly regarding each child's needs and progress in the program, unless more frequent communications are needed based on the specific circumstances.
4. Notify County of Child and Family Team meetings, Treatment Team meetings, and other planning meetings as necessary.
5. With County, coordinate planning for any move of the child.
6. Work closely with County to develop a written transition plan within 7 business days prior to discharge from program.
7. Attend Permanency Planning Review meetings for each child. If attendance is not feasible, Private Partner will submit a written report prior to said meeting.
8. Attend court hearings and provide information to the court as needed. If attendance is not feasible, review report prior to court. Private Partner should provide a written summary to the court regarding each child's progress.
9. Provide County a minimum 30 day grace period prior to discharge of any child so that an appropriate subsequent placement can be secured. Shorter periods may be agreed upon if the Parties deem it necessary.
10. If Private Partner is the clinical home for the child: if after consultation with the County it is determined that continuation of the placement is not beneficial, provide 30 days notice to the County to arrange another more appropriate placement. Private Partner will continue meeting the child's clinical needs until another provider can assume clinical home responsibility for the child.
11. Discuss potential medication changes with the child's social worker, and provide written notification of medication changes or current medication list within 24 hours of changes, and upon discharge.

12. Provide a discharge summary within thirty (30) days of discharge, including history, course of treatment, progress in care, medications, and plan for the child.

III. County

The County shall retain custody of each child while the child is placed with the Private Partner, and shall do the following:

Share Information:

1. Provide the following for each child upon admission:
 - a. Verification of current physical exam within the last 12 months and assist with scheduling of exam within 72 hours of placement.
 - b. Social Security card (copy of).
 - c. Medicaid card.
 - d. Documentation of custody.
 - e. Case history, including information regarding special court sanctions, treatment plans, and medical records.
 - f. Out-of-home family services agreement.
 - g. Psychological evaluation (if applicable).
 - h. Immunization record.
 - i. Visitation agreement (if applicable).
 - j. Child Health Status Component (DSS-5125-II).
 - k. Education Component (DSS-5245).
 - l. Birth certificate (copy of).
 - m. School enrollment letter (if applicable).
 - n. A document outlining the financial criteria for each child. A signed copy of the document must be returned to the County in order for Private Partner to receive financial payment for the child. In the event of an emergency placement, the document will be provided to the Private Partner within seven (7) working days.
 - o. Any other forms or information required by the Private Partner.

Provide Services:


1. Conduct regular, face-to-face visits with Private Partner on at least a monthly basis to review each child's progress toward meeting the goals of the out-of-home services agreement and treatment plan.
2. Conduct in-person visits with each child at least once a month in the placement provider's home.

3. Monitor and assure implementation of all aspects of a child's treatment plan, including court-ordered visitation by parent/guardian.
4. Enroll/withdraw the child in school.
5. Collaborate with Private Partner to support shared parenting between foster parents and children's biological parents.

Communication and Planning:

1. Coordinate required medical exams for each child and advise Private Partner of results.
2. If not provided at placement (due to emergency circumstances), share the out-of-home family services agreement for each child within 7 business days of when it is developed.
3. Share updated out-of-home family services agreement every six months or when the child or family's circumstances or needs change.
4. Maintain close communication with the Private Partner regarding treatment issues, changes in each child's family situation, child/family needs, and discharge planning for each child.
5. Attend Child and Family Team meetings, Treatment Team meetings, and other planning meetings as necessary.
6. Provide a ten (10) day notice for all Permanency Planning Meetings and court dates, and notify Private Partner when the Permanency Plan changes.
7. Notify the child's parent/guardian and Guardian ad Litem when child will be moved or has been moved.
8. Plan for discharge in concert with the Private Partner's treatment team, providing a 7 business day advance notice prior to discharge.
9. Be available or have the supervisor or after hours staff respond to emergencies.


(Signature of County Authorized Person)


(Date Submitted)


(Signature of Contractor)


(Date Submitted)

Contractor: Falcon Crest Residential Care Inc.

ATTACHMENT C

**CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS
AND CERTIFICATION REGARDING NONDISCRIMINATION**

Rowan County Department of Social Services

- I. By execution of this Agreement the Contractor certifies that it will provide a drug-free workplace by:
- A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - B. Establishing a drug-free awareness program to inform employees about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The Contractor's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 - C. Making it a requirement that each employee be engaged in the performance of the agreement be given a copy of the statement required by paragraph (A);
 - D. Notifying the employee in the statement required by paragraph (A) that, as a condition of employment under the agreement, the employee will:
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
 - E. Notifying the County within ten days after receiving notice under subparagraph (D)(2) from an employee or otherwise receiving actual notice of such conviction;
 - F. Taking one of the following actions, within 30 days of receiving notice under subparagraph (D)(2), with respect to any employee who is so convicted:
 - (1) Taking appropriate personnel action against such an employee, up to and including termination; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (A), (B), (C), (D), (E), and (F).

II. The site(s) for the performance of work done in connection with the specific agreement are listed below:

1. (Street address)
(City, county, state, zip code)
2. (Street address)
(City, county, state, zip code)

Contractor will inform the County of any additional sites for performance of work under this agreement.

False certification or violation of the certification shall be grounds for suspension of payment, suspension or termination of grants, or government-wide Federal suspension or debarment
45 C.F.R. Section 82.510. Section 4 CFR Part 85, Section 85.615 and 86.620.

Certification Regarding Nondiscrimination

The Vendor certifies that it will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (h) the Food Stamp Act and USDA policy, which prohibit discrimination on the basis of religion and political beliefs; and (i) the requirements of any other nondiscrimination statutes which may apply to this Agreement.

George Jey
Signature

Program Director
Title

Falcon Crest Residential Care
Agency/Organization

8/15/18
Date

(Certification signature should be same as Contract signature.)

Contractor: Falcon Crest Residential Care Inc.

ATTACHMENT D

Conflict of Interest Policy

Instructions: *(Use this for all contracts. Page one is to be completed by the Contractor and a copy of the Contractor's conflict of interest policy must be submitted. The Contractor can adopt page 1 and 2 as their conflict of interest policy or attach their current adopted policy. Note: Verification is needed on a yearly basis. For contracts extending more than one state fiscal year, the contract file must include documentation that the Conflict of Interest Policy has not changed from the previous year. If the policy has changed, a new conflict of interest policy must be submitted. Remember to delete all instructions in blue italic, (highlighted in yellow).)*

The Board of Directors/Trustees or other governing persons, officers, employees or agents are to avoid any conflict of interest, even the appearance of a conflict of interest. The Organization's Board of Directors/Trustees or other governing body, officers, staff and agents are obligated to always act in the best interest of the organization. This obligation requires that any Board member or other governing person, officer, employee or agent, in the performance of Organization duties, seek only the furtherance of the Organization mission. At all times, Board members or other governing persons, officers, employees or agents, are prohibited from using their job title, the Organization's name or property, for private profit or benefit.

A. The Board members or other governing persons, officers, employees, or agents of the Organization should neither solicit nor accept gratuities, favors, or anything of monetary value from current or potential contractors/vendors, persons receiving benefits from the Organization or persons who may benefit from the actions of any Board member or other governing person, officer, employee or agent. This is not intended to preclude bona-fide Organization fund raising-activities.

B. A Board or other governing body member may, with the approval of Board or other governing body, receive honoraria for lectures and other such activities while not acting in any official capacity for the Organization. Officers may, with the approval of the Board or other governing body, receive honoraria for lectures and other such activities while on personal days, compensatory time, annual leave, or leave without pay. Employees may, with the prior written approval of their supervisor, receive honoraria for lectures and other such activities while on personal days, compensatory time, annual leave, or leave without pay. If a Board or other governing body member, officer, employee or agent is acting in any official capacity, honoraria received in connection with activities relating to the Organization are to be paid to the Organization.

C. No Board member or other governing person, officer, employee, or agent of the Organization shall participate in the selection, award, or administration of a purchase or contract with a vendor where, to his knowledge, any of the following has a financial interest in that purchase or contract:

1. The Board member or other governing person, officer, employee, or agent;
2. Any member of their family by whole or half blood, step or personal relationship or relative-in-law;
3. An organization in which any of the above is an officer, director, or employee;
4. A person or organization with whom any of the above individuals is negotiating or has any arrangement concerning prospective employment or contracts.

D. **Duty to Disclosure** -- Any conflict of interest, potential conflict of interest, or the appearance of a conflict of interest is to be reported to the Board or other governing body or one's supervisor immediately.

E. **Board Action** -- When a conflict of interest is relevant to a matter requiring action by the Board of Directors/Trustees or other governing body, the Board member or other governing person, officer, employee, or agent (person(s)) must disclose the existence of the conflict of interest and be given the opportunity to disclose all material facts to the Board and members of committees with governing board delegated powers considering the possible conflict of interest. After disclosure of all material facts, and after any discussion with the person, he/she shall leave the governing board or committee meeting while

the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists. In addition, the person(s) shall not participate in the final deliberation or decision regarding the matter under consideration and shall leave the meeting during the discussion of and vote of the Board of Directors/Trustees or other governing body.

F. Violations of the Conflicts of Interest Policy -- If the Board of Directors/Trustees or other governing body has reasonable cause to believe a member, officer, employee or agent has failed to disclose actual or possible conflicts of interest, it shall inform the person of the basis for such belief and afford the person an opportunity to explain the alleged failure to disclose. If, after hearing the person's response and after making further investigation as warranted by the circumstances, the Board of Directors/Trustees or other governing body determines the member, officer, employee or agent has failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

G. Record of Conflict -- The minutes of the governing board and all committees with board delegated powers shall contain:

1. The names of the persons who disclosed or otherwise were found to have an actual or possible conflict of interest, the nature of the conflict of interest, any action taken to determine whether a conflict of interest was present, and the governing board's or committee's decision as to whether a conflict of interest in fact existed.
2. The names of the persons who were present for discussions and votes relating to the transaction or arrangement that presents a possible conflict of interest, the content of the discussion, including any alternatives to the transaction or arrangement, and a record of any votes taken in connection with the proceedings.

Approved by:

Falcon Crest Residential Care

Name of Organization

George Ivey

Signature of Organization Official

8/13/18

Date

13

NOTARIZED CONFLICT OF INTEREST POLICY

State of North Carolina

County of

Alamance

I, Latonya F. Tinnin, Notary Public for said County and State, certify that

that

George Ivey

acknowledged

personally appeared before me this day and

that he/she is

Director

of

Falcon Crest Residential

[enter name of entity]

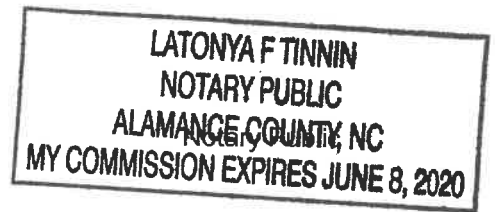
and by that authority duly given and as the act of the Organization, affirmed that the foregoing Conflict of Interest Policy was adopted by the Board of Directors/Trustees or other governing body in a meeting held on the 13th day of August, 2018.

Sworn to and subscribed before me this 13th day of August, 2017



(Official Seal)

My Commission expires June 8, 2020



Contractor: Falcon Crest Residential Care Inc.

Attachment G

Rowan County Department of Social Services

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal, state or local government agency, a Member of Congress, a Member of the General Assembly, an officer or employee of Congress, an officer or employee of the General Assembly, an employee of a Member of Congress, or an employee of a Member of the General Assembly in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal, state or local government agency, a Member of Congress, a Member of the General Assembly, an officer or employee of Congress, an officer or employee of the General Assembly, an employee of a Member of Congress, or an employee of a Member of the General Assembly in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- (4) This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Notwithstanding other provisions of federal OMB Circulars-CFR Title 2, Grants and Agreements, Part 200, costs associated with the following activities are unallowable:

Paragraph A.

- (1) Attempts to influence the outcomes of any Federal, State, or local election, referendum, initiative, or similar procedure, through in kind or cash contributions, endorsements, publicity, or similar activity;
- (2) Establishing, administering, contributing to, or paying the expenses of a political party, campaign, political action committee, or other organization established for the purpose of influencing the outcomes of elections;
- (3) Any attempt to influence: (i) The introduction of Federal or State legislation; or (ii) the enactment or modification of any pending Federal or State legislation through communication with any member or employee of the Congress or State legislature (including efforts to influence State or local officials to engage in similar lobbying activity),

- or with any Government official or employee in connection with a decision to sign or veto enrolled legislation;
- (4) Any attempt to influence: (i) The introduction of Federal or State legislation; or (ii) the enactment or modification of any pending Federal or State legislation by preparing, distributing or using publicity or propaganda, or by urging members of the general public or any segment thereof to contribute to or participate in any mass demonstration, march, rally, fundraising drive, lobbying campaign or letter writing or telephone campaign; or
 - (5) Legislative liaison activities, including attendance at legislative sessions or committee hearings, gathering information regarding legislation, and analyzing the effect of legislation, when such activities are carried on in support of or in knowing preparation for an effort to engage in unallowable lobbying.

The following activities as enumerated in Paragraph B are excepted from the coverage of Paragraph A:

Paragraph B.

- (1) Providing a technical and factual presentation of information on a topic directly related to the performance of a grant, contract or other agreement through hearing testimony, statements or letters to the Congress or a State legislature, or subdivision, member, or cognizant staff member thereof, in response to a documented request (including a Congressional Record notice requesting testimony or statements for the record at a regularly scheduled hearing) made by the recipient member, legislative body or subdivision, or a cognizant staff member thereof; provided such information is readily obtainable and can be readily put in deliverable form; and further provided that costs under this section for travel, lodging or meals are unallowable unless incurred to offer testimony at a regularly scheduled Congressional hearing pursuant to a written request for such presentation made by the Chairman or Ranking Minority Member of the Committee or Subcommittee conducting such hearing.
- (2) Any lobbying made unallowable by subparagraph A (3) to influence State legislation in order to directly reduce the cost, or to avoid material impairment of the organization's authority to perform the grant, contract, or other agreement.
- (3) Any activity specifically authorized by statute to be undertaken with funds from the grant, contract, or other agreement.


Paragraph C.



- (1) When an organization seeks reimbursement for indirect costs, total lobbying costs shall be separately identified in the indirect cost rate proposal, and thereafter treated as other unallowable activity costs in accordance with the procedures of subparagraph B.(3).
- (2) Organizations shall submit, as part of the annual indirect cost rate proposal, a certification that the requirements and standards of this paragraph have been complied with.
- (3) Organizations shall maintain adequate records to demonstrate that the determination of costs as being allowable or unallowable pursuant to this section complies with the requirements of this Circular.
- (4) Time logs, calendars, or similar records shall not be required to be created for purposes of complying with this paragraph during any particular calendar month when: (1) the employee engages in lobbying (as defined in subparagraphs (a) and (b)) 25 percent or less of the employee's compensated hours of employment during that calendar month, and (2) within the preceding five-year period, the organization has not materially misstated allowable or unallowable costs of any nature, including legislative lobbying costs. When conditions (1) and (2) are met, organizations are not required to establish records to support the allowability of claimed costs in addition to records already required or maintained. Also, when conditions (1) and (2) are met, the absence of time logs, calendars, or similar records will not serve as a basis for disallowing costs by contesting estimates of lobbying time spent by employees during a calendar month.
- (5) Agencies shall establish procedures for resolving in advance, in consultation with OMB, any significant questions or disagreements concerning the interpretation or application of this section. Any such advance resolution shall be binding in any subsequent

settlements, audits or investigations with respect to that grant or contract for purposes of interpretation of this Circular; provided, however, that this shall not be construed to prevent a contractor or grantee from contesting the lawfulness of such a determination.

Paragraph D.

Executive lobbying costs. Costs incurred in attempting to improperly influence either directly or indirectly, an employee or officer of the Executive Branch of the Federal Government to give consideration or to act regarding a sponsored agreement or a regulatory matter are unallowable. Improper influence means any influence that induces or tends to induce a Federal employee or officer to give consideration or to act regarding a federally sponsored agreement or regulatory matter on any basis other than the merits of the matter.

 George Jvey
Signature
Falcon Crest Residential Care
Agency/Organization

 Program Director
Title
 8/18/13
Date

(Certification signature should be same as Contract signature.)

ATTACHMENT H

Rowan County Department of Social Services

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY
AND VOLUNTARY EXCLUSION-LOWER TIER COVERED TRANSACTIONS**

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of the fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant will provide immediate written notice to the person to which the proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, determined ineligible or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended,

ineligible, or voluntarily excluded from covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency of which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized in paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension, and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

George Shry
Signature

Program Director
Title

Falcon Crest Residential Care
Agency/Organization

8/18/18
Date

(Certification signature should be same as Contract signature.)

Contractor: Falcon Crest Residential Care Inc.

ATTACHMENT J

CERTIFICATION REGARDING TRANSPORTATION

Rowan County Department of Social Services

By execution of this Agreement the Contractor certifies that it will provide safe client transportation by:

1. Insuring that all drivers (including employees, contractors, contractor's employees, and volunteers) shall be at least 18 years of age;
2. Insuring that all drivers (including employees, contractors, contractor's employees, and volunteers) shall be licensed to operate the specific vehicle used in transporting clients in accordance with Chapter 20-7 of the General Statutes of North Carolina and the Division of Motor Vehicle requirements;
3. Insuring that all vehicles transporting clients shall have at least the minimum level of liability insurance appropriate for the type of vehicle as defined by Article 7, Rule R2-36 of the North Carolina Utilities Commission;
4. Insuring that the contractor shall have written policies and procedures regarding how drivers handle and report client emergencies and/or vehicle crashes involving clients to contractor and how contractor notifies the Rowan County Department of Social Services;
5. Insuring that no more than one quarter of one percent of all trips be missed by the contractor during the course of the contract period; (*Medicaid only*)
6. Insuring that that no more than five percent (5%) of trips should be late for recipient drop off to their appointment per month; (*Medicaid only*)
7. Contractor will maintain records documenting the following (*County may require contractor to provide*):
 - a. Valid current copies of Drivers License for all drivers;
 - b. Current valid Vehicle Registration, for all vehicles transporting clients;
 - c. Driving records for all drivers for the past three years and with annual updates;
 - d. Criminal Background checks through North Carolina Law Enforcement or NCIC prior to employment and every three years thereafter;
 - e. Alcohol and Drug Testing policy to meet the Federal Transit Authority guidelines.
8. Disclosing, at the outset of the contract, upon renewal and upon request, any criminal convictions or other reasons for disqualifications from participation in Medicare, Medicaid or Title XX programs (*signature on this form confirms this statement*).

Signature

Title

Agency/Organization

Date

(Certification signature should be same as Contract signature.)

Contractor: Falcon Crest Residential Care Inc.

ATTACHMENT K

What is a Private Non Profit Agency?

Answer: A private non profit is an organization that is incorporated under State law and whose purpose is not to make a profit, but rather to further a charitable, civic, religious, scientific, or other lawful purpose. The Secretary of State's office grants corporate status to organizations in North Carolina.

What is a 501(c)(3) designation?

Answer: When the agency becomes a state private non profit corporation, it can then apply for 501(c)(3) designation through the IRS. Once the IRS grants 501(c)(3) status, the organization is exempt from certain taxes and any donations to the charitable organization are tax deductible. Many individuals and organizations prefer to make donations to 501(c)(3) private non profits.

Who can obtain a 501(c)(3) designation?

Answer: Any organization or group can apply for 501(c)(3) status, provided their charter or mission focuses on the non profit's objective.

Another option is to apply for a 509(a)(1) status which falls under the 501(c)(3) umbrella. Being a 509(a)(1) designates an organization as a tax-free public charity that receives most of its support from a governmental unit or from the general public. Becoming a 509(a)(1) provides public recognition of tax-exempt status, advance assurance to donors of deductibility of contributions, exemption from certain State and federal taxes, and non profit mailing privileges. Organizations that typically qualify are churches, educational institutions, hospitals, and governmental units.

How does a Private Non Profit obtain Tax Exempt Status?

EO Web Site [www.irs.gov/eo]

IRS TE/GE Customer Service

You may direct technical and procedural questions concerning charities and other nonprofit organizations, including questions about your tax-exempt status and tax liability, to the IRS Tax Exempt and Government Entities Customer Account Services at (877) 829-5500 (toll-free number).

If you prefer to write, you may write at:

Internal Revenue Service
Exempt Organizations Determinations
P.O. Box 2508
Cincinnati, OH 45201

You may also contact the Taxpayer Advocate Service, an independent organization within the IRS that helps taxpayers resolve problems with the IRS and recommends changes that will prevent problems.

A private non profit must apply to the IRS for tax exempt status. To qualify, applicants must complete and submit to the IRS Form 1023. Once federal tax exempt status is granted, the private non profit applies for State tax exempt status by completing Form CD-435 and submitting it to the N. C. Department of Revenue.

What must a County Department of Social Services/Human Services do?

Answer: Verify the Tax Exempt Letter. Check date for expiration and check if current address of agency is reflected.

Attachment M State Certifications Contractor Certifications Required by North Carolina Law

Instructions: The person who signs this document should read the text of the statutes and Executive Order listed below and consult with counsel and other knowledgeable persons before signing. The text of each North Carolina General Statutes and of the Executive Order can be found online at:

- Article 2 of Chapter 64: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/ByArticle/Chapter_64/Article_2.pdf
- G.S. 133-32: <http://www.ncga.state.nc.us/gascripts/statutes/statutelookup.pl?statute=133-32>
- Executive Order No. 24 (Perdue, Gov., Oct. 1, 2009): <http://www.ethicscommission.nc.gov/library/pdfs/Laws/EO24.pdf>
- G.S. 105-164.8(b): http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_105/GS_105-164.8.pdf
- G.S. 143-48.5: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/HTML/BySection/Chapter_143/GS_143-48.5.html
- G.S. 143-59.1: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-59.1.pdf
- G.S. 143-59.2: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-59.2.pdf
- G.S. 143-133.3: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/HTML/BySection/Chapter_143/GS_143-133.3.html
- G.S. 143B-139.6C: http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143B/GS_143B-139.6C.pdf

Certifications

(1) **Pursuant to G.S. 133-32 and Executive Order No. 24 (Perdue, Gov., Oct. 1, 2009)**, the undersigned hereby certifies that the Contractor named below is in compliance with, and has not violated, the provisions of either said statute or Executive Order.

(2) **Pursuant to G.S. 143-48.5 and G.S. 143-133.3**, the undersigned hereby certifies that the Contractor named below, and the Contractor's subcontractors, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system." E-Verify System Link: www.uscis.gov

Local government is specifically exempt from Article 2 of Chapter 64 of the North Carolina General Statutes. However, local government is subject to and must comply with North Carolina General Statute 153A-99.1, which states in part as follows: Counties Must Use E-Verify - Each county shall register and participate in E-Verify to verify the work authorization of new employees hired to work in the United States.

(3) **Pursuant to G.S. 143-59.1(b)**, the undersigned hereby certifies that the Contractor named below is not an "ineligible Contractor" as set forth in G.S. 143-59.1(a) because:

- (a) Neither the Contractor nor any of its affiliates has refused to collect the use tax levied under Article 5 of Chapter 105 of the General Statutes on its sales delivered to

North Carolina when the sales met one or more of the conditions of G.S. 105-164.8(b); **and**

(b) [check **one** of the following boxes]

- ☐ Neither the Contractor nor any of its affiliates has incorporated or reincorporated in a "tax haven country" as set forth in G.S. 143-59.1(c)(2) after December 31, 2001; **or**
- ☐ The Contractor or one of its affiliates **has** incorporated or reincorporated in a "tax haven country" as set forth in G.S. 143-59.1(c)(2) after December 31, 2001 **but** the United States is not the principal market for the public trading of the stock of the corporation incorporated in the tax haven country.

(4) **Pursuant to G.S. 143-59.2(b)**, the undersigned hereby certifies that none of the Contractor's officers, directors, or owners (if the Contractor is an unincorporated business entity) has been convicted of any violation of Chapter 78A of the General Statutes or the Securities Act of 1933 or the Securities Exchange Act of 1934 within 10 years immediately prior to the date of the bid solicitation.

(5) **Pursuant to G.S. 143B-139.6C**, the undersigned hereby certifies that the Contractor will not use a former employee, as defined by G.S. 143B-139.6C(d)(2), of the North Carolina Department of Health and Human Services in the administration of a contract with the Department in violation of G.S. 143B-139.6C and that a violation of that statute shall void the Agreement.

(6) The undersigned hereby certifies further that:

- (a) He or she is a duly authorized representative of the Contractor named below;
- (b) He or she is authorized to make, and does hereby make, the foregoing certifications on behalf of the Contractor; and

- (c) He or she understands that any person who knowingly submits a false certification in response to the requirements of G.S. 143-59.1 and -59.2 shall be guilty of a Class I felony.

Contractor's Name

Falcon Crest

Contractor's

Authorized Agent:

Signature

George Ivey

Date:

8/13/18

Printed Name

George Ivey

Title

Program Director

Witness:

Signature

Wendy Bradsher

Date:

8-13-18

Printed Name

Wendy Bradsher

Title

Admin Manager

The witness should be present when the Contractor's Authorized Agent signs this certification and should sign and date this document immediately thereafter.

Contractor: Falcon Crest Residential Care Inc.

Attachment N
CERTIFICATION OF ELIGIBILITY
Under the Iran Divestment Act

Pursuant to G.S. 147-86.59, any person identified as engaging in investment activities in Iran, determined by appearing on the Final Divestment List created by the State Treasurer pursuant to G.S. 147-86.58, is ineligible to contract with the State of North Carolina or any political subdivision of the State. The Iran Divestment Act of 2015, G.S. 147-86.55 *et seq.** requires that each vendor, prior to contracting with the State certify, and the undersigned on behalf of the Vendor does hereby certify, to the following:

1. that the vendor is not identified on the Final Divestment List of entities that the State Treasurer has determined engages in investment activities in Iran;
2. that the vendor shall not utilize on any contract with the State agency any subcontractor that is identified on the Final Divestment List; and
3. that the undersigned is authorized by the Vendor to make this Certification.

Vendor: _

By: _____

Signature

8/13/18

Date

Name

George Ivey

Program Director

Title

Printed

The State Treasurer's Final Divestment List can be found on the State Treasurer's website at the address:

<https://www.nctreasurer.com/inside-the-department/OpenGovernment/Pages/Iran-Divestment-Act-Resources.aspx>

and will be updated every 180 days. For questions about the Department of State Treasurer's Iran Divestment Policy, please contact Meryl Murtagh at Meryl.Murtagh@nctreasurer.com or (919) 814-3852.

* Note: Enacted by Session Law 2015-118 as G.S. 143C-55 *et seq.*, but has been renumbered for codification at the direction of the Revisor of Statutes.

Attachment E – No Overdue Tax Debts

FALCON CREST RESIDENTIAL CARE INC.
1101 South Fifth Street
Mebane, NC 27302
Mailing Address: PO Box 1670, Mebane, NC 27302
Phone: (336) 226-2575 Fax: (336) 226-2474

June 20, 2018

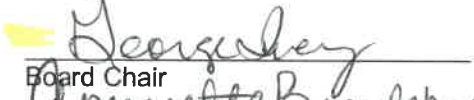
To: Rowan County Department of Social Services

Certification:

We certify that the *Falcon Crest Residential Care Inc.* does not have any overdue tax debts, as defined by N.C.G.S. 105-243.1, at the federal, State, or local level. We further understand that any person who makes a false statement in violation of N.C.G.S. 143C-6-23(c) is guilty of a criminal offense punishable as provided by N.C.G.S.) 143C-10-1b.

Sworn Statement:

George Ivey and Annette Bradsher being duly sworn, say that we are the Board Chair and Secretary, respectively, of Falcon Crest Residential Care Inc. of Mebane in the State of North Carolina; and that the foregoing certification is true, accurate and complete to the best of our knowledge and was made and subscribed by us. We also acknowledge and understand that any misuse of State funds will be reported to the appropriate authorities for further action.


Board Chair


Secretary

Sworn to and subscribed before me on the day of the date of said certification.


(Notary Signature and Seal)

My Commission Expires: June 8, 2020

LATONYA F TINNIN
NOTARY PUBLIC
ALAMANCE COUNTY, NC
MY COMMISSION EXPIRES JUNE 8, 2020

¹ G.S. 105-243.1 defines: Overdue tax debt. – Any part of a tax debt that remains unpaid 90 days or more after the notice of final assessment was mailed to the taxpayer. The term does not include a tax debt, however, if the taxpayer entered into an installment agreement for the tax debt under G.S. 105-237 within 90 days after the notice of final assessment was mailed and has not failed to make any payments due under the installment agreement.”

ATTACHMENT F

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE


Rowan County Department of Social Services

Certification for Contracts, Grants, Loans and Cooperative Agreements


Public Law 103-227, Part C-Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity.

By signing and submitting this application, the Contractor certifies that it will comply with the requirements of the Act. The Contractor further agrees that it will require the language of this certification be included in any subawards which contain provisions for children's services and that all subgrantees shall certify accordingly.

 George Juey
Signature

 Program Director
Title

Falcon Crest Residential Care
Agency/Organization

 8/15/13
Date

(Certification signature should be same as Contract signature.)



CERTIFICATE OF LIABILITY INSURANCE

OP ID: GW

DATE (MM/DD/YYYY)

08/09/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Southeastern Agency Group, Inc. 1501 Highwoods Blvd., St # 402 Greensboro, NC 27410 John Suggs	CONTACT NAME: Glenda Wills		
	PHONE (A/C, No, Ext): 336-218-7470	FAX (A/C, No): 336-218-7487	
	E-MAIL ADDRESS: gwills@sagnc.com		
	PRODUCER CUSTOMER ID #: FALCO-2		
INSURED Falcon Crest Residential Rodney Dickey & Lennie Ivy 1101 South Fifth St. Mebane, NC 27302	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A: Stonewood Insurance Company		12636
	INSURER B: Philadelphia Insurance Co.		
	INSURER C:		
	INSURER D:		
	INSURER E:		
	INSURER F:		

COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
B	GENERAL LIABILITY			PHPK1781564	02/22/2018	02/22/2019	EACH OCCURRENCE \$ 1,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY		DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000				
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR		MED EXP (Any one person) \$ 5,000				
			PERSONAL & ADV INJURY \$ 1,000,000				
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 3,000,000
	<input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG \$ 3,000,000
B	AUTOMOBILE LIABILITY			PHPK1781564	02/22/2018	02/22/2019	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO		BODILY INJURY (Per person) \$				
	<input checked="" type="checkbox"/> ALL OWNED AUTOS		BODILY INJURY (Per accident) \$				
	<input type="checkbox"/> SCHEDULED AUTOS		PROPERTY DAMAGE (PER ACCIDENT) \$				
B	<input checked="" type="checkbox"/> HIRED AUTOS		PHPK1781564	02/22/2018	02/22/2019	Hired & non own \$ 1,000,000	
B	<input checked="" type="checkbox"/> NON-OWNED AUTOS		PHPK1781564	02/22/2018	02/22/2019		
	UMBRELLA LIAB						EACH OCCURRENCE \$
	EXCESS LIAB						AGGREGATE \$
	DEDUCTIBLE						\$
	RETENTION \$						\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			WC100 0071519 2018A	02/18/2018	02/18/2019	WC STATUTORY LIMITS OTH-ER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y/N <input checked="" type="checkbox"/> N/A	E.L. EACH ACCIDENT \$ 500,000				
	If yes, describe under DESCRIPTION OF OPERATIONS below		E.L. DISEASE - EA EMPLOYEE \$ 500,000				
			E.L. DISEASE - POLICY LIMIT \$ 500,000				
B	Professional retro date 6/2/10			PHPK1781564	02/22/2018	02/22/2019	Aggregate Occurrenc 3,000,000 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER**CANCELLATION**

ROWANCO

Rowan County DSS
ATTN: Micah Melton Ennis
1813 East Innes Street
Salisbury, NC 28146

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
John Suggs

John Suggs

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ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/8/2018
SUBJECT: Access Family Services - Foster Care

Please see the attached contract.

Please approve the attached contract between Access Family Services and the Rowan County Department of Social Services for foster care services.

ATTACHMENTS:

Description	Upload Date	Type
Access Family Services - Foster Care	9/8/2018	Cover Memo

Date: 7/20/2018

Department:	<u>Social Services</u>	Account #:	<u>1155420-593005</u>	Amount:	<u>-</u>
		Account #:	<u>1155425-593008</u>	Amount:	<u>-</u>
				Total:	<u>30,000.00 - not to exceed</u>
Vendor name:	<u>Access Family Services</u>	Term Dates:	<u>7/1/2018-6/30/2019</u>		
Contract description:	<u>Therapeutic Foster Care</u>				
POC name:	<u>Brianne Smith</u>	Phone:	<u>954-263-1787</u>		
POC email:	<u>briannesmith@accessfamilyservices.com</u>				
Vendor mail address:	<u>5200 Severy-Seven Center Drive, Charlotte, NC 28217</u>			Vendor #:	<u>17811</u>
<input type="checkbox"/> New contract	<input checked="" type="checkbox"/>	Contract renewal	Munis contract #:		
<input type="checkbox"/> Amendment to contract	<input type="checkbox"/>	Vendor signatures	Munis BA #, if applicable:		
Notes:					

Department Head Initials: [Signature] Date: 7-20-18

☒ Section I properly completed
 ☒ Requires Board approval (Y/N)

☒ Budgeted funds are available

Contract Administrator Initials: DS Date: 8/17/18

This document has been reviewed and approved by the IT Director as to technical content.

IT Director Initials: NA Date:

<input type="checkbox"/> Hold contract pending receipt of Certificate of Insurance	<input type="checkbox"/> Certificate attached and approved	<input type="checkbox"/> No insurance required
--	--	--

Risk Manager Initials: _____ Date: _____

_____ Non-appropriation clause _____ Indemnity clause _____ Termination clause _____ E-verify clause
 _____ Approved as to form and sufficiency _____ If Board approval required, sent to Department for agenda item

Attorney Initials: _____ Date: _____

Budgeted funds are available	Contract has been pre-audited
------------------------------	-------------------------------

Finance Director Initials: _____ Date: _____

Contract has been properly signed by all parties

County Manager Initials: _____ Date: _____

This document has been reviewed and approved by the Board of Commissioners and/or County Manager.

Yes	No	Date:
-----	----	-------

Document fully executed, scanned and posted on the County website Date:

Contract Administrator Initials: _____ Date: _____

Contract #**Fiscal Year Begins 7/1/2018 Ends 6/30/2019**

This contract is hereby entered into by and between the Rowan County Department of Social Services (the "County") and Access Family Services (the "Contractor") (referred to collectively as the "Parties"). The Contractor's federal tax identification number or Social Security Number is _____ and DUNS Number (required if funding from a federal funding source).

1. Contract Documents: This Contract consists of the following documents:

- (1) This contract
- (2) The General Terms and Conditions (Attachment A)
- (3) The Scope of Work, description of services, and rate (Attachment B)
- (4) Federal Certification Regarding Drug-Free Workplace & Certification Regarding Nondiscrimination (Attachment C)
- (5) Conflict of Interest (Attachment D)
- (6) No Overdue Taxes (Attachment E)
- (7) Federal Certification Regarding Environmental Tobacco Smoke (Attachment F)
- (8) Federal Certification Regarding Lobbying (Attachment G)
- (9) Federal Certification Regarding Debarment (Attachment H)
- (10) *If applicable*, HIPAA Business Associate Addendum (checklist and forms)
- (11) Certification of Transportation (Attachment J)
- (12) *If applicable*, IRS federal tax exempt letter or 501 (c)(Attachment K) <http://www.irs.gov/pub/irs-fill/k1023.pdf>
- (13) Certain Reporting and Auditing Requirements (Attachment L)
- (14) State Certification (Attachment M)
- (15) Contract Determination Questionnaire (required)

These documents constitute the entire agreement between the Parties and supersede all prior oral or written statements or agreements.

2. Precedence among Contract Documents: In the event of a conflict between or among the terms of the Contract Documents, the terms in the Contract Document with the highest relative precedence shall prevail. The order of precedence shall be the order of documents as listed in Paragraph 1, above, with the first-listed document having the highest precedence and the last-listed document having the lowest precedence. If there are multiple Contract Amendments, the most recent amendment shall have the highest precedence and the oldest amendment shall have the lowest precedence.**3. Effective Period:** This contract shall be effective on 7/1/2018 and shall terminate on 6/30/2019, This contract must be twelve months or less.**4. Contractor's Duties:** The Contractor shall provide the services and in accordance with the approved rate as described in Attachment B, Scope of Work.**5. County's Duties:** The County shall pay the Contractor in the manner and in the amounts specified in the Contract Documents. The total amount paid by the County to the Contractor under this contract shall not exceed \$ 30,000.00. This amount consists of \$ _____ in Federal funds (CFDA # _____), \$ _____ in State Funds, \$ _____ in County funds

☒ a. There are no matching requirements from the Contractor.

☐ b. The Contractor's matching requirement is \$ _____, which shall consist of:

<input type="checkbox"/> In-kind	<input type="checkbox"/> Cash
<input type="checkbox"/> Cash and In-kind	<input type="checkbox"/> Cash and/or In-kind

The contributions from the Contractor shall be sourced from non-federal funds.
The total contract amount including any Contractor match shall not exceed \$30,000.00.

6. Reversion of Funds:

Any unexpended grant funds shall revert to the County Department of Social Services/Human Services upon termination of this contract.

7. Reporting Requirements:

Contractor shall comply with audit requirements as described in N.C.G.S. § 143C-6-22 & 23 and OMB Circular- CFR Title 2 Grants and Agreements, Part 200, and shall disclose all information required by 42 USC 455.104, or 42 USC 455.105, or 42 USC 455.106.

8. Payment Provisions:

Payment shall be made in accordance with the Contract Documents as described in the Scope of Work, Attachment B.

- 9. Contract Administrators:** All notices permitted or required to be given by one Party to the other and all questions about the contract from one Party to the other shall be addressed and delivered to the other Party's Contract Administrator. The name, post office address, street address, telephone number, fax number, and email address of the Parties' respective initial Contract Administrators are set out below. Either Party may change the name, post office address, street address, telephone number, fax number, or email address of its Contract Administrator by giving timely written notice to the other Party.

For the County:

IF DELIVERED BY US POSTAL SERVICE		IF DELIVERED BY ANY OTHER MEANS	
Name & Title	Donna F. Fayko, Director	Name & Title	Donna F. Fayko, Director
County	Rowan	County	Rowan
Mailing Address	1813 East Innes Street	Street Address	1813 East Innes Street
City, State, Zip	Salisbury NC 28146	City, State, Zip	Salisbury NC 28146
Telephone	704.216.8422		
Fax	704.638.3041		
Email	donna.fayko@rowancountync.gov		

For the Contractor:

IF DELIVERED BY US POSTAL SERVICE		IF DELIVERED BY ANY OTHER MEANS	
Name & Title	Brianne Smith, President/COO	Name & Title	Brianne Smith, President/COO
Company Name	Access Family Services	Company Name	Access Family Services
Mailing Address	5200 Seventy-Seven Center Drive	Street Address	5200 Seventy-Seven Center Drive
City State Zip	Charlotte NC 28217	City State Zip	Charlotte NC 28217
Telephone	954.263.1787		
Fax	-		
Email	briannesmith@accessfamilyservices.com		

10. Supplementation of Expenditure of Public Funds:

The Contractor assures that funds received pursuant to this contract shall be used only to supplement, not to supplant, the total amount of federal, state and local public funds that the Contractor otherwise expends for contract services and related programs. Funds received under this contract shall be used to provide additional public funding for such services; the funds shall not be used to reduce the Contractor's total expenditure of other public funds for such services.

11. Disbursements:

As a condition of this contract, the Contractor acknowledges and agrees to make disbursements in accordance with the following requirements:

- (a) Implement adequate internal controls over disbursements;
- (b) Pre-audit all vouchers presented for payment to determine:
 - Validity and accuracy of payment
 - Payment due date
 - Adequacy of documentation supporting payment

- Legality of disbursement
- (c) Assure adequate control of signature stamps/plates;
- (d) Assure adequate control of negotiable instruments; and
- (e) Implement procedures to insure that account balance is solvent and reconcile the account monthly.

12. Outsourcing to Other Countries:

The Contractor certifies that it has identified to the County all jobs related to the contract that have been outsourced to other countries, if any. The Contractor further agrees that it will not outsource any such jobs during the term of this contract without providing notice to the County.

13. Federal Certifications:


Individuals and Organizations receiving federal funds must ensure compliance with certain certifications required by federal laws and regulations. The contractor is hereby complying with Certifications regarding Nondiscrimination, Drug-Free Workplace Requirements, Environmental Tobacco Smoke, Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions, and Lobbying. These assurances and certifications are to be signed by the contractor's authorized representative.

14. Specific Language Not Previously Addressed:

(can be delted if not needed)


15. Signature Warranty: The undersigned represent and warrant that they are authorized to bind their principals to the terms of this agreement.

The Contractor and the County have executed this contract in duplicate originals, with one original being retained by each party.



 Signature Date
 Brianne Smith President
 Printed Name Title

COUNTY



 Signature Date
 (must be legally authorized to sign contracts for County DSS) 7-20-18
 Donna F Fayko Director
 Printed Name Title

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act.

 Signature of County Finance Officer Date

Contractor: Access Family Services

Attachment A General Terms and Conditions

Relationships of the Parties

Independent Contractor: The Contractor is and shall be deemed to be an independent contractor in the performance of this contract and as such shall be wholly responsible for the work to be performed and for the supervision of its employees. The Contractor represents that it has, or shall secure at its own expense, all personnel required in performing the services under this agreement. Such employees shall not be employees of, or have any individual contractual relationship with the County.

Subcontracting: The Contractor shall not subcontract any of the work contemplated under this contract without prior written approval from the County. Any approved subcontract shall be subject to all conditions of this contract. Only the subcontractors specified in the contract documents are to be considered approved upon award of the contract. The County shall not be obligated to pay for any work performed by any unapproved subcontractor. The Contractor shall be responsible for the performance of all of its subcontractors.

Assignment: No assignment of the Contractor's obligations or the Contractor's right to receive payment hereunder shall be permitted. However, upon written request approved by the issuing purchasing authority, the County may:

- (a) Forward the Contractor's payment check(s) directly to any person or entity designated by the Contractor, or
- (b) Include any person or entity designated by Contractor as a joint payee on the Contractor's payment check(s).

In no event shall such approval and action obligate the County to anyone other than the Contractor and the Contractor shall remain responsible for fulfillment of all contract obligations.

Beneficiaries: Except as herein specifically provided otherwise, this contract shall inure to the benefit of and be binding upon the parties hereto and their respective successors. It is expressly understood and agreed that the enforcement of the terms and conditions of this contract, and all rights of action relating to such enforcement, shall be strictly reserved to the County and the named Contractor. Nothing contained in this document shall give or allow any claim or right of action whatsoever by any other third person. It is the express intention of the County and Contractor that any such person or entity, other than the County or the Contractor, receiving services or benefits under this contract shall be deemed an incidental beneficiary only.

Indemnity and Insurance

Indemnification: The Contractor agrees to indemnify and hold harmless the County and any of their officers, agents and employees, from any claims of third parties arising out of or any act or omission of the Contractor in connection with the performance of this contract.

Insurance: During the term of the contract, the Contractor at its sole cost and expense shall provide commercial insurance of such type and with such terms and limits as may be reasonably associated with the contract. As a minimum, the Contractor shall provide and maintain the following coverage and limits:

- (a) **Worker's Compensation** - The contractor shall provide and maintain Worker's Compensation Insurance as required by the laws of North Carolina, as well as employer's liability coverage with minimum limits of \$500,000.00, covering all of Contractor's employees who are engaged in any work under the contract. If any work is sublet, the Contractor shall require the subcontractor to provide the same coverage for any of his employees engaged in any work under the contract.
- (b) **Commercial General Liability** - General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of \$1,000,000.00 Combined Single Limit. (Defense cost shall be in excess of the limit of liability.)
- (c) **Automobile Liability Insurance:** The Contractor shall provide automobile liability insurance with a combined single limit of \$500,000.00 for bodily injury and property damage; a limit of \$500,000.00 for uninsured/under insured motorist coverage; and a limit of \$2,000.00 for medical payment coverage. The Contractor shall provide this insurance for all automobiles that are:
 - (a) owned by the Contractor and used in the performance of this contract;
 - (b) hired by the Contractor and used in the performance of this contract; and
 - (c) Owned by Contractor's employees and used in performance of this contract ("non-owned vehicle insurance"). Non-owned vehicle insurance protects employers when employees use their personal vehicles for work purposes. Non-owned vehicle insurance supplements, but does not replace, the car-owner's liability insurance.

The Contractor is not required to provide and maintain automobile liability

insurance on any vehicle – owned, hired, or non-owned – unless the vehicle is used in the performance of this contract.

- (d) The insurance coverage minimums specified in subparagraph (a) are exclusive of defense costs.
- (e) The Contractor understands and agrees that the insurance coverage minimums specified in subparagraph (a) are not limits, or caps, on the Contractor's liability or obligations under this contract.
- (f) The Contractor may obtain a waiver of any one or more of the requirements in subparagraph (a) by demonstrating that it has insurance that provides protection that is equal to or greater than the coverage and limits specified in subparagraph (a). The County shall be the sole judge of whether such a waiver should be granted.
- (g) The Contractor may obtain a waiver of any one or more of the requirements in paragraph (a) by demonstrating that it is self-insured and that its self-insurance provides protection that is equal to or greater than the coverage and limits specified in subparagraph (a). The County shall be the sole judge of whether such a waiver should be granted.
- (h) Providing and maintaining the types and amounts of insurance or self-insurance specified in this paragraph is a material obligation of the Contractor and is of the essence of this contract.
- (i) The Contractor shall only obtain insurance from companies that are authorized to provide such coverage and that are authorized by the Commissioner of Insurance to do business in the State of North Carolina. All such insurance shall meet all laws of the State of North Carolina.
- (j) The Contractor shall comply at all times with all lawful terms and conditions of its insurance policies and all lawful requirements of its insurer.
- (k) The Contractor shall require its subcontractors to comply with the requirements of this paragraph.
- (l) The Contractor shall demonstrate its compliance with the requirements of this paragraph by submitting certificates of insurance to the County before the Contractor begins work under this contract.

Transportation of Clients by Contractor:

The contractor will maintain Insurance requirements if required as noted under Article 7 Rule R2-36 of the North Carolina Utilities Commission.

Default and Termination

Termination Without Cause: The County may terminate this contract without cause by giving 30 days written notice to the Contractor.

Termination for Cause: If, through any cause, the Contractor shall fail to fulfill its obligations under this contract in a timely and proper manner, the County shall have the right to terminate this contract by giving written notice to the Contractor and specifying the effective date thereof. In that event, all finished or unfinished deliverable items prepared by the Contractor under this

contract shall, at the option of the County, become its property and the Contractor shall be entitled to receive just and equitable compensation for any satisfactory work completed on such materials, minus any payment or compensation previously made. Notwithstanding the foregoing provision, the Contractor shall not be relieved of liability to the County for damages sustained by the County by virtue of the Contractor's breach of this agreement, and the County may withhold any payment due the Contractor for the purpose of setoff until such time as the exact amount of damages due the County from such breach can be determined. In case of default by the Contractor, without limiting any other remedies for breach available to it, the County may procure the contract services from other sources and hold the Contractor responsible for any excess cost occasioned thereby. The filing of a petition for bankruptcy by the Contractor shall be an act of default under this contract.

Waiver of Default: Waiver by the County of any default or breach in compliance with the terms of this contract by the Provider shall not be deemed a waiver of any subsequent default or breach and shall not be construed to be modification of the terms of this contract unless stated to be such in writing, signed by an authorized representative of the County and the Contractor and attached to the contract.

Availability of Funds: The parties to this contract agree and understand that the payment of the sums specified in this contract is dependent and contingent upon and subject to the appropriation, allocation, and availability of funds for this purpose to the County.

Force Majeure: Neither party shall be deemed to be in default of its obligations hereunder if and so long as it is prevented from performing such obligations by any act of war, hostile foreign action, nuclear explosion, riot, strikes, civil insurrection, earthquake, hurricane, tornado, or other catastrophic natural event or act of God.

Survival of Promises: All promises, requirements, terms, conditions, provisions, representations, guarantees, and warranties contained herein shall survive the contract expiration or termination date unless specifically provided otherwise herein, or unless superseded by applicable Federal or State statutes of limitation.

Intellectual Property Rights

Copyrights and Ownership of Deliverables: All deliverable items produced pursuant to this contract are the exclusive property of the County. The Contractor shall not assert a claim of copyright or other property interest in such deliverables.

Federal Intellectual Property Bankruptcy Protection Act: The Parties agree that the County shall be entitled to all rights and benefits of the Federal Intellectual Property Bankruptcy Protection Act, Public Law 100-

506, codified at 11 U.S.C. 365 (n) and any amendments thereto.

Compliance with Applicable Laws

Compliance with Laws: The Contractor shall comply with all laws, ordinances, codes, rules, regulations, and licensing requirements that are applicable to the conduct of its business, including those of federal, state, and local agencies having jurisdiction and/or authority.

Title VI, Civil Rights Compliance: In accordance with Federal law and U.S. Department of Agriculture (USDA) and U.S. Department of Health and Human Services (HHS) policy, this institution is prohibited from discriminating on the basis of race, color, national origin, sex, age or disability. Under the Food Stamp Act and USDA policy, discrimination is prohibited also on the basis of religion or political beliefs.

Equal Employment Opportunity: The Contractor shall comply with all federal and State laws relating to equal employment opportunity.

Health Insurance Portability and Accountability Act (HIPAA): The Contractor agrees that, if the County determines that some or all of the activities within the scope of this contract are subject to the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91, as amended ("HIPAA"), or its implementing regulations, it will comply with the HIPAA requirements and will execute such agreements and practices as the County may require to ensure compliance.

(a) **Data Security:** The Contractor shall adopt and apply data security standards and procedures that comply with all applicable federal, state, and local laws, regulations, and rules.

(b) **Duty to Report:** The Contractor shall report a suspected or confirmed security breach to the local Department of Social Services/Human Services Contract Administrator within twenty-four (24) hours after the breach is first discovered, provided that the Contractor shall report a breach involving Social Security Administration data or Internal Revenue Service data within one (1) hour after the breach is first discovered.

(c) **Cost Borne by Contractor:** If any applicable federal, state, or local law, regulation, or rule requires the Contractor to give written notice of a security breach to affected persons, the Contractor shall bear the cost of the notice.

Trafficking Victims Protection Act of 2000 :

The Contractor will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104)

Executive Order # 24: It is unlawful for any vendor, contractor, subcontractor or supplier of the state to make gifts or to give favors to any state employee. For additional information regarding the specific requirements and exemptions, contractors are encouraged to review Executive Order 24 and G.S. Sec. 133-32.

Confidentiality

Confidentiality: Any information, data, instruments, documents, studies or reports given to or prepared or assembled by the Contractor under this agreement shall be kept as confidential and not divulged or made available to any individual or organization without the prior written approval of the County. The Contractor acknowledges that in receiving, storing, processing or otherwise dealing with any confidential information it will safeguard and not further disclose the information except as otherwise provided in this contract.

Oversight

Access to Persons and Records: The State Auditor shall have access to persons and records as a result of all contracts or grants entered into by State agencies or political subdivisions in accordance with General Statute 147-64.7. Additionally, as the State funding authority, the Department of Health and Human Services shall have access to persons and records as a result of all contracts or grants entered into by State agencies or political subdivisions.

Record Retention: Records shall not be destroyed, purged or disposed of without the express written consent of the Division. State basic records retention policy requires all grant records to be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years since records must be retained for a period of three years following submission of the final Federal Financial Status Report, if applicable, or three years following the submission of a revised final Federal Financial Status Report. Also, if any litigation, claim, negotiation, audit, disallowance action, or other action involving this Contract has been started before expiration of the five-year retention period described above, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular five-year period described above, whichever is later. The record retention period for Temporary Assistance for Needy Families (TANF) and MEDICAID and Medical Assistance grants and programs must be retained for a minimum of ten years.

Warranties and Certifications

Date and Time Warranty: The Contractor warrants that the product(s) and service(s) furnished pursuant to this contract ("product" includes, without limitation, any piece of equipment, hardware, firmware, middleware, custom or commercial software, or internal components, subroutines, and interfaces therein) that perform any date and/or time data recognition function, calculation, or sequencing will support a four digit year format and will provide accurate date/time data and leap year calculations. This warranty shall survive the termination or expiration of this contract.

Certification Regarding Collection of Taxes: G.S. 143-59.1 bars the Secretary of Administration from entering into contracts with vendors that meet one of the conditions of G.S. 105-164.8(b) and yet refuse to collect use taxes on sales of tangible personal property to purchasers in North Carolina. The conditions include: (a) maintenance of a retail establishment or office; (b) presence of representatives in the State that solicit sales or transact business on behalf of the vendor; and (c) systematic exploitation of the market by media-assisted, media-facilitated, or media-solicited means. The Contractor certifies that it and all of its affiliates (if any) collect all required taxes.

E-Verify

Pursuant to G.S. 143-48.5 and G.S. 147-33.95(g), the undersigned hereby certifies that the Contractor named below, and the Contractor's subcontractors, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system." E-Verify System Link: www.uscis.gov

Miscellaneous

Choice of Law: The validity of this contract and any of its terms or provisions, as well as the rights and duties of the parties to this contract, are governed by the laws of North Carolina. The Contractor, by signing this contract, agrees and submits, solely for matters concerning this Contract, to the exclusive jurisdiction of the courts of North Carolina and agrees, solely for such purpose, that the exclusive venue for any legal proceedings shall be the county in which the contract originated. The place of this contract and all transactions and agreements relating to it, and their situs and forum, shall be the county where the contract originated, where all matters, whether sounding in contract or tort, relating to the validity, construction, interpretation, and enforcement shall be determined.

Amendment: This contract may not be amended orally or by performance. Any amendment must be made in written form and executed by duly authorized representatives of the County and the Contractor.

Severability: In the event that a court of competent jurisdiction holds that a provision or requirement of this contract violates any applicable law, each such provision or requirement shall continue to be enforced to the extent it is not in violation of law or is not otherwise unenforceable and all other provisions and requirements of this contract shall remain in full force and effect.

Headings: The Section and Paragraph headings in these General Terms and Conditions are not material parts of the agreement and should not be used to construe the meaning thereof.

Time of the Essence: Time is of the essence in the performance of this contract.

Key Personnel: The Contractor shall not replace any of the key personnel assigned to the performance of this contract without the prior written approval of the County. The term "key personnel" includes any and all persons identified as such in the contract documents and any other persons subsequently identified as key personnel by the written agreement of the parties.

Care of Property: The Contractor agrees that it shall be responsible for the proper custody and care of any property furnished to it for use in connection with the performance of this contract and will reimburse the County for loss of, or damage to, such property. At the termination of this contract, the Contractor shall contact the County for instructions as to the disposition of such property and shall comply with these instructions.

Travel Expenses: Reimbursement to the Contractor for travel mileage, meals, lodging and other travel expenses incurred in the performance of this contract shall not exceed the rates established in County policy.

Sales/Use Tax Refunds: If eligible, the Contractor and all subcontractors shall: (a) ask the North Carolina Department of Revenue for a refund of all sales and use taxes paid by them in the performance of this contract, pursuant to G.S. 105-164.14; and (b) exclude all refundable sales and use taxes from all reportable expenditures before the expenses are entered in their reimbursement reports.

Advertising: The Contractor shall not use the award of this contract as a part of any news release or commercial advertising.

ATTACHMENT B – Scope of Work Federal Tax Id. or SSN

A. CONTRACTOR INFORMATION

1. Contractor Agency Name: Access Family Services
2. *If different* from Contract Administrator Information in General Contract:
 Address Telephone Number: Fax Number: Email:
3. Name of Program (s): Therapeutic Foster Care
4. Status: ☐ Public ☐ Private, Not for Profit ☒ Private, For Profit
5. Contractor's Financial Reporting Year Jan through Dec

B. Explanation of Services to be provided and to whom (include SIS Service Code):
 Therapeutic Foster Care

C. Rate per unit of Service (define the unit): See chart below for Therapeutic Foster Care Rates

1. If Standard Fixed Rate, Maximum Allowable, (See Rates for Services Chart)

Standard Board Rates	Monthly Rates		
	Age 0-5	Age 6-12	Age 13+
Foster Care			
Therapeutic Foster Care	\$475	\$581	\$634
Residential Treatment (Level 2)			
Child Placing Agency	\$1,433	\$1,564	\$1,638
Residential Child Caring Institution	\$4,279	\$4,437	\$4,516
Standard Board and Treatment Rates	Daily Rates		
	Board	Treatment*	
Residential Treatment Level 3, 0-4 beds	\$43	\$232.88	
Residential Treatment Level 3, 5+ beds	\$33	\$189.75	
Residential Treatment Level 4, 0-4 beds	\$43	\$315.71	
Residential Treatment Level 4, 5+ beds	\$40	\$315.71	
*Treatment Rates set by DMA and are subject to change.			

2. Negotiated County Rate.
 Additional county funds per individual client agreements.

D. Number of units to be provided: The number of applications submitted by the Rowan County DSS and accepted by the Contractor during each fiscal year shall equal the number of units provided.

E. Details of Billing process and Time Frames: Invoices are due to Rowan County DSS no later than the 3rd working day of the month and payment is issued no later than the 25th day of each month.

F. Area to be served/Delivery site(s): Rowan County clients at Contractor facilities

G. MUTUAL AGREEMENT

I. Both Parties Agree to:

1. Meet at least annually to review the outcome data and quality standards information, and as needed to address special projects or issues throughout the year.
2. Return all phone calls received within twenty-four (24) hours or by the end of the next business day.
3. Share all information needed to ensure a good match/appropriate placement.
4. Clearly communicate any concerns about the partnership with a problem-solving approach that prioritizes the best interest of the child and family being served.

II. Private Partner

Private Partner agrees to provide foster care services for children in the custody of County, and shall do the following:

Share Performance Data:

1. Provide data to the County annually or as needed for special projects or to address specific concerns..
1. Enter data into the *Client Dashboard Data Capture Sheet* which will generate the aggregate data reports, which the Private Agency will share with the County. Data will be entered in accordance with the definitions provided in the *Performance Data Definition Guide*.
2. Ensure records are accessible for review for monitoring services rendered and for financial audits.
3. Ensure records are accessible for research and evaluation. Upon request of County, Private Partner shall provide data about individual children for research and study.

Provide Services:

1. Provide foster care services, guided by an individualized permanency and/or treatment plan to be developed with the child's social worker within 30 days of admission.
4. Provide for each child's safety, shelter, emotional, nutritional, and basic everyday needs. Within reason and to be negotiated as needed, provide for personal hygiene items, school supplies, school field trips, extracurricular activities, photos, and yearbooks.
5. Within reason and to be negotiated as needed, maintain the child's clothing inventory, providing adequate and appropriate attire to meet the child's growth, wear/tear, seasonal, and educational needs. A clothing inventory at admission and a clothing inventory at discharge (adequate for transition to the next placement) shall be completed.

6. Participate in County-supervised visits between child and family.
7. Train and support foster parents in shared parenting with biological parents.
8. Provide transportation within 100 miles round trip to and from parental visits, school, and medical, dental, and therapy appointments if required.
9. Coordinate non-emergency, temporary respite care placements that have been approved by a child's County-assigned social worker.
10. For placements involving mental health treatment (Levels 2-4, PRTF), provide case management including development and maintenance of Person-Centered Plan and oversee requests for authorizations and reauthorizations.
11. Adhere to the County's policy/procedures regarding discipline of children in foster care.
12. Adhere to the County's procedures for applying for day care (if applicable).

Communication and Planning:

1. Notify County immediately when a child receives emergency care, is hospitalized, is placed in detention, or is absent/missing (e.g., on the run). Private Partner will also notify local authorities immediately upon discovering a child is missing.
13. When a child is transported to the hospital for an emergency psychiatric hospital assessment, accompany/supervise the child in the emergency room for up to 4 hours to allow County adequate travel and coordination time to respond to the event.
14. Communicate with the County monthly regarding each child's needs and progress in the program, unless more frequent communications are needed based on the specific circumstances.
15. Notify County of Child and Family Team meetings, Treatment Team meetings, and other planning meetings as necessary.
16. With County, coordinate planning for any move of the child.
17. Work closely with County to develop a written transition plan within 7 business days prior to discharge from program.
18. Attend Permanency Planning Review meetings for each child. If attendance is not feasible, Private Partner will submit a written report prior to said meeting.
19. Attend court hearings and provide information to the court as needed. If attendance is not feasible, review report prior to court. Private Partner should provide a written summary to the court regarding each child's progress.
20. Provide County a minimum 30 day grace period prior to discharge of any child so that an appropriate subsequent placement can be secured. Shorter periods may be agreed upon if the Parties deem it necessary.
21. If Private Partner is the clinical home for the child: if after consultation with the County it is determined that continuation of the placement is not beneficial, provide 30 days notice to the County to arrange another more appropriate placement. Private Partner will continue meeting the child's clinical needs until another provider can assume clinical home responsibility for the child.
22. Discuss potential medication changes with the child's social worker, and provide written notification of medication changes or current medication list within 24 hours of changes, and upon discharge.

23. Provide a discharge summary within thirty (30) days of discharge, including history, course of treatment, progress in care, medications, and plan for the child.

III. County

The County shall retain custody of each child while the child is placed with the Private Partner, and shall do the following:

Share Information:

1. Provide the following for each child upon admission:
 - a. Verification of current physical exam within the last 12 months and assist with scheduling of exam within 72 hours of placement.
 - b. Social Security card (copy of).
 - c. Medicaid card.
 - d. Documentation of custody.
 - e. Case history, including information regarding special court sanctions, treatment plans, and medical records.
 - f. Out-of-home family services agreement.
 - g. Psychological evaluation (if applicable).
 - h. Immunization record.
 - i. Visitation agreement (if applicable).
 - j. Child Health Status Component (DSS-5125-II).
 - k. Education Component (DSS-5245).
 - l. Birth certificate (copy of).
 - m. School enrollment letter (if applicable).
 - n. A document outlining the financial criteria for each child. A signed copy of the document must be returned to the County in order for Private Partner to receive financial payment for the child. In the event of an emergency placement, the document will be provided to the Private Partner within seven (7) working days.
 - o. Any other forms or information required by the Private Partner.


Provide Services:

1. Conduct regular, face-to-face visits with Private Partner on at least a monthly basis to review each child's progress toward meeting the goals of the out-of-home services agreement and treatment plan.
24. Conduct in-person visits with each child at least once a month in the placement provider's home.

25. Monitor and assure implementation of all aspects of a child's treatment plan, including court-ordered visitation by parent/guardian.
26. Enroll/withdraw the child in school.
27. Collaborate with Private Partner to support shared parenting between foster parents and children's biological parents.

Communication and Planning:

1. Coordinate required medical exams for each child and advise Private Partner of results.
28. If not provided at placement (due to emergency circumstances), share the out-of-home family services agreement for each child within 7 business days of when it is developed.
29. Share updated out-of-home family services agreement every six months or when the child or family's circumstances or needs change.
30. Maintain close communication with the Private Partner regarding treatment issues, changes in each child's family situation, child/family needs, and discharge planning for each child.
31. Attend Child and Family Team meetings, Treatment Team meetings, and other planning meetings as necessary.
32. Provide a ten (10) day notice for all Permanency Planning Meetings and court dates, and notify Private Partner when the Permanency Plan changes.
33. Notify the child's parent/guardian and Guardian ad Litem when child will be moved or has been moved.
34. Plan for discharge in concert with the Private Partner's treatment team, providing a 7 business day advance notice prior to discharge.
35. Be available or have the supervisor or after hours staff respond to emergencies.


 (Signature of County Authorized Person)
 7-20-18
 (Date Submitted)


 (Signature of Contractor)
 6/15/18
 (Date Submitted)

 (Signature of County Authorized Person)

 (Date Submitted)

 (Signature of Contractor)

 (Date Submitted)

Contractor: Access Family Services

ATTACHMENT C

**CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS
AND CERTIFICATION REGARDING NONDISCRIMINATION**

Rowan County Department of Social Services

- I. By execution of this Agreement the Contractor certifies that it will provide a drug-free workplace by:
- A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - B. Establishing a drug-free awareness program to inform employees about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The Contractor's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 - C. Making it a requirement that each employee be engaged in the performance of the agreement be given a copy of the statement required by paragraph (A);
 - D. Notifying the employee in the statement required by paragraph (A) that, as a condition of employment under the agreement, the employee will:
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
 - E. Notifying the County within ten days after receiving notice under subparagraph (D)(2) from an employee or otherwise receiving actual notice of such conviction;
 - F. Taking one of the following actions, within 30 days of receiving notice under subparagraph (D)(2), with respect to any employee who is so convicted:

- (1) Taking appropriate personnel action against such an employee, up to and including termination; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (A), (B), (C), (D), (E), and (F).

II. The site(s) for the performance of work done in connection with the specific agreement are listed below:

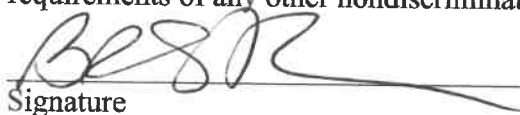
1. (Street address)
(City, county, state, zip code)
2. (Street address)
(City, county, state, zip code)

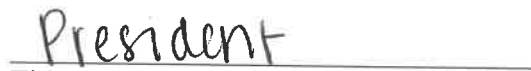
Contractor will inform the County of any additional sites for performance of work under this agreement.

False certification or violation of the certification shall be grounds for suspension of payment, suspension or termination of grants, or government-wide Federal suspension or debarment
45 C.F.R. Section 82.510. Section 4 CFR Part 85, Section 85.615 and 86.620.

Certification Regarding Nondiscrimination

The Vendor certifies that it will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (h) the Food Stamp Act and USDA policy, which prohibit discrimination on the basis of religion and political beliefs; and (i) the requirements of any other nondiscrimination statutes which may apply to this Agreement.


Signature


President
Title

Access Family Services, Inc. 6/15/18
Agency/Organization Date

(Certification signature should be same as Contract signature.)

Contractor: Access Family Services

ATTACHMENT D

Conflict of Interest Policy

Instructions: (Use this for all contracts. Page one is to be completed by the Contractor **and** a copy of the Contractor's conflict of interest policy must be submitted. The Contractor can adopt page 1 and 2 as their conflict of interest policy or attach their current adopted policy. Note: Verification is needed on a yearly basis. For contracts extending more than one state fiscal year, the contract file must include documentation that the Conflict of Interest Policy has not changed from the previous year. If the policy has changed, a new conflict of interest policy must be submitted. Remember to delete all instructions in blue italic, (highlighted in yellow).)

The Board of Directors/Trustees or other governing persons, officers, employees or agents are to avoid any conflict of interest, even the appearance of a conflict of interest. The Organization's Board of Directors/Trustees or other governing body, officers, staff and agents are obligated to always act in the best interest of the organization. This obligation requires that any Board member or other governing person, officer, employee or agent, in the performance of Organization duties, seek only the furtherance of the Organization mission. At all times, Board members or other governing persons, officers, employees or agents, are prohibited from using their job title, the Organization's name or property, for private profit or benefit.

A. The Board members or other governing persons, officers, employees, or agents of the Organization should neither solicit nor accept gratuities, favors, or anything of monetary value from current or potential contractors/vendors, persons receiving benefits from the Organization or persons who may benefit from the actions of any Board member or other governing person, officer, employee or agent. This is not intended to preclude bona-fide Organization fund raising-activities.

B. A Board or other governing body member may, with the approval of Board or other governing body, receive honoraria for lectures and other such activities while not acting in any official capacity for the Organization. Officers may, with the approval of the Board or other governing body, receive honoraria for lectures and other such activities while on personal days, compensatory time, annual leave, or leave without pay. Employees may, with the prior written approval of their supervisor, receive honoraria for lectures and other such activities while on personal days, compensatory time, annual leave, or leave without pay. If a Board or other governing body member, officer, employee or agent is acting in any official capacity, honoraria received in connection with activities relating to the Organization are to be paid to the Organization.

C. No Board member or other governing person, officer, employee, or agent of the Organization shall participate in the selection, award, or administration of a purchase or contract with a vendor where, to his knowledge, any of the following has a financial interest in that purchase or contract:

1. The Board member or other governing person, officer, employee, or agent;
2. Any member of their family by whole or half blood, step or personal relationship or relative-in-law;
3. An organization in which any of the above is an officer, director, or employee;
4. A person or organization with whom any of the above individuals is negotiating or has any arrangement concerning prospective employment or contracts.

D. **Duty to Disclosure** -- Any conflict of interest, potential conflict of interest, or the appearance of a conflict of interest is to be reported to the Board or other governing body or one's supervisor immediately.

E. **Board Action** -- When a conflict of interest is relevant to a matter requiring action by the Board of Directors/Trustees or other governing body, the Board member or other governing person, officer, employee, or agent (person(s)) must disclose the existence of the conflict of interest and be given the opportunity to disclose all material facts to the Board and members of committees with governing board delegated powers considering the possible conflict of interest. After disclosure of all material facts, and after any discussion with the person, he/she shall leave the governing board or committee meeting while

the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists. In addition, the person(s) shall not participate in the final deliberation or decision regarding the matter under consideration and shall leave the meeting during the discussion of and vote of the Board of Directors/Trustees or other governing body.

F. Violations of the Conflicts of Interest Policy -- If the Board of Directors/Trustees or other governing body has reasonable cause to believe a member, officer, employee or agent has failed to disclose actual or possible conflicts of interest, it shall inform the person of the basis for such belief and afford the person an opportunity to explain the alleged failure to disclose. If, after hearing the person's response and after making further investigation as warranted by the circumstances, the Board of Directors/Trustees or other governing body determines the member, officer, employee or agent has failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

G. Record of Conflict -- The minutes of the governing board and all committees with board delegated powers shall contain:

1. The names of the persons who disclosed or otherwise were found to have an actual or possible conflict of interest, the nature of the conflict of interest, any action taken to determine whether a conflict of interest was present, and the governing board's or committee's decision as to whether a conflict of interest in fact existed.
2. The names of the persons who were present for discussions and votes relating to the transaction or arrangement that presents a possible conflict of interest, the content of the discussion, including any alternatives to the transaction or arrangement, and a record of any votes taken in connection with the proceedings.

Approved by:

Access Family Services, Inc.
Name of Organization
[Signature]
Signature of Organization Official
6/15/18
Date

NOTARIZED CONFLICT OF INTEREST POLICY

State of North Carolina

County of Wake

I, Lindsey N. Montgomery, Notary Public for said County and State, certify that

Brianne R. Smith personally appeared before me this day and acknowledged

that he/she is Regional President of Access Family Services [enter name of entity]

and by that authority duly given and as the act of the Organization, affirmed that the foregoing Conflict of Interest Policy was adopted by the Board of Directors/Trustees or other governing body in a meeting held on the _____ day of _____.

Sworn to and subscribed before me this 15th day of June, 2018

Lindsey N. Montgomery
(Official Seal)

Notary Public

My Commission expires July 12, 20 22





May 30, 2018

To: Rowan County Department of Social Services

Certification:

We certify that Access Family Services does not have any overdue tax debts, as defined by N.C.G.S. 105-243.1, at the federal, State, or local level. We further understand that any person who makes a false statement in violation of N.C.G.S. 143C-6-23(c) is guilty of a criminal offense punishable as provided by N.C.G.S.) 143C-10-1b.

Sworn Statement:

Andrew Calkins and Martin Osorio being duly sworn, say that we are the Chief Executive Officer and Member of the Board of Directors and Controller respectively, of Access Family Services of Raleigh in the State of North Carolina and that the foregoing certification is true, accurate and complete to the best of our knowledge and was made and subscribed by us. We also acknowledge and understand that any misuse of State funds will be reported to the appropriate authorities for further action.

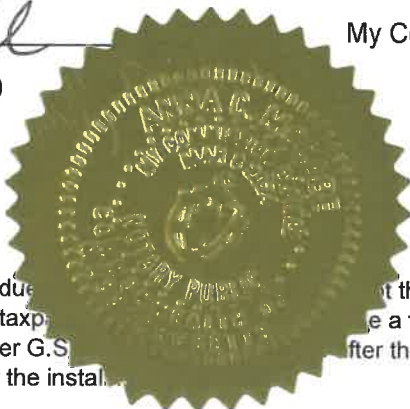
Chief Executive Officer and Member of the Board of Directors

Controller

Sworn to and subscribed before me on the day of the date of said certification.

(Notary Signature and Seal)

My Commission Expires: 3/8/24



¹ G.S. 105-243.1 defines: Overdue assessment was mailed to the taxpayer, and the taxpayer has not made any payments due under the installment agreement for the tax debt under G.S. 105-243.1 after the notice of final assessment was mailed and has not failed to

make any payments due under the installment agreement for the tax debt, however, if the taxpayer entered into an installment agreement for the tax debt that remains unpaid 90 days or more after the notice of final assessment was mailed and has not failed to



Rowan County Government

TAX ADMINISTRATION RECORD SEARCH

Select Search:[Real Property Record](#)[Search](#)[Tax Bill Search](#)[Advanced Record Search](#)

No results found. [Back to Search](#)

Click the link in the name column to display the Property Record Report and details for that property.

0 Records Found [Matching your criteria](#) [Access family services](#)

[New Search](#)

Data Disclaimer: All data shown here is from other primary data sources and is public information. Users of this data are hereby notified that the aforementioned public information sources should be consulted for verification of the information contained on this website. While efforts have been made to use the most current and accurate data, Rowan County, NC and NexGen Digital Document Solutions assume no legal responsibility for the use of the information contained herein.

Please direct any questions or comments about the data displayed here to taxdept@rowancountync.gov

This application was developed for Rowan County by NexGen Digital Document Solutions

www.ustaxdata.com

ustaxdata® *OneSEARCH*

ATTACHMENT F

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Rowan County Department of Social Services

Certification for Contracts, Grants, Loans and Cooperative Agreements

Public Law 103-227, Part C-Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity.

By signing and submitting this application, the Contractor certifies that it will comply with the requirements of the Act. The Contractor further agrees that it will require the language of this certification be included in any subawards which contain provisions for children's services and that all subgrantees shall certify accordingly.


Signature

President
Title

Access Family Services, Inc.
Agency/Organization

6/15/18
Date

(Certification signature should be same as Contract signature.)

Contractor: Access Family Services

Attachment G

Rowan County Department of Social Services

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal, state or local government agency, a Member of Congress, a Member of the General Assembly, an officer or employee of Congress, an officer or employee of the General Assembly, an employee of a Member of Congress, or an employee of a Member of the General Assembly in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal, state or local government agency, a Member of Congress, a Member of the General Assembly, an officer or employee of Congress, an officer or employee of the General Assembly, an employee of a Member of Congress, or an employee of a Member of the General Assembly in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- (4) This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Notwithstanding other provisions of federal OMB Circulars-CFR Title 2, Grants and Agreements, Part 200, costs associated with the following activities are unallowable:

Paragraph A.

- (1) Attempts to influence the outcomes of any Federal, State, or local election, referendum, initiative, or similar procedure, through in kind or cash contributions, endorsements, publicity, or similar activity;
- (2) Establishing, administering, contributing to, or paying the expenses of a political party, campaign, political action committee, or other organization established for the purpose of influencing the outcomes of elections;
- (3) Any attempt to influence: (i) The introduction of Federal or State legislation; or (ii) the enactment or modification of any pending Federal or State legislation through communication with any member or employee of the Congress or State legislature (including efforts to influence State or local officials to engage in similar lobbying activity),

- or with any Government official or employee in connection with a decision to sign or veto enrolled legislation;
- (4) Any attempt to influence: (i) The introduction of Federal or State legislation; or (ii) the enactment or modification of any pending Federal or State legislation by preparing, distributing or using publicity or propaganda, or by urging members of the general public or any segment thereof to contribute to or participate in any mass demonstration, march, rally, fundraising drive, lobbying campaign or letter writing or telephone campaign; or
 - (5) Legislative liaison activities, including attendance at legislative sessions or committee hearings, gathering information regarding legislation, and analyzing the effect of legislation, when such activities are carried on in support of or in knowing preparation for an effort to engage in unallowable lobbying.

The following activities as enumerated in Paragraph B are excepted from the coverage of Paragraph A:

Paragraph B.

- (1) Providing a technical and factual presentation of information on a topic directly related to the performance of a grant, contract or other agreement through hearing testimony, statements or letters to the Congress or a State legislature, or subdivision, member, or cognizant staff member thereof, in response to a documented request (including a Congressional Record notice requesting testimony or statements for the record at a regularly scheduled hearing) made by the recipient member, legislative body or subdivision, or a cognizant staff member thereof; provided such information is readily obtainable and can be readily put in deliverable form; and further provided that costs under this section for travel, lodging or meals are unallowable unless incurred to offer testimony at a regularly scheduled Congressional hearing pursuant to a written request for such presentation made by the Chairman or Ranking Minority Member of the Committee or Subcommittee conducting such hearing.
- (2) Any lobbying made unallowable by subparagraph A (3) to influence State legislation in order to directly reduce the cost, or to avoid material impairment of the organization's authority to perform the grant, contract, or other agreement.
- (3) Any activity specifically authorized by statute to be undertaken with funds from the grant, contract, or other agreement.


Paragraph C.

- (1) When an organization seeks reimbursement for indirect costs, total lobbying costs shall be separately identified in the indirect cost rate proposal, and thereafter treated as other unallowable activity costs in accordance with the procedures of subparagraph B.(3).
- (2) Organizations shall submit, as part of the annual indirect cost rate proposal, a certification that the requirements and standards of this paragraph have been complied with.
- (3) Organizations shall maintain adequate records to demonstrate that the determination of costs as being allowable or unallowable pursuant to this section complies with the requirements of this Circular.
- (4) Time logs, calendars, or similar records shall not be required to be created for purposes of complying with this paragraph during any particular calendar month when: (1) the employee engages in lobbying (as defined in subparagraphs (a) and (b)) 25 percent or less of the employee's compensated hours of employment during that calendar month, and (2) within the preceding five-year period, the organization has not materially misstated allowable or unallowable costs of any nature, including legislative lobbying costs. When conditions (1) and (2) are met, organizations are not required to establish records to support the allowability of claimed costs in addition to records already required or maintained. Also, when conditions (1) and (2) are met, the absence of time logs, calendars, or similar records will not serve as a basis for disallowing costs by contesting estimates of lobbying time spent by employees during a calendar month.
- (5) Agencies shall establish procedures for resolving in advance, in consultation with OMB, any significant questions or disagreements concerning the interpretation or application of this section. Any such advance resolution shall be binding in any subsequent

settlements, audits or investigations with respect to that grant or contract for purposes of interpretation of this Circular; provided, however, that this shall not be construed to prevent a contractor or grantee from contesting the lawfulness of such a determination.

Paragraph D.

Executive lobbying costs. Costs incurred in attempting to improperly influence either directly or indirectly, an employee or officer of the Executive Branch of the Federal Government to give consideration or to act regarding a sponsored agreement or a regulatory matter are unallowable. Improper influence means any influence that induces or tends to induce a Federal employee or officer to give consideration or to act regarding a federally sponsored agreement or regulatory matter on any basis other than the merits of the matter.

 Signature	<u>President</u> Title
<u>Access Family Services, Inc.</u> Agency/Organization	<u>6/15/18</u> Date

(Certification signature should be same as Contract signature.)

Contractor: Access Family Services

ATTACHMENT H

Rowan County Department of Social Services

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY
AND VOLUNTARY EXCLUSION-LOWER TIER COVERED TRANSACTIONS**

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of the fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant will provide immediate written notice to the person to which the proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, determined ineligible or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency of which (Federal Certification-Debarment)(06/2015)

it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized in paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension, and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.


Signature

President
Title

Access Family Services, Inc.
Agency/Organization

6/15/18
Date

(Certification signature should be same as Contract signature.)

Contractor: Access Family Services

ATTACHMENT J

CERTIFICATION REGARDING TRANSPORTATION

Rowan County Department of Social Services

By execution of this Agreement the Contractor certifies that it will provide safe client transportation by:

1. Insuring that all drivers (including employees, contractors, contractor's employees, and volunteers) shall be at least 18 years of age;
2. Insuring that all drivers (including employees, contractors, contractor's employees, and volunteers) shall be licensed to operate the specific vehicle used in transporting clients in accordance with Chapter 20-7 of the General Statutes of North Carolina and the Division of Motor Vehicle requirements;
3. Insuring that all vehicles transporting clients shall have at least the minimum level of liability insurance appropriate for the type of vehicle as defined by Article 7, Rule R2-36 of the North Carolina Utilities Commission;
4. Insuring that the contractor shall have written policies and procedures regarding how drivers handle and report client emergencies and/or vehicle crashes involving clients to contractor and how contractor notifies the Rowan County Department of Social Services;
5. Insuring that no more than one quarter of one percent of all trips be missed by the contractor during the course of the contract period; *(Medicaid only)*
6. Insuring that that no more than five percent (5%) of trips should be late for recipient drop off to their appointment per month; *(Medicaid only)*
7. Contractor will maintain records documenting the following *(County may require contractor to provide)*:
 - a. Valid current copies of Drivers License for all drivers;
 - b. Current valid Vehicle Registration, for all vehicles transporting clients;
 - c. Driving records for all drivers for the past three years and with annual updates;
 - d. Criminal Background checks through North Carolina Law Enforcement or NCIC prior to employment and every three years thereafter;
 - e. Alcohol and Drug Testing policy to meet the Federal Transit Authority guidelines.
8. Disclosing, at the outset of the contract, upon renewal and upon request, any criminal convictions or other reasons for disqualifications from participation in Medicare, Medicaid or Title XX programs *(signature on this form confirms this statement)*.

Signature

Title

President

Contract #
(Contractor)

Access Family Services, Inc. 6/15/18
Agency/Organization Date

(Certification signature should be same as Contract signature.)

Contractor: Access Family Services

ATTACHMENT K

What is a Private Non Profit Agency?

Answer: A private non profit is an organization that is incorporated under State law and whose purpose is not to make a profit, but rather to further a charitable, civic, religious, scientific, or other lawful purpose. The Secretary of State's office grants corporate status to organizations in North Carolina.

What is a 501(c)(3) designation?

Answer: When the agency becomes a state private non profit corporation, it can then apply for 501(c)(3) designation through the IRS. Once the IRS grants 501(c)(3) status, the organization is exempt from certain taxes and any donations to the charitable organization are tax deductible. Many individuals and organizations prefer to make donations to 501(c)(3) private non profits.

Who can obtain a 501(c)(3) designation?

Answer: Any organization or group can apply for 501(c)(3) status, provided their charter or mission focuses on the non profit's objective.

Another option is to apply for a 509(a)(1) status which falls under the 501(c)(3) umbrella. Being a 509(a)(1) designates an organization as a tax-free public charity that receives most of its support from a governmental unit or from the general public. Becoming a 509(a)(1) provides public recognition of tax-exempt status, advance assurance to donors of deductibility of contributions, exemption from certain State and federal taxes, and non profit mailing privileges. Organizations that typically qualify are churches, educational institutions, hospitals, and governmental units.

How does a Private Non Profit obtain Tax Exempt Status?

EO Web Site [www.irs.gov/eo]

IRS TE/GE Customer Service

You may direct technical and procedural questions concerning charities and other nonprofit organizations, including questions about your tax-exempt status and tax liability, to the IRS Tax Exempt and Government Entities Customer Account Services at (877) 829-5500 (toll-free number).

If you prefer to write, you may write at:

Internal Revenue Service
Exempt Organizations Determinations
P.O. Box 2508
Cincinnati, OH 45201

You may also contact the Taxpayer Advocate Service, an independent organization within the IRS that helps taxpayers resolve problems with the IRS and recommends changes that will prevent problems.

A private non profit must apply to the IRS for tax exempt status. To qualify, applicants must complete and submit to the IRS Form 1023. Once federal tax exempt status is granted, the private non profit applies for State tax exempt status by completing Form CD-435 and submitting it to the N. C. Department of Revenue.

What must a County Department of Social Services/Human Services do?

Answer: Verify the Tax Exempt Letter. Check date for expiration and check if current address of agency is reflected.

Attachment M

State Certification

Contractor Certifications Required by North Carolina Law

Instructions

The person who signs this document should read the text of the statutes listed below and consult with counsel and other knowledgeable persons before signing.

- The text of Article 2 of Chapter 64 of the North Carolina General Statutes can be found online at:
http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/ByArticle/Chapter_64/Article_2.pdf
- The text of G.S. 105-164.8(b) can be found online at:
http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_105/GS_105-164.8.pdf
- The text of G.S. 143-48.5 (S.L. 2013-418, s. 2.(d)) can be found online at:
<http://www.ncga.state.nc.us/Sessions/2013/Bills/House/PDF/H786v6.pdf>
- The text of G.S. 143-59.1 can be found online at:
http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-59.1.pdf
- The text of G.S. 143-59.2 can be found online at:
http://www.ncga.state.nc.us/EnactedLegislation/Statutes/PDF/BySection/Chapter_143/GS_143-59.2.pdf
- The text of G.S. 147-33.95(g) (S.L. 2013-418, s. 2. (e)) can be found online at:
<http://www.ncga.state.nc.us/Sessions/2013/Bills/House/PDF/H786v6.pdf>

Certifications

- (1) **Pursuant to G.S. 143-48.5 and G.S. 147-33.95(g)**, the undersigned hereby certifies that the Contractor named below, and the Contractor's subcontractors, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system." E-Verify System Link: www.uscis.gov

Local government is specifically exempt from Article 2 of Chapter 64 of the North Carolina General Statutes. However, local government is subject to and must comply with North Carolina General Statute §153A-99.1., which states in part as follows:

Counties Must Use E-Verify. - Each county shall register and participate in E-Verify to verify the work authorization of new employees hired to work in the United States.

- (2) **Pursuant to G.S. 143-59.1(b)**, the undersigned hereby certifies that the Contractor named below is not an "ineligible Contractor" as set forth in G.S. 143-59.1(a) because:
- (a) Neither the Contractor nor any of its affiliates has refused to collect the use tax levied under Article 5 of Chapter 105 of the General Statutes on its sales delivered to North Carolina when the sales met one or more of the conditions of G.S. 105-164.8(b); **and**
- (b) [check **one** of the following boxes]
- ☐ Neither the Contractor nor any of its affiliates has incorporated or reincorporated in a "tax haven country" as set forth in G.S. 143-59.1(c) (2) after December 31, 2001; **or**

☐ The Contractor or one of its affiliates **has** incorporated or reincorporated in a "tax haven country" as set forth in G.S. 143-59.1(c)(2) after December 31, 2001 **but** the United States is not the principal market for the public trading of the stock of the corporation incorporated in the tax haven country.

- (3) Pursuant to G.S. 143-59.2(b), the undersigned hereby certifies that none of the Contractor's officers, directors, or owners (if the Contractor is an unincorporated business entity) has been convicted of any violation of Chapter 78A of the General Statutes or the Securities Act of 1933 or the Securities Exchange Act of 1934 within 10 years immediately prior to the date of the bid solicitation.
- (4) The undersigned hereby certifies further that:
- (a) He or she is a duly authorized representative of the Contractor named below;
 - (b) He or she is authorized to make, and does hereby make, the foregoing certifications on behalf of the Contractor; and
 - (c) He or she understands that any person who knowingly submits a false certification in response to the requirements of G.S. 143-59.1 and -59.2 shall be guilty of a Class I felony.

<u>Access Family Services, Inc.</u>	
Contractor's Name	
<u>[Signature]</u>	<u>6/15/18</u>
Signature of Contractor's Authorized Agent	Date
<u>Brianne Smith</u>	<u>President</u>
Printed Name of Contractor's Authorized Agent	Title
<u>[Signature]</u>	<u>Administrative Specialist</u>
Signature of Witness	Title
<u>Brealynn Jarrett</u>	<u>6/15/18</u>
Printed Name of Witness	Date

The witness should be present when the Contractor's Authorized Agent signs this certification and should sign and date this document immediately thereafter.


Contractor: Access Family Services

Attachment N
CERTIFICATION OF ELIGIBILITY
Under the Iran Divestment Act

Pursuant to G.S. 147-86.59, any person identified as engaging in investment activities in Iran, determined by appearing on the Final Divestment List created by the State Treasurer pursuant to G.S. 147-86.58, is ineligible to contract with the State of North Carolina or any political subdivision of the State. The Iran Divestment Act of 2015, G.S. 147-86.55 *et seq.** requires that each vendor, prior to contracting with the State certify, and the undersigned on behalf of the Vendor does hereby certify, to the following:

1. that the vendor is not identified on the Final Divestment List of entities that the State Treasurer has determined engages in investment activities in Iran;
2. that the vendor shall not utilize on any contract with the State agency any subcontractor that is identified on the Final Divestment List; and
3. that the undersigned is authorized by the Vendor to make this Certification.

Vendor: _

By:  6/15/18
Signature Date

Brianne Smith President
Printed Name Title

The State Treasurer's Final Divestment List can be found on the State Treasurer's website at the address:
<https://www.nctreasurer.com/inside-the-department/OpenGovernment/Pages/Iran-Divestment-Act-Resources.aspx>
and will be updated every 180 days. For questions about the Department of State Treasurer's Iran Divestment Policy, please contact Meryl Murtagh at Meryl.Murtagh@nctreasurer.com or (919) 814-3852.

* Note: Enacted by Session Law 2015-118 as G.S. 143C-55 *et seq.*, but has been renumbered for codification at the direction of the Revisor of Statutes.



CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY)
05/22/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Aon Risk Services, Inc of Florida
1001 Brickell Bay Drive
Suite 1100
Miami FL 33131 USA

CONTACT

NAME:

PHONE
(A/C. No. Ext): (866) 283-7122FAX
(A/C. No.): (800) 363-0105E-MAIL
ADDRESS:

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURED

Access Family Services, Inc.
5200 Seventy-Seven Center Drive
Suite 130
Charlotte NC 28217 USA

INSURER A: Philadelphia Indemnity Insurance Company 18058

INSURER B: Berkshire Hathaway Homestate Ins Co. 20044

INSURER C:

INSURER D:

INSURER E:

INSURER F:

COVERAGES

CERTIFICATE NUMBER: 570071320838

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

Limits shown are as requested

INSR LTR	TYPE OF INSURANCE	ADDITIONAL SUBROGATION WAIVER	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input checked="" type="checkbox"/> LOC <input type="checkbox"/> OTHER:		PHPK1661887	06/01/2017	08/01/2018	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$100,000 MED EXP (Any one person) \$5,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$3,000,000 PRODUCTS - COMP/OP AGG \$3,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY		PHPK1661887	06/01/2017	08/01/2018	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION		PHUB586174 SIR applies per policy terms & conditions	06/01/2017	08/01/2018	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000 PRODUCTS - COMP/OP AGG \$10,000,000
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR / PARTNER / EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N N/A	COWC821233	11/15/2017	11/15/2018	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE-EA EMPLOYEE \$1,000,000 E.L. DISEASE-POLICY LIMIT \$1,000,000
A	E&O-PL-Primary		PHPK1661887 Claims Made	06/01/2017	08/01/2018	Each Claim \$1,000,000 Aggregate \$3,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER

Access Family Services
920 Blairhill Rd., Suite 101
Charlotte NC 28217 USA

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Aon Risk Services Inc. of Florida

Holder Identifier :

Certificate No : 570071320838

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/8/2018
SUBJECT: Purchase Requisition - Dell Computers

Please see the attached purchase requisition.

Please approve the attached purchase requisition with Dell Computer Corporation for 100 OptiPlex 3060 computers for the Information Technologies Department.

ATTACHMENTS:

Description	Upload Date	Type
Dell Purchase Requisition - Dell Computers	9/8/2018	Cover Memo



Bill To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC
28144
IS-SupportRenewals@rowancountync.gov

Requisition 00000205-00 FY 2019

Acct No:
1154160-576920
Review:
Buyer:
Status: Released

Page 1

Vendor
DELL COMPUTER CORPORATION
DELL MARKETING LP
PO BOX 534118
ATLANTA, GA 30353-4118

Ship To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC 28144
IS-SupportRenewals@rowancountync.gov

Date Ordered	Vendor Number	Date Required	Ship Via	Terms	Department
08/27/18	005934				INFORMATION SYSTEMS

LN Description / Account	Qty	Unit Price	Net Price
001 Dell OptiPlex 3060 SFF	100.00 EACH	510.00000	51000.00
1 1154160-576920		51000.00	

Ship To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC 28144

[Requisition Link](#)

Requisition Total 51000.00

***** General Ledger Summary Section *****

Account	Amount	Remaining Budget
1154160-576920	51000.00	201393.42
INFORMATION SYSTEMS EXPENSES	NON FA - TECHNOLOGY	

***** Approval/Conversion Info *****

Activity	Date	Clerk	Comment
Approved	08/27/18	Randy J. Cress	
Queued	08/27/18	Teresa F. Sharpless	
Queued	08/27/18	David Sifford	
Pending		Jane H Moore	

Authorized By: _____ Date: _____





Bill To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC
28144
IS-SupportRenewals@rowancountync.gov

Requisition 00000205-00 FY 2019

Acct No:
1154160-576920
Review:
Buyer:
Status: Released

Page 2

Vendor
DELL COMPUTER CORPORATION
DELL MARKETING LP
PO BOX 534118
ATLANTA, GA 30353-4118

Ship To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC 28144
IS-SupportRenewals@rowancountync.gov

Date Ordered	Vendor Number	Date Required	Ship Via	Terms	Department
08/27/18	005934				INFORMATION SYSTEMS

LN Description / Account	Signature	Qty	Unit Price	Net Price
--------------------------	-----------	-----	------------	-----------



ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/8/2018
SUBJECT: Purchase Requisition - Superior License Fees

Please see the attached purchase requisition.

Please approve the attached purchase requisition with Superior, LLC for software license fees and maintenance services (Sungard System) for the Information Technologies Department.

ATTACHMENTS:

Description	Upload Date	Type
Superior Purchase Requisition - License Fees	9/8/2018	Cover Memo



Bill To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC
28144
IS-SupportRenewals@rowancountync.gov

Requisition 00000211-00 FY 2019

Acct No:
1154160-575030
Review:
Buyer:
Status: Released

Page 1

Vendor
SUPERIOR LLC
BANK OF AMERICA
12709 COLLECTION CENTER DRIVE
CHICAGO, IL 60693

Ship To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC 28144
IS-SupportRenewals@rowancountync.gov

Date Ordered	Vendor Number	Date Required	Ship Via	Terms	Department	
08/27/18	017635				INFORMATION SYSTEMS	
LN Description / Account				Qty	Unit Price	Net Price
001 One Solution Freedom Premium License Fees				1.00 EACH	23500.00000	23500.00
1 1154160-575030					23500.00	
Ship To ROWAN CO INFORMATION SYSTEMS SUITE 310 130 W. INNES STREET SALISBURY, NC 28144						
002 Professional Services				1.00 EACH	8000.00000	8000.00
1 1154160-575030					8000.00	
Ship To ROWAN CO INFORMATION SYSTEMS SUITE 310 130 W. INNES STREET SALISBURY, NC 28144						

[Requisition Link](#)

Requisition Total

31500.00

***** General Ledger Summary Section *****
Account

Amount Remaining Budget





Bill To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC
28144
IS-SupportRenewals@rowancountync.gov

Requisition 00000211-00 FY 2019

Acct No:
1154160-575030
Review:
Buyer:
Status: Released

Page 2

Vendor
SUPERIOR LLC
BANK OF AMERICA
12709 COLLECTION CENTER DRIVE
CHICAGO, IL 60693

Ship To
ROWAN CO INFORMATION SYSTEMS
SUITE 310
130 W. INNES STREET
SALISBURY, NC 28144
IS-SupportRenewals@rowancountync.gov

Date Ordered	Vendor Number	Date Required	Ship Via	Terms	Department
08/27/18	017635				INFORMATION SYSTEMS

Account	Amount	Remaining Budget
1154160-575030	31500.00	3600.00
INFORMATION SYSTEMS EXPENSES	C/A-SOFTWARE	

***** Approval/Conversion Info *****

Activity	Date	Clerk	Comment
Approved	08/27/18	Randy J. Cress	
Approved	08/27/18	Randy J. Cress	
Queued	08/27/18	Teresa F. Sharpless	
Queued	08/27/18	David Sifford	
Pending		Jane H Moore	

Authorized By: _____ Date: _____
Signature



ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/10/2018
SUBJECT: Purchase of New Vehicles

Please see the attached memo and supporting documents.

Please approve the attached request to purchase eight new vehicles for five County departments.

ATTACHMENTS:

Description	Upload Date	Type
Purchase of New Vehicles	9/10/2018	Cover Memo

Leslie E. Heidrick, CPA
Assistant County Manager/
Finance Director



James M. Howden, CPA
Assistant Finance Director

Rowan County Finance Department

130 West Innes Street • Salisbury, NC 28144-4326

Telephone 704-216-8170 • FAX 704-216-8110

Memorandum

To: Rowan County Board of Commissioners
Aaron Church, County Manager

From: Leslie E. Heidrick, Assistant County Manager/Finance Director *LEH*
David Sifford, Purchasing Agent *DS*

Re: Approval to Purchase Eight Vehicles for County Departments

Date: September 7, 2018

In accordance with G.S. 143-129(e)(3), Rowan County is exempt from bidding requirements if the County purchases equipment from a contract established through a competitive bidding group purchasing program and if the contractor is willing to extend to the County the same or more favorable prices, terms and conditions as established in that contract. Asheville Ford Lincoln, authorized dealer for the Ford Motor Company, has agreed to extend to the County the same price and terms set forth in its contract with the North Carolina Sheriff's Association (NCSA contract #18-04-0912) for the purchase of eight vehicles: three Ford pickup trucks for Animal Services, one Ford pickup truck each for the Airport, Landfill, Parks, and Emergency Services, and a Ford Explorer for Environmental Management. The cost of the eight vehicles, excluding taxes, is \$227,149. Quotes for all eight vehicles are attached. The NCSA bid documents are on file in the Purchasing Office. The purchase price is within the approved fiscal year 2019 budget.

Staff's Recommendation: The Animal Services, Airport, Environmental Management, Parks, Emergency Services and Finance Departments recommend that contracts be awarded to Asheville Ford Lincoln for the purchase of eight vehicles at a cost not to exceed \$227,149.



An. Cont. 6420 (3)

Need 3

28,119.60



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

Customer Proposal

Prepared for:

David Sifford
Rowan County

Prepared by:

Jeffrey Williams
Office: 828-279-4933
Email: jwilliams@ashevilleford.com

Date: 01/05/2018

Vehicle: 2018 F-150 XL

4x4 SuperCab Styleside 6.5' box 145" WB

Quote ID: 0104201802



An.	28,119.60	+
Cont.	28,119.60	+
	28,119.60	+
Park	28,297.48	+
Airport	28,297.48	+
Landfill	28,297.48	+
EMS	30,166.50	+
Env. Mgt.	27,730.47	+
008		
	<u>227,148.21</u>	*



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB
XL(X1E)
Price Level: 815 Quote ID: 0104201802

Table of Contents

Description	Page
Cover Page	1
Table of Contents	2
Selected Options	3
Pricing - Single Vehicle	6
Window Sticker	7



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB
XL(X1E)
Price Level: 815 Quote ID: 0104201802

Selected Options

Code	Description
Base Vehicle	
X1E	Base Vehicle Price (X1E)
Packages	
100A	Equipment Group 100A Base <i>Includes:</i> - Engine: 3.3L V6 PDFI - Includes auto start-stop technology and flex-fuel capability. - Transmission: Electronic 6-Speed Automatic - Includes selectable drive modes: normal/tow-haul/sport. - 3.73 Axle Ratio - GVWR: 6,500 lbs Payload Package - Tires: P265/70R17 OWL A/T - Wheels: 17" Silver Steel - Cloth 40/20/40 Front Seat - Includes 2-way manual driver/passenger adjustment and armrest. - Radio: AM/FM Stereo w/6 Speakers - Includes auxiliary audio input jack (not available with SYNC).
Powertrain	
995	Engine: 5.0L V8 <i>Includes auto start-stop technology and flex-fuel capability.</i> <i>Includes:</i> - 3.31 Axle Ratio - GVWR: 7,050 lbs Payload Package
44G	Transmission: Electronic 10-Speed Automatic <i>Includes selectable drive modes: normal/tow-haul/snow-wet/EcoSelect/sport.</i>
X27	3.31 Axle Ratio
NONGV2	GVWR: 7,050 lbs Payload Package
Wheels & Tires	
STDTR	Tires: P265/70R17 OWL A/T
64C	Wheels: 17" Silver Steel
Seats & Seat Trim	
S	Cloth 40/Blank/40 Front-Seats (Fleet) <i>Center-section deleted. Includes restraint control module cover and vinyl rear bench seat.</i>
Other Options	
145WB	145" Wheelbase
STDRD	Radio: AM/FM Stereo w/6 Speakers <i>Includes auxiliary audio input jack (not available with SYNC).</i>
53B	Class IV Trailer Hitch Receiver

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 01/05/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB
XL(X1E)
Price Level: 815 Quote ID: 0104201802

Selected Options (cont'd)

Code	Description
53A__	<p>Ordering the Trailer Tow Package does not include Integrated Brake Controller (67T). Integrated Brake Controller (67T) is a standalone option and must be ordered separately.</p> <p><i>Includes towing capability up to 5,000 lbs. on 3.3L V6 PFDI engine (99B) and 2.7L EcoBoost engine (99P) or up to 7,000 lbs. on 3.5L EcoBoost engine (99G) and 5.0L V8 engine (995), smart trailer tow connector and 4-pin/7-pin wiring harness.</i></p> <p>Trailer Tow Package (Fleet)</p> <p>Ordering the Trailer Tow Package does not include Trailer Tow Mirrors. Trailer Tow Mirrors are a standalone option and must be ordered separately. (Option Code: 54M or 54Y/59S). Ordering the Trailer Tow Package does not include Integrated Brake Controller (67T). Integrated Brake Controller (67T) is a standalone option and must be ordered separately.</p> <p><i>Towing capability up to 11,100 lbs.</i> <i>Includes:</i></p> <ul style="list-style-type: none">- Higher-Power Cooling Fans- Class IV Trailer Hitch Receiver <p><i>Includes towing capability up to 5,000 lbs. on 3.3L V6 PFDI engine (99B) and 2.7L EcoBoost engine (99P) or up to 7,000 lbs. on 3.5L EcoBoost engine (99G) and 5.0L V8 engine (995), smart trailer tow connector and 4-pin/7-pin wiring harness.</i> <i>- Upgraded Front Stabilizer Bar</i></p> <p>Monotone Paint Application</p>
PAINT	
Fleet Options	
66S	<p>XL SSV (Special Service Vehicle) Package</p> <p>REQUIRES valid FIN code.</p> <p><i>Includes:</i></p> <ul style="list-style-type: none">- 240 Amp Alternator- Cloth 40/Blank/40 Front-Seats (Fleet) <p><i>Center-section deleted. Includes restraint control module cover and vinyl rear bench seat.</i></p>
85A	<p>XL Power Equipment Group</p> <p>REQUIRES valid FIN code.</p> <p><i>Includes:</i></p> <ul style="list-style-type: none">- Power Glass Sideview Mirrors w/Black Skull Caps <p><i>Includes manual-folding.</i></p> <ul style="list-style-type: none">- Illuminated Entry- MyKey- Perimeter Alarm- Power Door Locks <p><i>Includes flip key and integrated key transmitter keyless-entry (includes Autolock).</i></p> <ul style="list-style-type: none">- Power Tailgate Lock- Power Front & Rear Windows
85H	<p>BackUp Alarm System</p> <p>REQUIRES valid FIN code.</p>
Interior Colors	
SG_01	<p>Dark Earth Gray</p>

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 01/05/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB
XL(X1E)
Price Level: 815 Quote ID: 0104201802

Selected Options (cont'd)

Code	Description
Primary Colors	
UX_01	Ingot Silver Metallic

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 01/05/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB
XL(X1E)
Price Level: 815 Quote ID: 0104201802

Pricing - Single Vehicle

	MSRP
<i>Vehicle Pricing</i>	\$39,625.00
<i>Pre-Tax Adjustments</i>	
Description	
Fleet Concession	-\$7,800.00
DEALER DISCOUNT	-\$3,705.40
Total	\$28,119.60

Customer Signature

Acceptance Date

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: **David Sifford, Rowan County**
By: **Jeffrey Williams** Date: **01/05/2018**



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB XL(X1E)
Price Level: 815 Quote ID: 0104201802

Major Equipment

(Based on selected options, shown at right)

5.0L V-8 DOHC w/port/direct injection 395hp
10 speed automatic w/OD

- * Auto stop-start feature
- * Brake assistance
- * Traction control
- * Battery with run down protection
- * Air conditioning
- * AM/FM stereo with seek-scan, auxiliary audio input
- * Dual power remote mirrors
- * 17 x 7.5 steel wheels
- * Driver and front passenger seat mounted side airbags
- * SecuriLock immobilizer
- * Underseat ducts
- * 60-40 folding rear split-bench
- * Class IV hitch
- * Rear axle capacity: 4800 lbs.
- * Rear spring rating: 3800 lbs.
- * Frame Yield Strength 49300 psi

Exterior: Ingot Silver Metallic
Interior: Dark Earth Gray

- * 4-wheel ABS
- * Electric parking brake
- * P 265/70R17 OWL AT S-rated tires
- * Advance Trac w/Roll Stability Control
- * Tinted glass
- * Daytime running
- * Variable intermittent wipers
- * Dual front airbags
- * Airbag occupancy sensor
- * Tachometer
- * Reclining front bucket seats
- * Steering-wheel mounted audio controls
- * Front axle capacity: 3750 lbs.
- * Front spring rating: 3600 lbs.
- * Frame section modulus: 5 cu.in.

Fuel Economy

City
16 mpg



Hwy
22 mpg

Selected Options

MSRP

STANDARD VEHICLE PRICE	\$34,895.00
Equipment Group 100A Base	N/C
145" Wheelbase	STD
Monotone Paint Application	STD
XL SSV (Special Service Vehicle) Package	\$50.00
Engine: 5.0L V8	\$1,995.00
Transmission: Electronic 10-Speed Automatic	N/C
Dark Earth Gray	N/C
XL Power Equipment Group	\$1,170.00
- Option Discount	-\$500.00
BackUp Alarm System	\$125.00
Trailer Tow Package (Fleet)	\$595.00
Tires: P265/70R17 OWL A/T	Included
Wheels: 17" Silver Steel	Included
Radio: AM/FM Stereo w/6 Speakers	Included
240 Amp Alternator	Included
Cloth 40/Blank/40 Front-Seats (Fleet)	Included
3.31 Axle Ratio	Included
GVWR: 7,050 lbs Payload Package	Included
Ingot Silver Metallic	N/C
Power Glass Sideview Mirrors w/Black Skull Caps	Included

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 01/05/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

2018 F-150, SuperCab Styleside
4x4 SuperCab Styleside 6.5' box 145" WB XL(X1E)
Price Level: 815 Quote ID: 0104201802

Illuminated Entry	Included
MyKey	Included
Perimeter Alarm	Included
Power Door Locks	Included
Power Tailgate Lock	Included
Power Front & Rear Windows	Included
Higher-Power Cooling Fans	Included
Class IV Trailer Hitch Receiver	Included
Upgraded Front Stabilizer Bar	Included
<hr/>	
SUBTOTAL	\$38,330.00
Destination Charge	\$1,295.00
<hr/>	
TOTAL	\$39,625.00

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 01/05/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

Park 6230
Airport 635 4560
Landfill
Need 2/3
\$28,297.98

Customer Proposal

Prepared for:

Rowan County

Prepared by:

Jeffrey Williams
Office: 828-279-4933
Email: jwilliams@ashevilleford.com

Date: 03/22/2018

Vehicle: 2019 F-250 XL

4x4 SD Super Cab 6.75' box 148" WB SRW

Quote ID: 0322201801



\$29,5000

1156230-575035



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, SD Super Cab
4x4 SD Super Cab 6.75' box 148" WB SRW
XL(X2B)
Price Level: 915 Quote ID: 0322201801

Table of Contents

Description	Page
Cover Page	1
Table of Contents	2
Selected Options	3
Pricing - Single Vehicle	5
Window Sticker	6



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, SD Super Cab
4x4 SD Super Cab 6.75' box 148" WB SRW
XL(X2B)
Price Level: 915 Quote ID: 0322201801

Selected Options

Code	Description
Base Vehicle	
X2B	Base Vehicle Price (X2B)
Packages	
600A	Order Code 600A <i>Includes:</i> - Engine: 6.2L 2-Valve SOHC EFI NA V8 Flex-Fuel Flex-Fuel badge on fleet orders only. - Transmission: TorqShift-G 6-Spd Auto w/SelectShift 3.73 Axle Ratio - GVWR: 10,000 lb Payload Package - Tires: LT245/75Rx17E BSW A/S (4) - Wheels: 17" Argent Painted Steel Includes painted hub covers/center ornaments. - HD Vinyl 40/20/40 Split Bench Seat Includes center armrest, cupholder, storage and driver's side manual lumbar. - Radio: AM/FM Stereo Includes digital clock and 6-speakers.
Powertrain	
996	Engine: 6.2L 2-Valve SOHC EFI NA V8 Flex-Fuel Flex-Fuel badge on fleet orders only.
44S	Transmission: TorqShift-G 6-Spd Auto w/SelectShift
X37	3.73 Axle Ratio
STDGV	GVWR: 10,000 lb Payload Package
Wheels & Tires	
TD8	Tires: LT245/75Rx17E BSW A/S (4)
64A	Wheels: 17" Argent Painted Steel Includes painted hub covers/center ornaments.
Seats & Seat Trim	
A	HD Vinyl 40/20/40 Split Bench Seat Includes center armrest, cupholder, storage and driver's side manual lumbar.
Other Options	
148WB	148" Wheelbase
90L	Power Equipment Group

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 03/22/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, SD Super Cab
4x4 SD Super Cab 6.75' box 148" WB SRW
XL(X2B)
Price Level: 915 Quote ID: 0322201801

Selected Options (cont'd)

Code	Description
	<i>Deletes passenger-side lock cylinder. Includes upgraded door trim panel. Includes:</i> <ul style="list-style-type: none">- Accessory Delay- Manual Telescoping/Folding Trailer Tow Mirrors- Includes power heated glass, heated convex spotter mirror and integrated clearance lights and turn signal indicators.- Advanced Security Pack- Includes SecuriLock Passive Anti-Theft System (PATS) and inclination/intrusion sensors.- Power Locks- Power Tailgate Lock- Power Front & Rear Seat Windows- Includes 1-touch up/down driver/passenger window.- Remote Keyless Entry
52B	Trailer Brake Controller <i>Verified to be compatible with select electric over hydraulic brakes. Includes smart trailer tow connector.</i>
18B	Platform Running Boards
85S	Tough Bed Spray-In Bedliner <i>Includes tailgate-guard, black box bed tie-down hooks and black bed attachment bolts.</i>
PAINT	Monotone Paint Application
587	Radio: AM/FM Stereo <i>Includes digital clock and 6-speakers.</i>
Emissions	
425	50-State Emissions System
Interior Colors	
AS_01	Medium Earth Gray
Primary Colors	
Z1_01	Oxford White

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 03/22/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, SD Super Cab
4x4 SD Super Cab 6.75' box 148" WB SRW
XL(X2B)
Price Level: 915 Quote ID: 0322201801

Pricing - Single Vehicle

		MSRP
<i>Vehicle Pricing</i>		\$41,580.00
<i>Pre-Tax Adjustments</i>		
Code	Description	
Fleet	Fleet Concession	-\$8,890.00
DISCOUNT	DEALER DISCOUNT	-\$4,392.52
Total		<u>\$28,297.48</u>

Customer Signature

Acceptance Date

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 03/22/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, SD Super Cab
4x4 SD Super Cab 6.75' box 148" WB SRW XL(X2B)
Price Level: 915 Quote ID: 0322201801

Major Equipment

(Based on selected options, shown at right)

6.2L V-8 SOHC w/SMPi 385hp

TorqShift-G 6 speed automatic w/OD

- * 4-wheel ABS
- * Traction control
- * Battery with run down protection
- * Advance Trac w/Roll Stability Control
- * Tinted glass
- * Daytime running
- * Variable intermittent wipers
- * Dual front airbags w/passenger cancel
- * SecuriLock immobilizer
- Message Center
- * Reclining front split-bench seats
- * Running boards
- * Class V hitch
- * Rear axle capacity: 6200 lbs.
- * Rear spring rating: 6340 lbs.
- * Frame Yield Strength 50000 psi

Exterior:Oxford White

Interior:Medium Earth Gray

- * Brake assistance
- * LT 245/75R17 E BSW AS S-rated tires
- * Firm suspension
- * Air conditioning
- * AM/FM stereo with seek-scan
- * Dual power remote heated mirrors
- * 17 x 7.5 steel wheels
- * Driver and front passenger seat mounted side airbags
- * Tachometer
- * Underseat ducts
- * 60-40 folding rear split-bench
- * Steering-wheel mounted audio controls
- * Front axle capacity: 6000 lbs.
- * Front spring rating: 4800 lbs.
- * Frame section modulus: 10.7 cu.in.
- * Cab to axle: 39.9"

Fuel Economy

City
N/A



Hwy
N/A

Selected Options

MSRP

STANDARD VEHICLE PRICE	\$38,115.00
Order Code 600A	N/C
Engine: 6.2L 2-Valve SOHC EFI NA V8 Flex-Fuel	Included
Transmission: TorqShift-G 6-Spd Auto w/SelectShift	Included
3.73 Axle Ratio	Included
GVWR: 10,000 lb Payload Package	Included
Tires: LT245/75Rx17E BSW A/S (4)	Included
Wheels: 17" Argent Painted Steel	Included
HD Vinyl 40/20/40 Split Bench Seat	Included
148" Wheelbase	STD
Monotone Paint Application	STD
Radio: AM/FM Stereo	Included
50-State Emissions System	STD
Power Equipment Group	\$915.00
Accessory Delay	Included
Manual Telescoping/Folding Trailer Tow Mirrors	Included
Advanced Security Pack	Included
Power Locks	Included
Power Tailgate Lock	Included
Power Front & Rear Seat Windows	Included
Remote Keyless Entry	Included

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 03/22/2018



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, SD Super Cab
4x4 SD Super Cab 6.75' box 148" WB SRW XL(X2B)
Price Level: 915 Quote ID: 0322201801

Trailer Brake Controller	\$270.00
Platform Running Boards	\$445.00
Tough Bed Spray-In Bedliner	\$540.00
Oxford White	N/C
Medium Earth Gray	N/C
<hr/>	
SUBTOTAL	\$40,285.00
Destination Charge	\$1,295.00
<hr/>	
TOTAL	\$41,580.00

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 03/22/2018

EMS

Need 1

#30,166.50



Asheville Ford

611 Brevard Rd., Asheville, North Carolina, 288062201

Office: 828-253-2731

Fax: 828-258-6012

Customer Proposal

Prepared for:

Rowan County

Prepared by:

Jeffrey Williams

Office: 828-279-4933

Email: jwilliams@ashevilleford.com

Date: 09/06/2018

Vehicle: 2019 F-250 XL

4x4 SD Crew Cab 6.75' box 160" WB SRW

Quote ID: 0906201802





Asheville Ford
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, Crew Cab
4x4 SD Crew Cab 6.75' box 160" WB SRW
XL(W2B)
Price Level: 915 Quote ID: 0906201802

Table of Contents

Description	Page
Cover Page	1
Table of Contents	2
Selected Options	3
Pricing - Single Vehicle	6
Window Sticker	7



Asheville Ford
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, Crew Cab
4x4 SD Crew Cab 6.75' box 160" WB SRW
XL(W2B)
Price Level: 915 Quote ID: 0906201802

Selected Options

Code	Description
Base Vehicle	
W2B	Base Vehicle Price (W2B)
Packages	
600A	Order Code 600A <i>Includes:</i> <ul style="list-style-type: none">- Engine: 6.2L 2-Valve SOHC EFI NA V8 Flex-Fuel Flex-Fuel badge on fleet orders only.- Transmission: TorqShift-G 6-Spd Auto w/SelectShift- 3.73 Axle Ratio- GVWR: 10,000 lb Payload Package- Wheels: 17" Argent Painted Steel Includes painted hub covers/center ornaments.- HD Vinyl 40/20/40 Split Bench Seat Includes center armrest, cupholder, storage and driver's side manual lumbar.- Radio: AM/FM Stereo Includes digital clock and 6-speakers.
Powertrain	
996	Engine: 6.2L 2-Valve SOHC EFI NA V8 Flex-Fuel <i>Flex-Fuel badge on fleet orders only.</i>
44S	Transmission: TorqShift-G 6-Spd Auto w/SelectShift
X37	3.73 Axle Ratio
STDGV	GVWR: 10,000 lb Payload Package
Wheels & Tires	
TCD	Tires: LT265/70Rx17E OWL A/T
64A	Wheels: 17" Argent Painted Steel <i>Includes painted hub covers/center ornaments.</i>
Seats & Seat Trim	
A	HD Vinyl 40/20/40 Split Bench Seat <i>Includes center armrest, cupholder, storage and driver's side manual lumbar.</i>
Other Options	
160WB	160" Wheelbase
90L	Power Equipment Group

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: **Rowan County**
By: **Jeffrey Williams** Date: **09/06/2018**



Asheville Ford
 611 Brevard Rd., Asheville, North Carolina,
 288062201
 Office: 828-253-2731
 Fax: 828-258-6012

2019 F-250, Crew Cab
 4x4 SD Crew Cab 6.75' box 160" WB SRW
 XL(W2B)
 Price Level: 915 Quote ID: 0906201802

Selected Options (cont'd)

Code	Description
471	<p>Deletes passenger-side lock cylinder. Includes upgraded door trim panel. <i>Includes:</i></p> <ul style="list-style-type: none"> - Accessory Delay - Manual Telescoping/Folding Trailer Tow Mirrors - Includes power heated glass, heated convex spotter mirror and integrated clearance lights and turn signal indicators. - Advanced Security Pack - Includes SecuriLock Passive Anti-Theft System (PATS) and inclination/intrusion sensors. - Power Locks - Power Tailgate Lock - Power Front & Rear Seat Windows - Includes 1-touch up/down driver/passenger window. - Remote Keyless Entry
52B	<p>Camper Package</p> <p>Includes heavy-service front springs (1 up upgrade above the spring computer selected as a consequence of options chosen. Not included if maximum springs have been computer selected as standard equipment), rear auxiliary springs and slide-in camper certification. NOTE 1: Salesperson's Source Book or Ford RV Trailer Towing Guide should be consulted for specific trailer towing or camper limits and corresponding required equipment, axle ratios and model availability. NOTE 2: May result in deterioration of ride quality when vehicle is not equipped with camper. <i>Includes:</i></p> <ul style="list-style-type: none"> - Rear Stabilizer Bar
18B	Trailer Brake Controller
PAINT	<p>Verified to be compatible with select electric over hydraulic brakes. <i>Includes smart trailer tow connector.</i></p>
43C	<p>Platform Running Boards</p> <p>Monotone Paint Application</p> <p>110V/400W Outlet</p> <p>REQUIRES Extra Extra Heavy-Duty Alternator (67E) when ordered with Upfitter Switches (66S) and Snow Plow Pkg. (473) or Snow Plow/Camper Pkg. (47B). <i>Includes 1 in-dash mounted outlet.</i></p>
76S	Remote Start System
587	<p>Radio: AM/FM Stereo</p> <p><i>Includes digital clock and 6-speakers.</i></p>
76C	<p>Exterior Backup Alarm (Pre-Installed)</p> <p><i>Custom accessory.</i></p>
Fleet Options	
942	<p>Daytime Running Lamps (DRL) (LPO)</p> <p>REQUIRES Valid FIN Code.</p> <p><i>The non-controllable 942 Daytime Running Lamps (DRL) replace the standard Daytime Running Lamps (DRL). Includes on/off cluster controllable.</i></p>
Emissions	
425	50-State Emissions System

Interior Colors

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
 By: Jeffrey Williams Date: 09/06/2018



Asheville Ford
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, Crew Cab
4x4 SD Crew Cab 6.75' box 160" WB SRW
XL(W2B)
Price Level: 915 Quote ID: 0906201802

Selected Options (cont'd)

Code	Description
AS_01	Medium Earth Gray
Primary Colors	
Z1_01	Oxford White

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 09/06/2018



Asheville Ford
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, Crew Cab
4x4 SD Crew Cab 6.75' box 160" WB SRW
XL(W2B)
Price Level: 915 Quote ID: 0906201802

Pricing - Single Vehicle

		MSRP
<i>Vehicle Pricing</i>		\$43,640.00
<i>Pre-Tax Adjustments</i>		
Code	Description	
Fleet	Fleet Concession	-\$8,890.00
DISCOUNT	DEALER DISCOUNT	-\$4,583.50
Total		\$30,166.50

Customer Signature

Acceptance Date

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 09/06/2018



Asheville Ford
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, Crew Cab
4x4 SD Crew Cab 6.75' box 160" WB SRW XL(W2B)
Price Level: 915 Quote ID: 0906201802

Major Equipment

(Based on selected options, shown at right)

6.2L V-8 SOHC w/SMP! 385hp
TorqShift-G 6 speed automatic w/OD

Exterior:Oxford White
Interior:Medium Earth Gray

- * 4-wheel ABS
- * Traction control
- * Battery with run down protection
- * Advance Trac w/Roll Stability Control
- * Tinted glass
- * Daytime running
- * Variable intermittent wipers
- * Dual front airbags
- * SecuriLock immobilizer
- * Message Center
- * Reclining front split-bench seats
- * Running boards
- * Class V hitch
- * Rear axle capacity: 6200 lbs.
- * Rear spring rating: 6340 lbs.
- * Frame Yield Strength 50000 psi
- * Brake assistance
- * LT 265/70R17 E OWL AT S-rated tires
- * Firm suspension
- * Air conditioning
- * AM/FM stereo with seek-scan
- * Dual power remote heated mirrors
- * 17 x 7.5 steel wheels
- * Driver and front passenger seat mounted side airbags
- * Tachometer
- * Underseat ducts
- * 60-40 folding rear split-bench
- * Steering-wheel mounted audio controls
- * Front axle capacity: 6000 lbs.
- * Front spring rating: 5200 lbs.
- * Frame section modulus: 10.7 cu.in.
- * Cab to axle: 39.9"

Fuel Economy

City
N/A



Hwy
N/A

Selected Options

MSRP

STANDARD VEHICLE PRICE	\$39,380.00
Order Code 600A	N/C
Engine: 6.2L 2-Valve SOHC EFI NA V8 Flex-Fuel	Included
Transmission: TorqShift-G 6-Spd Auto w/SelectShift	Included
3.73 Axle Ratio	Included
GVWR: 10,000 lb Payload Package	Included
Wheels: 17" Argent Painted Steel	Included
HD Vinyl 40/20/40 Split Bench Seat	Included
160" Wheelbase	STD
Monotone Paint Application	STD
Radio: AM/FM Stereo	Included
50-State Emissions System	STD
Tires: LT265/70Rx17E OWL A/T	\$455.00
Power Equipment Group	\$1,125.00
Accessory Delay	Included
Manual Telescoping/Folding Trailer Tow Mirrors	Included
Advanced Security Pack	Included
Power Locks	Included
Power Tailgate Lock	Included
Power Front & Rear Seat Windows	Included
Remote Keyless Entry	Included

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Rowan County
By: Jeffrey Williams Date: 09/06/2018



Asheville Ford
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731
Fax: 828-258-6012

2019 F-250, Crew Cab
4x4 SD Crew Cab 6.75' box 160" WB SRW XL(W2B)
Price Level: 915 Quote ID: 0906201802

Camper Package	\$160.00
Rear Stabilizer Bar	Included
Trailer Brake Controller	\$270.00
Platform Running Boards	\$445.00
110V/400W Outlet	\$75.00
Remote Start System	\$250.00
Exterior Backup Alarm (Pre-Installed)	\$140.00
Daytime Running Lamps (DRL) (LPO)	\$45.00
Oxford White	N/C
Medium Earth Gray	N/C
<hr/>	
SUBTOTAL	\$42,345.00
Destination Charge	\$1,295.00
<hr/>	
TOTAL	\$43,640.00

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: **Rowan County**
By: **Jeffrey Williams** Date: **09/06/2018**

Env Mgt

Need 1

\$ 27,730.42



Asheville Ford Lincoln

611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731 Fax: 828-258-6012

Customer Proposal

Prepared for:

David Sifford
Rowan County

Prepared by:

Jeffrey Williams
Office: 828-279-4933
Email: jwilliams@ashevilleford.com

Date: 10/05/2017

Vehicle: 2018 Explorer AWD
4dr 4x4

Quote ID: 1005201708





Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731

2018 Explorer, Sport Utility
4dr 4x4 AWD(K8B)
Price Level: 815 Quote ID: 1005201708

Table of Contents

Description	Page
Cover Page	1
Table of Contents	2
Selected Options	3
Pricing - Single Vehicle	5
Window Sticker	6



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731

2018 Explorer, Sport Utility
4dr 4x4 AWD(K8B)
Price Level: 815 Quote ID: 1005201708

Selected Options

Code	Description
Base Vehicle	
K8B	Base Vehicle Price (K8B)
Packages	
100A	Equipment Group 100A <i>Includes:</i> - Engine: 3.5L Ti-VCT V6 (FFV) Flexible Fuel Vehicle (FFV) system is standard equipment for vehicles with the 3.5L Ti-VCT V6 engine shipped to Federal Emissions States or Cross Border State dealers and is only available with a Federal emissions system. (FFV system not available with code 422 and requires code 936 or 423 if applicable for California Emissions State dealer destinations). Cross border states include AZ, DC, ID, NH, NV, OH, VA, WV. - 3.65 Axle Ratio - GVWR: 6,160 lbs - Tires: P245/60R18 AS BSW - Wheels: 18" Painted Aluminum - Cloth Bucket Seats Includes 8-way power driver's seat with power lumbar and manual recline, 4-way manual front passenger seat with manual recline and 4-way manually adjustable driver and front passenger head restraints. - Radio: AM/FM Stereo Includes MP3 capability, 6 speakers and speed-compensated volume. - SYNC Communication & Entertainment System Includes enhanced voice recognition, 911 Assist, 4.2" LCD screen in center stack, AppLink and 1 smart-charging multimedia USB port in the Media Hub.
Powertrain	
998	Engine: 3.5L Ti-VCT V6 (FFV) Not standard equipment in CA Emissions States. <i>Flexible Fuel Vehicle (FFV) system is standard equipment for vehicles with the 3.5L Ti-VCT V6 engine shipped to Federal Emissions States or Cross Border State dealers and is only available with a Federal emissions system. (FFV system not available with code 422 and requires code 936 or 423 if applicable for California Emissions State dealer destinations). Cross border states include AZ, DC, ID, NH, NV, OH, VA, WV.</i>
44C	Transmission: 6-Speed SelectShift Automatic (44C)
STDAX	3.65 Axle Ratio
STDGV	GVWR: 6,160 lbs
Wheels & Tires	
STDTR	Tires: P245/60R18 AS BSW
STDWL	Wheels: 18" Painted Aluminum
Seats & Seat Trim	
7	Cloth Bucket Seats <i>Includes 8-way power driver's seat with power lumbar and manual recline, 4-way manual front passenger seat with manual recline and 4-way manually adjustable driver and front passenger head restraints.</i>

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 10/05/2017



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731

2018 Explorer, Sport Utility
4dr 4x4 AWD(K8B)
Price Level: 815 Quote ID: 1005201708

Selected Options (cont'd)

Code	Description
Other Options	
PAINT	Monotone Paint Application
113WB	113" Wheelbase
STDRD	Radio: AM/FM Stereo <i>Includes MP3 capability, 6 speakers and speed-compensated volume.</i> <i>Includes:</i> <i>- SYNC Communication & Entertainment System</i> <i>Includes enhanced voice recognition, 911 Assist, 4.2" LCD screen in center stack, AppLink and 1 smart-charging multimedia USB port in the Media Hub.</i>
52T	Class III Trailer Tow Package
153	Front License Plate Bracket
Interior Colors	
7L_01	Medium Stone
Primary Colors	
YZ_01	Oxford White

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 10/05/2017



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina,
288062201
Office: 828-253-2731

2018 Explorer, Sport Utility
4dr 4x4 AWD(K8B)
Price Level: 815 Quote ID: 1005201708

Pricing - Single Vehicle

	MSRP
Vehicle Pricing	\$35,705.00
Pre-Tax Adjustments	
Description	
Fleet Concession	-\$4,800.00
DEALER DISCOUNT	-\$3,174.53
Total	\$27,730.47

Customer Signature

Acceptance Date

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 10/05/2017



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731

2018 Explorer, Sport Utility
4dr 4x4 AWD(K8B)
Price Level: 815 Quote ID: 1005201708

Major Equipment

(Based on selected options, shown at right)

- 3.5L V-6 DOHC w/SMPI 290hp
- 6 speed automatic w/OD
- * 4-wheel ABS
- * Terrain Management System
- * Battery with run down protection
- * Air conditioning
- * AM/FM/Satellite-prep with seek-scan, auxiliary audio input, external memory control, internet radio
- * Daytime running
- * Rear child safety locks
- * Variable intermittent speed-sensitive wipers
- * Dual front airbags
- * Airbag occupancy sensor
- * Rear window defroster
- * Message Center
- * Reclining front bucket seats
- * 50-50 foldingsplit-bench
- * Class III hitch

Exterior:Oxford White
Interior:Medium Stone

- * Brake assistance
- * P 245/60R18 BSW AS H-rated tires
- * Advance Trac w/Roll Stability Control
- * Tinted glass
- * Bluetooth wireless streaming
- * LED brakelights
- * Dual power remote heated mirrors
- * 18 x 7.5 aluminum wheels
- * Driver and front passenger seat mounted side airbags
- * SecurILock immobilizer
- * Tachometer
- * Underseat ducts
- * 60-40 folding 2nd row split-bench
- * Audio control on steering wheel

Fuel Economy

City
16 mpg



Hwy
23 mpg

Selected Options

STANDARD VEHICLE PRICE	MSRP
Equipment Group 100A	\$34,140.00
Monotone Paint Application	N/C
113" Wheelbase	STD
Oxford White	STD
Medium Stone	N/C
Engine: 3.5L Ti-VCT V6 (FFV)	N/C
3.65 Axle Ratio	Included
GVWR: 6,160 lbs	Included
Tires: P245/60R18 AS BSW	Included
Wheels: 18" Painted Aluminum	Included
Cloth Bucket Seats	Included
Radio: AM/FM Stereo	Included
SYNC Communication & Entertainment System	Included
Class III Trailer Tow Package	\$570.00
Transmission: 6-Speed SelectShift Automatic (44C)	N/C
Front License Plate Bracket	N/C

SUBTOTAL

\$34,710.00

Destination Charge

\$995.00

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Sifford, Rowan County
By: Jeffrey Williams Date: 10/05/2017



Asheville Ford Lincoln
611 Brevard Rd., Asheville, North Carolina, 288062201
Office: 828-253-2731

2018 Explorer, Sport Utility
4dr 4x4 AWD(K8B)
Price Level: 815 Quote ID: 1005201708

TOTAL

\$35,705.00

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: David Stifford, Rowan County
By: Jeffrey Williams Date: 10/05/2017

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: 9/10/2018
SUBJECT: Purchase of New Elections Equipment

Please see the attached memo and supporting documentation.

Please approve the attached request to purchase new elections equipment.

ATTACHMENTS:

Description	Upload Date	Type
Purchase of New Elections Equipment	9/10/2018	Cover Memo

Leslie E. Heidrick, CPA
Assistant County Manager/
Finance Director



James M. Howden, CPA
Assistant Finance Director

Rowan County Finance Department

130 West Innes Street • Salisbury, NC 28144-4326

Telephone 704-216-8170 • FAX 704-216-8110

MEMORANDUM

TO: Rowan County Board of Commissioners
Aaron Church, County Manager

FROM: Leslie E. Heidrick, Assistant County Manager/Finance Director *LEH*
David Sifford, Purchasing Agent *DS*

RE: Approval of Purchase of Elections Equipment

DATE: September 9, 2018

G.S. 143-129(e)(6) allows for the purchase of apparatus, supplies, materials or equipment without utilizing the formal bidding process when performance or price competition is not available, when the needed product is available from only one source or supply, or when standardization or compatibility is the overriding consideration. Elections Systems & Software is the only vendor approved by the State of North Carolina to provide elections equipment to be used in elections.

The net cost of the equipment, which includes scanners, ballot boxes, tote bins, jump drives and installation, is \$245,818. This cost includes a \$30,500 trade-in allowance for old equipment and a customer discount of \$36,532. The Sales Order Agreement also incorporates hardware maintenance and software license, maintenance and support services totaling \$66,250 over five years. The Sales Order Agreement is attached.

Staff's Recommendation: It is the recommendation of the Board of Elections Director and the Finance Department that the Sales Order Agreement with Election Systems & Software for the purchase of new election equipment and five-year maintenance and support services be approved at costs not to exceed \$245,818 and \$66,250, respectively. The cost of the equipment is within the approved fiscal year 2019 budget.





11208 JOHN GALT BLVD
OMAHA, NE 68137-2364
(402) 593-0101

Sales Order Agreement

Customer P.O. #: _____

1st Election Date: To be Agreed Upon by the Parties

Estimated Delivery Date: To be Agreed Upon by the Parties

Phone Number: (704) 216-8140

Fax Number: (704) 216-8145

Customer Contact, Title: Nancy Evans - Elections Director

Customer Name: Rowan County, North Carolina

Type of Sale: ☒ NEW

Type of Equip: ☒ NEW ☐ REFURBISHED

Bill To: _____

Rowan County, North Carolina

Nancy Evans - Elections Director

1935 Jake Alexander Boulevard W - Suite D10

Salisbury, NC 28147

Ship To: _____

Rowan County, North Carolina

Nancy Evans - Elections Director

1935 Jake Alexander Boulevard W - Suite D10

Salisbury, NC 28147

Item	Description	Qty	Price	Total
1 DS200	Model DS200 Digital Image Scanner with Internal Backup Battery, Plastic Ballot Box with Steel Door and e-Bin, Paper Roll, and 4GB Jump Drive	50	\$5,750.00	\$287,500.00
2 DS200	Tote Bin	50	\$225.00	\$11,250.00
3 DS200	4GB Jump Drive	20	\$105.00	\$2,100.00
4 DS200	Equipment Installation	50	\$115.00	\$5,750.00
5 Trade-In Allowance	Equipment Being Traded-In by Customer Includes: 46 - Model 100 Scanner 46 - Model 100 Ballot Box 8 - Model DS200 Scanner 8 - Model DS200 Ballot Box	1	(\$30,500.00)	(\$30,500.00)
6 Shipping	Shipping & Handling	1	\$6,250.00	\$6,250.00
Order Subtotal				\$ 282,350.00
Customer Discount				(\$36,532.20)
Order Total				\$ 245,817.80

Freight Billable: yes ☒ no ☐

Mac Beeson

Regional Sales Manager

Customer Signature

Date

V.P. of Finance

Date

Title

Trade-In Equipment:

ES&S will coordinate and pay for the pickup and transportation of the trade-in equipment from Customer's site on a date to be mutually agreed upon by the parties. ES&S is responsible for preparing, packaging and palletizing the trade-in equipment for shipment.

Special Notes:

Sales Order Agreement

Payment Terms	100% of Order Total due Thirty (30) Calendar Days after the later of (a) Equipment Delivery, or (b) Receipt of Corresponding ES&S Invoice. Note 1: Any applicable state and local taxes are not included, and are the responsibility of the Customer. Note 2: In no event shall Customer's payment obligations hereunder, or the due dates for such payments, be contingent or conditional upon Customer's receipt of federal and/or state funds.
Warranty Period (Years):	One (1) Year From Equipment Delivery
Hardware Maintenance and Software License, Maintenance and Support Services (Post-Warranty Period)	
The terms, conditions, and pricing for the Hardware Maintenance and Software License, Maintenance and Support Services (Post-Warranty Period) are set forth in Exhibit A attached hereto.	
SEE GENERAL TERMS AND CONDITIONS	

GENERAL TERMS

1. **Purchase/License Terms.** Subject to the terms and conditions of this Agreement, ES&S agrees to sell and/or license, and Customer agrees to purchase and/or license, the ES&S Equipment, ES&S Software and ES&S Firmware described on the front side of this Agreement. The ES&S Firmware and ES&S Software are collectively referred to hereinafter as the "ES&S Software." The payment terms for the ES&S Equipment and ES&S Software are set forth on the front side of this Agreement. Title to the ES&S Equipment shall pass to Customer when Customer has paid ES&S the total amount set forth on the front side of this Agreement for the ES&S Equipment. The consideration for ES&S' grant of the license during the Initial Term for the ES&S Firmware is included in the cost of the ES&S Equipment.
2. **Grant of Licenses.** Subject to the terms and conditions of this Agreement, ES&S hereby grants to Customer nonexclusive, nontransferable licenses for its bona fide full time, part time or temporary employees to use the ES&S Software and related Documentation in the Jurisdiction while Customer is using the ES&S Equipment and timely pays the applicable annual ES&S Software License, Maintenance and Support Fees set forth on Schedule A1. The licenses allow such bona fide employees to use and copy the ES&S Software (in object code only) and the Documentation, in the course of operating the ES&S Equipment and solely for the purposes of defining and conducting elections and tabulating and reporting election results in the Jurisdiction.
3. **Prohibited Uses.** Customer shall not take any of the following actions with respect to the ES&S Software or the Documentation:
 - a. Reverse engineer, decompile, disassemble, re-engineer or otherwise create, attempt to create, or permit, allow or assist others to create, the source code or the structural framework for part or all of the ES&S Software;
 - b. Cause or permit any use, display, loan, publication, transfer of possession, sublicensing or other dissemination of the ES&S Software or Documentation, in whole or in part, to or by any third party without ES&S' prior written consent; or
 - c. Cause or permit any change to be made to the ES&S Software without ES&S' prior written consent; or
 - d. Allow a third party to cause or permit any copying, reproduction or printing of any output generated by the ES&S Software (except finished ballots by ballot printers selected by Customer) in which ES&S owns or claims any proprietary intellectual property rights (e.g., copyright, trademark, patent pending or patent), including, but not limited to, any ballot shells or ballot code stock.
4. **Term of Licenses.** The licenses granted in Section 2 shall commence upon the delivery of the ES&S Software described in Section 2 and shall continue for a one (1) year period (the "Initial License Term"). Upon expiration of the Initial License Term, the licenses shall automatically renew for an unlimited number of successive one-year periods (each a "License Renewal Term") upon the payment by Customer of the annual software license and software maintenance and support fee as set forth on the front side of this Agreement. ES&S may terminate either license if Customer fails to pay the consideration due for, or breaches Sections 2, 3, or 9 with respect to, such license. Upon the termination of either of the licenses granted in Section 2 for ES&S Software or upon Customer's discontinuance of the use of any ES&S Software, Customer shall immediately return such ES&S Software and the related Documentation (including any and all copies thereof) to ES&S, or (if requested by ES&S) destroy such ES&S Software and Documentation and certify in writing to ES&S that such destruction has occurred.
5. **Updates.** During the Initial License Term or any License Renewal Term, ES&S may provide new releases, upgrades or maintenance patches to the ES&S Software, together with appropriate Documentation ("Updates"), on a schedule defined by ES&S. Customer is responsible for obtaining any upgrades or purchases of Third Party Items required to operate the Updates as well as the cost of any replacements, retrofits or modifications to the ES&S Equipment which may be necessary in order to operate the Updates. All Updates shall be deemed to be ES&S Software for purposes of this Agreement upon delivery. Customer may install the Updates in accordance with ES&S' recommended instructions or may request that ES&S install the Updates. ES&S may charge Customer at its then-current rates to (i) install the Updates; (ii) train Customer on Updates, if such training is requested by Customer or (iii) provide maintenance and support on the ES&S Software that is required as a result of Customer's failure to timely or properly install an Update. Customer shall be responsible for any claim, damage, loss, judgment, penalty, cost, amount paid in settlement or fee which is caused by Customer's failure to install and use the most recent Update provided to it by ES&S. If Customer proposes changes in the ES&S Software to ES&S, such proposals will become ES&S' property. ES&S may, in its sole discretion, elect to make or not to make such changes without reference or compensation to Customer or any third party. ES&S represents to Customer that the Updates will comply with all applicable state law requirements at the time of delivery. Customer shall be responsible to ensure that it has installed and is using only certified versions of ES&S Software in accordance with applicable law. In the event that any Updates are required due to changes in state law, ES&S reserves the right to charge Customer for the following:
 - (i) the total cost of any third party items that are required in order to operate the Updates;
 - (ii) the total cost of any replacements, retrofits or modifications to the ES&S Equipment contracted for herein that may be developed and offered by ES&S in order for such ES&S Equipment to remain compliant with applicable laws and regulations; and
 - (iii) Customer's pro-rata share of the costs of designing, developing and/or certification by applicable federal and state authorities of such state mandated Updates.Customer's pro-rata share of the costs included under subsection (iii) above shall be determined at the time by dividing the number of registered voters in Customer's jurisdiction by the total number of registered voters in all counties in Customer's state to which ES&S has sold and/or licensed the Equipment and/or Licensed Software purchased and licensed by Customer under this Agreement. Customer shall pay ES&S the entire costs incurred for design, development and certification of any Update which is required due to a change in local law or is otherwise requested or required by Customer.
6. **Delivery; Risk of Loss.** The Estimated Delivery Dates and First Election Use (if any) set forth on the front side of this Agreement are estimates and may only be established or revised, as applicable, by the parties, in a written amendment to this Agreement, because of delays in executing this Agreement, changes requested by Customer, product availability and other events. ES&S will notify Customer of such revisions as soon as ES&S becomes aware of such revisions. Risk of loss for the ES&S Equipment and ES&S Software shall pass to Customer when such items are delivered to Customer's designated location. Upon transfer of risk of loss to Customer, Customer shall be responsible for obtaining and maintaining sufficient casualty insurance on the ES&S Equipment and ES&S Software and shall name ES&S as an additional insured thereunder and, at ES&S' request, shall deliver written evidence thereof to ES&S until all amounts payable to ES&S under this Agreement have been paid by Customer.
7. **Warranty.**
 - a. **ES&S Equipment/ES&S Software.** ES&S warrants that for a one (1) year period (the "Warranty Period"), it will repair or replace any component of the ES&S Equipment or ES&S Software which, while under normal use and service: (i) fails to perform in accordance with its Documentation in all material respects, or (ii) is defective in material or workmanship. The Warranty Period will commence upon delivery. The Warranty shall not include the repair or replacement of any ES&S Equipment components that are consumed in the normal course of operating the ES&S Equipment, including printer ribbons, printer cartridges, paper rolls, batteries, removable media storage devices, PCMCIA cards or marking devices. Any repaired or replaced item of ES&S Equipment or ES&S Software shall be warranted only for the unexpired term of the Warranty Period. All replaced components of the ES&S Equipment or ES&S Software will become the property of ES&S. This warranty is effective provided that (i) Customer notifies ES&S within three (3) business days of the discovery of the failure of performance or defect and is otherwise in compliance with its obligations hereunder, (ii) the ES&S Equipment or ES&S Software to be repaired or replaced has not been repaired, changed, modified or altered except as authorized or approved by ES&S, (iii) the ES&S Equipment or ES&S Software to be repaired or replaced is not damaged as a result of accident, theft, vandalism, neglect, abuse, use which is not in accordance with instructions or specifications furnished by ES&S or causes beyond the reasonable control of ES&S or Customer, including acts of God, fire, floods, riots, acts of war, terrorism or insurrection, labor disputes, transportation delays, governmental regulations and utility or communication interruptions, and (iv) Customer has installed and is using the most recent update provided to it by ES&S. This warranty is void for any units of equipment which: (i) have not been stored or operated in a temperature range according their specifications, (ii) have been severely handled so as to cause mechanical damage to the unit, or (iii) have been operated or handled in a manner inconsistent with reasonable treatment of an electronic product. The terms of post-warranty license, maintenance and support are set forth on Exhibit A.
 - b. **Exclusive Remedies/Disclaimer.** IN THE EVENT OF A BREACH OF SUBSECTION 7(a), ES&S' OBLIGATIONS, AS DESCRIBED IN SUCH SUBSECTION, ARE CUSTOMER'S SOLE AND EXCLUSIVE REMEDIES. ES&S EXPRESSLY DISCLAIMS ALL WARRANTIES, WHETHER EXPRESS OR IMPLIED, WHICH ARE NOT SPECIFICALLY SET FORTH IN THIS AGREEMENT, INCLUDING, BUT NOT LIMITED TO, ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. FURTHER, IN THE EVENT CUSTOMER DECLINES ES&S' INSTALLATION AND ACCEPTANCE TESTING SERVICES OR IN ANY WAY AT ANY TIME ALTERS, MODIFIES OR CHANGES ANY EQUIPMENT, SOFTWARE, THIRD PARTY ITEMS AND/OR NETWORK (COLLECTIVELY "SYSTEM") CONFIGURATIONS WHICH HAVE BEEN PREVIOUSLY INSTALLED BY ES&S OR WHICH ARE OTHERWISE REQUIRED IN ACCORDANCE WITH THE CERTIFIED VOTING SYSTEM CONFIGURATION, ALL WARRANTIES OTHERWISE PROVIDED HEREUNDER WITH RESPECT TO THE SYSTEM PURCHASED, LEASED, RENTED AND/OR LICENSED UNDER THIS AGREEMENT SHALL BE VOID AND OF NO FURTHER FORCE AND EFFECT.
 8. **Limitation of Liability.** Neither party shall be liable for any indirect, incidental, punitive, exemplary, special or consequential damages of any kind whatsoever arising out of or relating to this Agreement. Neither party shall be liable for the other party's negligent or willful misconduct. ES&S' total liability to Customer arising out of or relating to this Agreement shall not exceed the aggregate amount to be paid to ES&S hereunder. By entering into this Agreement, Customer agrees to accept responsibility for (a) the selection of, use of and results obtained from any equipment, software or services not provided by ES&S and used with the ES&S Equipment or ES&S Software; or (b) user errors, voter errors or problems encountered by any individual in voting that are not otherwise a result of the failure of ES&S to perform. ES&S shall not be liable under this Agreement for any claim, damage, loss, judgment, penalty, cost, amount paid in settlement or fee that is caused by (y) Customer's failure to timely or properly install and use the most recent update provided to it by ES&S or (z) Customer's election not to receive, or to terminate, the Hardware Maintenance Services or the ES&S Software Maintenance and Support.
 9. **Proprietary Rights.** Customer acknowledges and agrees as follows:

ES&S owns the ES&S Software, all Documentation and training materials provided by ES&S, the design and configuration of the ES&S Equipment and the format, layout, measurements, design and all other technical information associated with the ballots to be used with the ES&S Equipment. Customer has the right to use the aforementioned items to the extent specified in this Agreement. ES&S also owns all patents, trademarks, copyrights, trade names and other proprietary or intellectual property in, or used in connection with, the aforementioned items. The aforementioned items also contain confidential and proprietary trade secrets of ES&S that are protected by law and are of substantial value to ES&S. Customer shall keep the ES&S Software and related Documentation free and clear of all claims, liens and encumbrances and shall maintain all copyright, trademark, patent or other intellectual or proprietary rights notices that are set forth on the ES&S Equipment, the ES&S Software, the Documentation, training materials and ballots that are provided, and all permitted copies of the foregoing.
 10. **Termination.** This Agreement may be terminated, in writing, at any time by either party if the other party breaches any material provision hereof and does not cure such breach within 30 days after it receives written notification thereof from the non-breaching party.
 11. **Disputes.**
 - a. **Payment of Undisputed Amounts.** In the event of a dispute between the parties regarding (1) a product or service for which payment has not yet been made to ES&S, (2) the amount due ES&S for any product or service, or (3) the due date of any payment, Customer shall nevertheless pay to ES&S when due all undisputed amounts. Such payment shall not constitute a waiver by Customer or ES&S of any of its rights and remedies against the other party.
 - b. **Remedies for Past Due Undisputed Payments.** If any undisputed payment to ES&S is past due more than 30 days, ES&S may suspend performance under this Agreement until such amount is paid. Any disputed or undisputed payment not paid by Customer to ES&S when due shall bear interest from the due date at a rate equal to the lesser of one and one-half percent per month or the maximum amount permitted by applicable law for each month or portion thereof during which it remains unpaid.
 12. **Assignment.** Except in the case of a reorganization of the assets or operations of ES&S with one or more affiliates of ES&S or the sale, transfer or assignment of all or substantially all of the assets of ES&S to a successor who has asserted its intent to continue the business of ES&S, neither party may assign or transfer this Agreement or assign, subcontract or delegate any of its rights, duties or obligations hereunder without the prior written consent of the other party hereto, such consent not to be unreasonably withheld or conditioned, nor unduly delayed.
 13. **Compliance with Laws.** ES&S warrants to Customer that, at the time of delivery, the ES&S Equipment and ES&S Software sold and licensed under this Agreement will comply with all applicable

requirements of federal and state election laws and regulations that are mandatory and effective as of the Effective Date and will have been certified by the appropriate state authorities for use in Customer's state.

14. **Voting System Reviews.** In the event that the Jurisdiction or the State require any future reviews or examinations ("Reviews") of current or previous versions of state-certified ES&S voting systems or components thereof that are not otherwise required as a result of any changes or modifications voluntarily made by ES&S to the ES&S Software and/or ES&S Equipment licensed and sold hereunder, Customer shall be responsible for:

(i) Customer's pro-rata share of such Review costs;

(ii) Customer's pro-rata share of the costs of designing, developing, manufacturing and/or certification by applicable federal and state authorities of any mandated modifications to the ES&S Equipment and/or ES&S Software that may result from such Reviews; and

(iii) the total cost of any third party items that are required in order for the ES&S Equipment and/or ES&S Software to satisfy any new requirements resulting from such Reviews in order to remain certified;

Customer's pro-rata share of the costs included under subsections 14(ii) and 14(iii) above shall be determined at the time by dividing the number of registered voters in Customer's jurisdiction by the total number of registered voters in all counties in Customer's state to which ES&S has sold and/or licensed the ES&S Equipment and/or ES&S Software purchased and licensed by Customer under this Agreement.

15. **Entire Agreement.** This Agreement, including all exhibits hereto, shall be binding upon and inure to the benefit of the parties and their respective representatives, successors and assigns. This Agreement, including all Exhibits hereto, contains the entire agreement of the parties with respect to the subject matter hereof and shall supersede and replace any and all other prior or contemporaneous discussions, negotiations, agreements or understandings between the parties, whether written or oral, regarding the subject matter hereof. Any provision of any purchase order, form or other agreement which conflicts with or is in addition to the provisions of this Agreement shall be of no force or effect. In the event of any conflict between a provision contained in an Exhibit to this Agreement and these General Terms, the provision contained in the Exhibit shall control. No waiver, amendment or modification of any provision of this Agreement shall be effective unless in writing and signed by the party against whom such waiver, amendment or modification is sought to be enforced. No consent by either party to, or waiver of, a breach by either party shall constitute a consent to or waiver of any other different or subsequent breach by either party. This Agreement shall be governed by and construed in accordance with the laws of the State in which the Customer resides, without regard to its conflicts of laws principles. The parties agree that venue for any dispute or cause of action arising out of or related to this Agreement shall be in the state and federal courts of the United States located in the State in which the Customer resides. ES&S is providing equipment, software and services to Customer as an independent contractor, and shall not be deemed to be a "state actor" for purposes of 42 U.S.C. § 1983. ES&S may engage subcontractors to provide certain of the equipment, software or services, but shall remain fully responsible for such performance. The provisions of Sections 1-5, 7(b), 8, 9, 11(b), 12-15 these General Terms shall survive the termination of this Agreement, to the extent applicable.

EXHIBIT A
HARDWARE MAINTENANCE AND SOFTWARE LICENSE, MAINTENANCE AND SUPPORT
SERVICES
(POST-WARRANTY PERIOD)

ARTICLE I
GENERAL

1. **Term; Termination.** This Exhibit A for Hardware Maintenance and Software License, Maintenance and Support Services shall be in effect for the coverage period as described in Schedule A1 (the "Initial Term"). Upon expiration of the Initial Term, this Exhibit A shall automatically renew for an unlimited number of successive **One-Year Periods** (each a "Renewal Period") until this Exhibit A is terminated by the first to occur of (a) either party's written election not to renew, which shall be delivered to the other party at least thirty (30) days prior to the end of the Initial Term or any Renewal Period, as applicable, (b) the date which is thirty (30) days after either party notifies the other that it has materially breached this Exhibit A, if the breaching party fails to cure such breach (except for a breach pursuant to subsection (e), which will require no notice), (c) the date which is thirty (30) days after ES&S notifies Customer that it is no longer able to procure replacement parts that may be needed in order to perform the Hardware Maintenance Services contemplated hereunder, (d) the date on which the Equipment or firmware installed thereon is no longer certified by federal and/or state authorities for use in Customer's jurisdiction, or (e) the date which is thirty (30) days after Customer fails to pay any amount due to ES&S under this Exhibit A. The termination of this Exhibit A shall not relieve Customer of its liability to pay any amounts due to ES&S hereunder and shall only entitle Customer to a prorated refund of any fees already paid to ES&S in the event that this is Exhibit A is terminated pursuant to subsection 1(c) or 1(d) above.

2. **Fees.** In consideration for ES&S' agreement to provide Hardware Maintenance and Software License, Maintenance and Support Services under this Exhibit A, Customer shall pay to ES&S the Hardware Maintenance and Software License, Maintenance and Support Fees set forth on Schedule A1 for the Initial Term. The Hardware Maintenance and Software License, Maintenance and Support Fees for the Initial Term are due as set forth on Schedule A1. ES&S may increase the Hardware Maintenance and Software License, Maintenance and Support Fees for a Renewal Period by not more than 5% of the amount of the most recent Fees paid by Customer. All fees for any Renewal Period shall be due and payable no later than thirty (30) days prior to the beginning of such Renewal Period. The Software License, Maintenance and Support Fee shall be comprised of (i) a fee for the Software License, Maintenance and Support provided for the ES&S Firmware, and (ii) a fee for the Software License, Maintenance and Support provided for all other ES&S Software, and shall be in addition to any fees or charges separately referred to in any Section of this Exhibit A. If Customer elects to receive Software License, Maintenance and Support for an Add-On or New Product during the Initial Term or any Renewal Period thereof, ES&S will charge an incremental Software License, Maintenance and Support Fee for such services.

ARTICLE II
HARDWARE

1. **Maintenance Services.** The Hardware Maintenance Services to be provided to Customer under this Agreement for the ES&S equipment set forth on Schedule A1 (the "Products") shall be subject to the following terms and conditions:

a. **Routine Maintenance Services.** An ES&S Representative shall provide such services as may be necessary to keep the Products working in accordance with their Documentation, normal wear and tear excepted ("Normal Working Condition"). The services provided by ES&S pursuant to this Subsection 1(a) are referred to herein as "Routine Maintenance Services. Routine Maintenance Services shall be provided once each **Twelve (12) Months** during the Initial Term or any Renewal Period thereof. Generally, Routine Maintenance Services shall include cleaning, lubrication, diagnostic check, and calibration

services. The Routine Maintenance Services shall not include the repair or replacement of any ES&S Equipment components that are consumed in the normal course of operating the Equipment, including, but not limited to, printer ribbons, printer cartridges, paper rolls, batteries, removable media storage devices, PCMCIA cards or marking devices. Customer may request that Routine Maintenance Services be performed more than once during the Initial Term or any Renewal Period. Any such request shall be made at least sixty (60) days before the Routine Maintenance Services are desired. The per-unit fee for such additional Routine Maintenance Services is set forth on Schedule A1 and shall be due within thirty (30) days after invoice date. ES&S will schedule the Routine Maintenance Services with Customer. The Routine Maintenance Services will be provided at Customer's Designated Location. Customer's "Designated Location" shall mean Customer's owned or leased facility at which Customer desires ES&S to perform the Hardware Maintenance Services.

b. **Repair Services.**

i. **Defects Under Normal Use and Service.** If a defect or malfunction occurs in any Product while it is under normal use and service, Customer shall promptly notify ES&S, and ES&S shall use reasonable efforts to restore the item to Normal Working Condition as soon as practicable. The services provided by ES&S pursuant to this Subsection 1(b)(i) are referred to herein as "Repair Services". ES&S will perform Repair Services in conjunction with a Routine Maintenance Service event at the Customer's Designated Location.

ii. **Defects Due to Customer Actions or Omissions.** If a defect or malfunction occurs in any Product as a result of (1) repairs, changes, modifications or alterations not authorized or approved by ES&S, (2) accident, theft, vandalism, neglect, abuse or use that is not in accordance with instructions or specifications furnished by ES&S or (3) causes beyond the reasonable control of ES&S or Customer, including acts of God, fire, flooding, riots, acts of war, terrorism or insurrection, labor disputes, transportation delays, governmental regulations, and utility or communication interruptions, rodent infestation, or if Customer does not notify ES&S within 72 hours after it knows of the defect or malfunction, Customer shall pay ES&S for the Repair Services at ES&S' then-current rates, as well as for the cost of all parts used in connection with such Repair Services.

iii. **Timing.** The date(s) on which any Repair Services shall be provided shall be mutually agreed upon by ES&S and Customer. If Customer requires ES&S to provide "emergency" Repair Services (which shall be defined as Repair Services that are provided by ES&S within 48 hours after Customer notifies ES&S of the need therefore), and such emergency Repair Services are not needed as a result of an action, error or omission by ES&S, Customer shall pay a surcharge, as set forth on Schedule A1.

iv. **Loaner Unit.** At Customer's request and if such product is available, ES&S shall use reasonable efforts to promptly make available to Customer a product that is the same as, or substantially similar to, the Product for which Repair Services are being performed (a "Loaner Unit"). If the Repair Services are being performed pursuant to Subsection 1(b)(ii) above, Customer shall pay ES&S for the use of the Loaner Unit at ES&S' then-current rates including the cost of shipping.

c. **Exclusions.** ES&S has no obligation under this Agreement to (i) assume the obligations under any existing or expired warranty for a Third Party Item; (ii) repair or replace Product components that are consumed in the normal course of operating the Product, including, but not limited to, printer ribbons, printer cartridges, paper rolls, batteries, removable media storage devices, PCMCIA cards or marking devices, or (iii) repair any Product from which the serial number has been removed or altered. In addition, ES&S may, at any time in

its discretion, determine that any Product is no longer fit for Hardware Maintenance Services because it is in such poor condition that it cannot practically be restored to Normal Working Condition, or cannot be restored to Normal Working Condition at an expense that is less than the then-current value of the Product. If such a determination is made, ES&S shall no longer be required to provide Hardware Maintenance Services for such Product. ES&S shall also refund to Customer an amount equal to (1) that portion of the most recent fee paid for Hardware Maintenance Services that is attributable to such Product, multiplied by (2) a fraction, the numerator of which is the remaining number of days in the respective period within the Initial Term or Renewal Period for which such fee was paid and the denominator of which is the total number of days in the respective period within such Initial Term or Renewal Period.

d. **Sole Provider: Access.** Customer shall not permit any individual other than an ES&S Representative to provide maintenance or repairs with respect to the Products for so long as the Initial Term or any Renewal Period is in effect. Customer shall provide ES&S Representatives with all information necessary to enable them to provide Hardware Maintenance Services. Customer shall likewise provide full access to the Products and adequate working space for all Hardware Maintenance Services performed at its Designated Location, including sufficient heat, lights, ventilation, electric current and outlets.

e. **Environmental Conditions.** Products should be stored in a clean, dry and secure environment. During the storage and operation of the Products, the temperature and moisture ranges should be maintained in accordance with the Products' Documentation.

f. **Reinstatement of Hardware Maintenance Services; Inspection.** If the Initial Term or any Renewal Period thereof expires without being renewed, Customer may thereafter resume receiving Hardware Maintenance Services upon (a) notification to ES&S and (b) the granting to ES&S of access to the Products. ES&S requires Customer to allow it to inspect such Products before it provides any Hardware Maintenance Services. The purpose of such inspection shall be to determine whether or not the Products are in Normal Working Condition. The cost of such inspection will be at ES&S' then current rates and shall be due from Customer within thirty (30) days of its receipt of ES&S' invoice therefore. If any of the Products is not in Normal Working Condition, ES&S, at the option of Customer, (i) shall provide such repairs and replacements as it deems reasonable and necessary to restore such item to Normal Working Condition, at Customer's expense with respect to the cost of any labor (charged at ES&S' then current rates) and parts used in such repairs or replacements, or (ii) shall not provide any Hardware Maintenance Services with respect to such Product(s).

ARTICLE III

SOFTWARE LICENSE, MAINTENANCE AND SUPPORT SERVICES

1. **License and Services Provided.** ES&S shall provide license, maintenance and support services ("Software License, Maintenance and Support") for the ES&S Software and ES&S Firmware (collectively, "ES&S Software"), to allow Customer to continue to license and use the software in accordance with the license terms set forth in Sections 2-4 of the General Terms as well as to enable it to perform in accordance with its Documentation in all material respects, and to cure any defect in material or workmanship. The specific Software License, Maintenance and Support services provided by ES&S and each party's obligations with respect to such services are set forth on Schedule A1.

2. **Updates.** During the Initial Term and any Renewal Period thereof, ES&S may continue to provide Updates in accordance with the terms of Section 5 of the General Terms.

3. **Conditions.** ES&S shall not provide Software License, Maintenance and Support for any item of ES&S Software if such item requires such services as a result of (a) repairs, changes, modifications or alterations not authorized or approved by ES&S, (b) accident, theft, vandalism, neglect, abuse or use that is not in accordance with instructions or specifications furnished by ES&S, (c) causes

beyond the reasonable control of ES&S or Customer, including acts of God, fire, flooding, riots, acts of war, terrorism or insurrection, labor disputes, transportation delays, governmental regulations and utility or communication interruptions, (d) Customer's failure to timely and properly install and use the most recent update provided to it by ES&S, or (e) Customer's failure to notify ES&S within three (3) business days after Customer knows of the need for such services. Any such Software License, Maintenance and Support shall be provided at the fees to be agreed upon by the parties if and when the need for such Software License, Maintenance and Support arises. Replacement versions of Software requested by Customer as a result of items set forth in this Section 3 or as a result of Customer's actions or inactions shall be billable to Customer at ES&S' then current rates.

4. **Proprietary Rights.** ES&S shall own the entire right, title and interest in and to all corrections, programs, information and work product conceived, created or developed, alone or with Customer or others, as a result of or related to the performance of this Exhibit A, including all proprietary rights therein or based thereon. Subject to the payment of all Software License, Maintenance and Support Fees, ES&S hereby grants to Customer a non-exclusive license to use that portion of such corrections, programs, information and work product that ES&S actually delivers to Customer pursuant to this Exhibit A. All licensed items shall be deemed to be ES&S Software for purposes of this Exhibit A. Except and to the extent expressly provided herein, ES&S does not grant to Customer any right, license, or other proprietary right, express or implied, in or to any corrections, programs, information, or work product covered by this Exhibit A.

5. **Reinstatement of Software License, Maintenance and Support.** If the Initial Term or any Renewal Period thereof expires without being renewed, Customer may thereafter receive a Software License and resume receiving Software Maintenance and Support upon (a) notification to ES&S, (b) payment of all fees, which would have been due to ES&S had the Initial Term or any Renewal Period not expired, and (c) the granting to ES&S of access to the ES&S Software, so that ES&S may analyze it and perform such maintenance as may be necessary before resuming the Software License, Maintenance and Support services.

Schedule A1
Pricing Summary

<u>Summary:</u>		
Description	Refer To	Amount
ES&S Hardware Maintenance Fees	ES&S Hardware Maintenance Description and Fees Below	\$46,250.00
ES&S Firmware License, Maintenance and Support Fees	ES&S Firmware License, Maintenance and Support Description and Fees Below	\$20,000.00
Total Maintenance Fees for the Initial Term:		\$66,250.00
<u>Terms & Conditions:</u>		
Note 1: Any applicable state and local taxes are not included, and are the responsibility of Customer.		
Note 2: In the event the Customer subsequently acquires any ES&S Equipment and or ES&S Software, the post warranty maintenance and support periods will be adjusted to synchronize the dates in order to conform with the current term.		

ES&S HARDWARE MAINTENANCE DESCRIPTION AND FEES

Initial Term: Expiration of the Warranty Period through the fifth anniversary thereof

Qty	Description	Coverage Period	Annual Maintenance Fee Per Unit	Maintenance Fee In Total
50	Model DS200 Scanner	Year 1	\$185.00	\$9,250.00
50	Model DS200 Scanner	Year 2	\$185.00	\$9,250.00
50	Model DS200 Scanner	Year 3	\$185.00	\$9,250.00
50	Model DS200 Scanner	Year 4	\$185.00	\$9,250.00
50	Model DS200 Scanner	Year 5	\$185.00	\$9,250.00
Total Hardware Maintenance Fees for the Initial Term				\$46,250.00

Note 1: The Per-Unit Fees if Customer requests more than one Routine Maintenance visit in a 12-month period shall be 55% of the then current maintenance fee per unit.

Note 2: Surcharge for Emergency Repair Services shall be the daily maintenance service rate in effect at the time such service is requested.

Note 3: Customer's Designated Location: Rowan County, North Carolina

Note 4: The Per Unit Surcharge for performance of Routine Maintenance visit at more than one Customer Designated Location shall be \$25.00 per unit for all units located at second or more locations.

Hardware Maintenance Services Provided by ES&S Under this Schedule A1

1. Telephone Support.
2. Issue Resolution.
3. Technical Bulletins will be available through Customer's ES&S Web-based portal.
4. Routine Maintenance Services.
 - Onsite scheduled maintenance inspection per Article II, Section 1(a). The Inspection includes:
 - Service performed by an ES&S trained and certified technician.
 - Performance of factory approved diagnostics on the unit, identifying and making adjustments where necessary as indicated by the testing.
 - Replacement of worn or defective parts with new or remanufactured federally and state certified parts.
 - Conducting a final test to verify that the unit is working according to manufacturer's specifications.

- Use of a checklist tailored for each piece of ES&S Equipment.

5. Repair Services.

- Customer will receive coverage for interim repair calls.
 - Interim repair calls may be provided during a scheduled Routine Maintenance Services event or scheduled in conjunction with other service work being performed in close proximity to Customer's location if such repairs are not election critical.
 - A Product may be sent to ES&S' Depot location for repairs at a time to be mutually agreed upon by ES&S and Customer.

6. Priority Services.

- Customer has access to the ES&S Help Desk for assistance.
- The customer receives priority on service calls.
- The customer receives priority on response time.
- The customer receives priority on certified ES&S parts inventory.

Note: Except for those Hardware Maintenance Services specifically set forth herein, ES&S is under no obligation and shall not provide other Hardware Maintenance Services to the Customer unless previously agreed upon in writing by the parties.

**ES&S SOFTWARE LICENSE, MAINTENANCE AND SUPPORT DESCRIPTION AND FEES
FIRMWARE**

Initial Term: Expiration of the Warranty Period through the fifth anniversary thereof

Listed below are the Hardware Products and Fees for which Firmware License, Maintenance and Support will be provided:

Qty	Description	Coverage Period	Annual Firmware License, Maintenance and Support Fee Per Unit	Firmware License, Maintenance and Support Fee In Total
50	Model DS200 Scanner	Year 1	\$80.00	\$4,000.00
50	Model DS200 Scanner	Year 2	\$80.00	\$4,000.00
50	Model DS200 Scanner	Year 3	\$80.00	\$4,000.00
50	Model DS200 Scanner	Year 4	\$80.00	\$4,000.00
50	Model DS200 Scanner	Year 5	\$80.00	\$4,000.00
Total Firmware License, Maintenance and Support Fees for the Initial Term				\$20,000.00

Software License, Maintenance and Support Services Provided by ES&S under the Agreement

1. Telephone Support.
2. Issue Resolution.
3. Technical Bulletins will be available through Customer's ES&S Web-based portal.

Note: Except for those Software License, Maintenance and Support services specifically set forth herein, ES&S is under no obligation and shall not provide other Software License, Maintenance and Support services to the Customer unless previously agreed upon by the parties.

**Software License, Maintenance and Support and Hardware Maintenance and Support Services –
Customer Responsibilities**

1. Customer shall have completed a full software training session for each product selected.
 - Customer shall have completed training at a proficiency level to successfully use the hardware (firmware) and software products.
 - Customer shall have the ability to install firmware and application software and make changes to date and time settings.
 - Customer shall have the ability to change consumable items. Any other changes made by the customer must be pre-approved in writing by ES&S.
2. Customer shall have reviewed a complete set of User Manuals.
3. Customer shall be responsible for the installation and integration of any third party hardware or software application or system purchased by the Customer, unless otherwise agreed upon, in writing, by the parties.

4. Customer shall be responsible for data extraction from Customer voter registration system.
5. Customer shall be responsible for implementation of any security protocols physical, network or otherwise which are necessary for the proper operation of the ES&S Equipment and ES&S Software.
6. Customer shall be responsible for the acceptance of the Equipment and Software, unless otherwise agreed upon, in writing, by the parties.
7. Customer shall be responsible for the design, layout, set up, administration, maintenance or connectivity of the Customer's network.
8. Customer shall be responsible for the resolution of any errors associated with the Customer's network or other hardware and software not purchased or recommended by ES&S and not otherwise identified in the User Guides as part of ES&S' Equipment and Software.
9. Customer shall be responsible for all costs associated with diagnosing ballot printing problems resulting from the use of non-ES&S Ballot Partner Printers ballots.
10. Customer shall be responsible for the payment of additional or replacement Software CDs or DVDs requested by Customer. The price for such additional or replacement Software CDs or DVDs shall be at ES&S' then current rates.

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Vickie Eddleman
DATE: 09/11/2018
SUBJECT: Proclamation for National Suicide Prevention Awareness Month

ATTACHMENTS:

Description	Upload Date	Type
Proclamation for National Suicide Prevention Awareness Month	9/11/2018	Cover Memo

Greg Edds, Chairman
Jim Greene, Vice-Chairman
Mike Caskey
Judy Klusman
Craig Pierce



Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
John W. Dees, II, County Attorney

Rowan County Board of Commissioners

130 West Innes Street • Salisbury, NC 28144
Telephone 704-216-8180 FAX 704-216-8195

PROCLAMATION **National Suicide Prevention Awareness Month** **September 2018**

WHEREAS, September is known around the United States as National Suicide Prevention Awareness Month and is intended to promote awareness surrounding the 10th leading cause of death among adults in the US, and the 2nd leading cause of death among people ages 10-24; *and*

WHEREAS, on average one person dies because of suicide every six hours in the state of North Carolina, which is nearly twice as many people than those who die because of homicide; *and*

WHEREAS, for every suicide there are 25 attempts, and each person who dies because of suicide immediately affects at least six other people; *and*

WHEREAS, based on the 2015 Youth Risk Behaviors Survey, 8.6 percent of youth in grades 9-12 reported that they had made at least one suicide attempt in the past 12 months, with research reporting that attempted suicide rates and suicidal ideation among LGBT youth is significantly higher than among the general population; *and*

WHEREAS, many of those who died never received effective behavioral health care services for numerous reasons including affordable availability or stigma against such issues; *and*

NOW, THEREFORE BE IT PROCLAIMED, that Rowan County Board of Commissioners does recognize September 2018 as **Suicide Prevention Month** in Rowan County and encourages the community to seek and support prevention, education, and advocacy efforts to reduce the incidences of suicide and remove the stigma associated with behavioral health services.

This the 17th day of September 2018.

ATTEST:

Gregory C. Edds, Chairman
Rowan County Board of Commissioners

Carolyn Barger, MMC, NCMCC
Clerk to the Board/
Assistant to the County Manager

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Chairman Greg Edds
DATE: September 12, 2018
SUBJECT: Resolution Supporting High Rock Lake Association Letter Response To Cube Hydro Shoreline Management Plan

ATTACHMENTS:

Description	Upload Date	Type
SMP Comment Letter from HRLA	9/12/2018	Cover Memo
Resolution	9/12/2018	Cover Memo

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Southmont, NC 27251

e-mail: HRLA@HRLA.com

September 10, 2018

Ms. Jody Smet
Cube Yadkin Generation LLC
PO Box 575
Badin, NC 28009-0575

Subject: HRLA Comments on Proposed Revised Shoreline Management Plan
FERC Project P-2197

Dear Ms. Smet,

The High Rock Lake Association Inc. (HRLA) is responding to your August 13, 2018 e-mail asking for comments on proposed language of a revised Shoreline Management Plan (SMP) for the Yadkin Hydroelectric Project. The Draft we received was dated August 2018 and consists of 137 pages.

First, the HRLA finds the proposed SMP to be overly broad and restrictive of the public's right of access to public waters. In the cover letter with this draft, you state that FERC required a revision to the full SMP. This proposed SMP contains land use regulations far beyond the authority and limitations granted by the project license, including License Articles 407 and 409; and Paragraph 130 of the preamble of the Order Issuing New License

Article 407, in paragraph (3) is specific in that land use classifications shall apply to land within the Yadkin Project Boundary. All sections and maps of the proposed revision include lands outside the project boundary. We request a revised SMP that specifically complies with 407(3) and is limited to areas within the Yadkin Project Boundary.

Paragraph 130 and referenced Environmental Impact Statement defines the allowed scope of the Shoreline Management Plan. To quote: *The Shoreline Stewardship Policy (SSP) provides guidelines to adjacent property owners for construction of piers, boat ramps, retaining walls or other shoreline stabilization measures on lands within the project boundary.* It should be noted that FERC seems to use terms SMP & SSP interchangeably, but the HRLA believes FERC's intent is clear on allowed scope. In another example, in Paragraph 131 FERC uses the term SSP, but references RSA 2.4 which talks about the SMP.

Article 409 speaks to Project Lands and Waters. The proposed SMP goes far beyond that scope, and if approved, could expand the authority of the Licensee and override personal property rights and municipal, county, and state planning agencies. It will discourage needed economic development in the region. We don't believe a FERC Hydropower Licensee should have authority to control use and development of private and/or public lands beyond the project boundary.

The proposed SMP, in most cases, simply takes out the name of the old Licensee, Alcoa; and substitutes the name of the new Licensee, Cube Yadkin. This is a flawed and unworkable approach, generally because of significant changes in land ownership of the old licensee compared to the new licensee. The HRLA estimates in excess of 20,000 acres of land above the high-water mark were retained by Alcoa in the sale of the project to Cube. The ownership of a tremendous amount of land beyond the high-water mark at the time Alcoa created this SMP in 1996 thru 1999 gave that Licensee the ability and basis to commit to many use classifications conditions for land it then controlled that simply does not exist with the present Licensee.

SECTION 1.0 Issues

Section 1.3 discusses Conservation Zones. There are multiple problems with this designation. The notes provided with Cubes request for comments acknowledge most of the Conservation Zones now shown on attached maps were simply copied from the 1999 maps.

There are no specific criteria provided for classifying land as "Conservation", and this gives the licensee far too much authority over private lands. In 1999 the Licensee owned much of the land that it chose to classify. Most of the 1999 lands now shown as "Conservation Zone" on the maps are outside the project boundary and are now privately owned. Licensee has stated in recent correspondence to FERC *"that the general presumption regarding shoreline areas within Conservation Zones is that no further development will be allowed"* (FERC 0170821-5125). Licensee cannot make such representations for land it does not own.

In Section 1.3.1 the Licensee states it has established specific procedures for approving development within the project boundary, further stating such procedures are outlined within the SMP. Our concern is that nearly all such procedures outlined in Sections 2 thru 8 apply to development outside the Project boundary.

Any proposed development outside the Project boundary that Licensee believes will require FERC approval should be specifically identified and discussed.

Section 1.4 presents 4 Goals of the SMP. Goal 3 steps outside the Project boundary, FERC's authority, and the authority of the Licensee. Goal 4 sounds like a commendable goal, however, actions to mitigate nearly all problems affecting the reservoirs are beyond the reach, control, and resources of the Licensee. A Goal that should be included is "The SMP shall be a document that promotes understanding and cooperation between riparian property owners and the licensee by providing fair and equal rules that can be administered on a non-adversarial basis".

SECTION 2.0 Issues

Section 2 is almost completely redundant with the first part of the Order Issuing New License, dated September 22, 2016. The License and Water Quality Certificate spell out operational details. Repeating this in the SMP could lead to a confusion over which document governs. Eliminating duplication would make a new SMP much less bulky, and more useable.

SECTION 3.0 Issues

Section 3 should be guided by Article 407 of the FERC License. Article 407 requires identification and associated acreage of six (6) classifications within the Yadkin Project Boundary. The proposed SMP and associated maps fail Article 407 requirements by including lands outside the Yadkin Project boundary.

Section 3.4 should include a map, description, and acreage of designated game lands owned by Licensee. Provide clarity as to the reason for including game lands in the SMP, which of the six FERC described classification this land is in, and the acreage within the Yadkin Project Boundary

Section 3.5, Water Users, appears irrelevant to the SMP. This Section is covered by FERC License terms and NCDENR Regulation. The Section simply adds bulk to the SMP, possible confusion, and we believe should be removed.

SECTION 4.0 Issues

Section 4 documents the fact that 90% of recreational use on the lakes originates from private land. It further shows that restricting riparian property owner's ability to freely access the reservoirs impacts public use of the project.

The same 2005 study found very low public use of Tuckertown and Falls, which is not surprising given Licensee's refusal to allow riparian property owners on those lakes rights of warfage.

We emphasize this point to show that approval of a riparian property owners request to install improvements such as docks, boat launch ramps, or similar improvements should not be conditional upon the property owner's compliance with guidelines governing how property outside the Project boundary is used. The public's right to access and use the reservoirs is mandated by the Federal Power act. Adjoining property owners are a part of "the public", access should not be considered a privilege but a right.

SECTION 5.0 Issues

Section 5 is a wonderful picture of what might have been if different decisions had been made a Century ago. Unfortunately, when Cube bought the Yadkin Project, land areas that would have supported the vision set forth were not conveyed in the transaction. Cube owns practically no land that would be addressed by Section 5 or the referenced maps and has no Federal or State authority to exercises control over private lands.

The HRLA finds it very curious that virtually the entire Davidson County shoreline has been mapped as the general location of habitat of Rare, Threatened, and Endangered Species. The Davidson County shoreline may be the most intensely developed shoreline in the Yadkin Project. The Appendix B maps cannot be correct. The potential for restrictions on adjoining property owners (the public) is not acceptable to the HRLA.

SECTION 6.0 Issues

We believe that if so-called “Cultural Resource Probability” zones are going to be made a part of the SMP, then information identifying features causing specific shoreline classification must be included as a part of the SMP. Present or future landowners have a right to know in advance if their proposed use of a property is going to be impacted by supposed or imagined prehistoric activity. There is no basis to determine that such locations fall within a 100-foot-wide zone following the high waterline of reservoirs created in the Twentieth Century. Granted, early mills and ferries were associated with the riverbed, but that didn’t stop construction of the Yadkin project. Those sites were all inundated when the reservoirs filled. Unless specific sites are identified publicly and included as part of the SMP, then they should not be used for evaluation of proposed shoreline development.

The associated maps Cultural Resource Probability for High Rock are ridiculous. You have identified what appears to be about 50% of High Rock’s shoreline as “High Probability”. Why? Is it because someone once found an arrowhead while walking the shoreline. Well, probably about every farm kid who walked a ploughed field far from the lake did the same thing. We are strongly opposed to these maps.

Probably 99% of the so-called disturbing activity an adjacent landowner might request is driving a few piles for pier construction. We don’t believe that minimal impact to prehistoric activity warrants wholesale classification of shoreline in a way that it can hinder public access to the waters.

Table 6-1 should be revised to omit Pathway’s, because a pathway within the Project boundary would be underwater.

SECTION 7.0 Issues

Section 7.3.4 Subdivisions. The mere fact that a planned subdivision or other similar land use may border (even in part) a project reservoir should not give the Licensee federally approved oversight to review and approve a land owner or developer’s plan. If the land owner wants water access for a planned subdivision, then it should be up to him to set aside adequate shoreline property for a community marina, and then follow normal rules and regulations for the in-water portion of the marina facility actually in the FERC project. Any individual lots bordering a reservoir where owners desire piers should be handled in

166 accordance with Appendix E. The HRLA believes Section 7.3.4 and corresponding Table 7-3 are outside
167 FERC and Licensee's authority and should be deleted.

169 **SECTION 8.0 Issues**

170 Page 34 Section 8.1 Shoreline Buffers. In Section 3.2 the proposed SMP very clearly points out the Zoning
171 and Development Regulations in the Counties with jurisdiction over the Yadkin Project. The zoning and
172 ordinances of each county are different, but well decided. For High Rock, the counties require vegetative
173 buffers. In addition, North Carolina watershed standards apply. The Counties and State have legal authority
174 to create and manage these standards. Above the high-water mark, FERC or Licensee do not have such
175 authority.

177 **APPENDICIES**

178
179 **Appendix E** should be updated to reflect current practices and NC Building Codes. There is no compelling
180 reason for Licensee to mandate building standards in excess of the North Carolina Building Code. A new
181 North Carolina Building Code, including very specific Sections on Piers, Docks, Bulkheads and similar
182 marine structures, became effective July 1, 2018. The HRLA believes Appendix E should be revised to
183 reference and be consistent with the NC Building Code to prevent controversy between Licensee, adjacent
184 landowners, and Code Enforcement officials over interpretation of building standards.

185
186 We also believe boat houses and boat launch ramps should be permitted, just as they are on many other
187 lakes and reservoirs across the United States, subject to available waterfrontage, esthetically acceptable to
188 adjoining properties, and not a danger to navigation.

189
190 **Appendix G** language which sets forth requirements and definitions of uses of privately owned property
191 outside the Project boundary, and outside the oversight of FERC, should be deleted.

192
193 Appendix G-VI.6. A Licensee states "prevention of severe erosion is the responsibility of the owner of the
194 property of the property adjoining the reservoir". This seems to be shifting the Licensee's responsibilities
195 to that of adjoining land owner. Article 19 of the License states it is the Licensee's responsibility to prevent
196 soil erosion on lands adjacent to waters. We don't think FERC had such shifting of fiscal responsibility in
197 mind when it wrote Article 19.

Appendix G-VII.A and G-X.A is another case of shifting fiscal responsibility. License Article 20 is very clear on Licensee's responsibility for removing dead trees from the reservoir. Present and past licensees have ignored this responsibility for years, after Alcoa discontinued doing this about 40 years ago. Licensee compliance with Article 20 would eliminate the Appendix G language about removal of dead wood on shorelines and lap trees.

Moreover, Licensee charges fees, some quite high, to landowners who chose to do work that is actually prescribed as being the Licensee's responsibility.

Appendix F may be irrelevant, and probably discarded. It seems the only lands within the Project boundary are lands owned by Licensee, or lands upon which Licensee has flooding rights; none of which seem potentially useable for the uses described in the old Appendix F. Appendix F is an obstacle to economic development that is badly needed in the five counties that contain the Yadkin Project. Let the Counties deal with the appropriateness, zoning, and other such issues related to planned development. That's their job, and they have systems and procedures set up to insure compliance.

Conclusion

The HRLA believes the SMP is a mechanism designed to discourage and minimize recreational use, enjoyment of the project, desirable development of lakefront properties, and a deterrent to regional economic development.

Officers and Members of the HRLA have a long history of dealing with the Yadkin Project Shoreline Management Plan. We participated in the process ending in the 1999 submission of the present Shoreline Management Plan to FERC for approval. The record shows that plan was very controversial and opposed by nearly every stakeholder group at the time. Alcoa prevailed, largely because of their large land holdings outside the project boundary, unlimited legal resources, and Alcoa's considerable influence with FERC resulting from many Alcoa/FERC projects throughout the United States. Alcoa knew the system, worked it, and put in place a SMP that has been very often used in a heavy-handed fashion for the past two decades.

Considering current Licensee's land ownership, generally lake bottom, a few public recreation sites, and limited land around the dam powerhouses; there appears to be practically nothing a shoreline management plan can manage. To create a document to be approved by the Federal Energy Commission

232 that intends to control land under private ownership and not owned by a FERC Licensee is not a matter to
233 be undertaken without Federal legislative mandate.

234

235 The HRLA believes a much less complex document, providing details of the permitting program and
236 specifications for private facilities within the project boundary, both single family and multi-
237 use/commercial, will largely fulfil the requirements of Article 407.

238

239 Respectfully Submitted

240 High Rock Lake Association, Inc.

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244

Greg Edds, Chairman
Jim Greene, Vice-Chairman
Mike Caskey
Judy Klusman
Craig Pierce



Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
John W. Dees, II, County Attorney

Rowan County Board of Commissioners

130 West Innes Street • Salisbury, NC 28144
Telephone 704-216-8180 • FAX 704-216-8195

RESOLUTION SUPPORTING HIGH ROCK LAKE ASSOCIATION LETTER RESPONSE TO CUBE HYDRO SHORELINE MANAGEMENT PLAN

WHEREAS, Rowan County ("County") is a party to that certain Relicensing Settlement Agreement dated February 2007 ("RSA") by and between Alcoa Power Generating, Inc. ("APGI") and the multiple parties in interest, wherein APGI agreed to certain terms and conditions with County for future improvements, property transfers, and appropriate water and shoreline management plans; *and*

WHEREAS, Cube Yadkin Generation, LLC ("Cube Hydro") is the successor in interest to APGI and now obligated to the above-referenced terms and conditions, including the submission of an amended Shoreline Management Plan ("SMP") pursuant to and consistent with the RSA as well as that certain Order Issuing New License ("Order") issued by the United States of America Federal Energy Regulatory Commission ("FERC") issued September 22, 2016; *and*

WHEREAS, Cube Hydro has presented to the County and other interested parties a Draft SMP for review and comment, and Cube Hydro intends to submit said Draft SMP together with a summary of any such comments to FERC on or before September 21, 2018; *and*

WHEREAS, the High Rock Lake Association ("Association") is an association of property owners contiguous to High Rock Lake as well as other individuals all parties to or with a vested interest in the RSA, Order and SMP, and the Association has continuously monitored compliance with the RSA and the Order and has certain objections and comments regarding the SMP; *and*

WHEREAS, the Board of Commissioners of Rowan County have reviewed the Association's Letter Response, attached hereto and incorporated herein, and hereby expresses their support for the Association's continuing efforts to protect High Rock Lake; and, furthermore, hereby expresses their agreement with the Letter Response.

NOW, THEREFORE, BE IT RESOLVED, that the Rowan County Board of Commissioners hereby conveys and states for all its unanimous support for the High Rock Lake Association's Letter Response and Objections to the Cube Hydro Draft SMP; *and*

NOW, THEREFORE, BE IT FURTHER RESOLVED, that this Resolution and its attachment shall be submitted to Cube Hydro with the County's request for Cube Hydro to include the same in its report to FERC regarding the Draft SMP.

This the 17th day of September, 2018.

Gregory C. Edds, Chairman
Rowan County Commissioners

ATTEST:

Carolyn Barger, MMC, NCMCC
Clerk to the Board

Equal Opportunity Employer



recycled paper

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Patti Price, Town of China Grove
DATE: September 10, 2018
SUBJECT: Stand Together Music Festival

Join Darrell Harwood for the 2nd Annual Stand Together Music Festival to raise money for Leukemia and the Lymphoma Society. The event features 5 different bands, food, kids zone - fun for the entire family.

Date: September 22, 2018

Time: 2 - 10 p.m.

Event Address: 333 North Main Street in China Grove

ATTACHMENTS:

Description

Announcement

Upload Date

9/10/2018

Type

Cover Memo

Barger, Carolyn M

From: noreply@civicplus.com
Sent: Wednesday, August 29, 2018 8:55 AM
To: Barger, Carolyn M; Wingler, Toni E
Subject: Online Form Submittal: Agenda Announcement Form

Agenda Announcement Form

Announcements for a Rowan County municipality sponsored event or a County sponsored event may be submitted via this online form for approval to the chairman of the board for inclusion on the agenda. The announcements must be for public events scheduled within the County. Municipalities are limited to one announcement per commission meeting and County departments may submit one announcement per month. Announcements receiving approval will also be listed on the Rowan County Website.

Announcement requests must be received by 5 p.m. on the second Friday prior to the meeting.

Date of Announcement Submission	8/29/2018
Municipality	China Grove
Contact Name	Patti Price
Phone Number	704.857.2466
Email	pprice@chinagrovec.org
Name of Event	Stand Together Music Festival
Event Purpose & Description	Join Darrell Harwood for the 2nd Annual Stand Together Music Festival to raise money for Leukemia and Lymphoma Society. The event is from 2pm-10pm and features 5 different bands, food, kids zone - fun for the entire family. Main Street, China Grove.
Date/Time of Scheduled Event	9/22/2018 2:00 PM
Town Sponsored Event	Yes
List Additional Participating Sponsors	Darrell Harwood
Event Address	333 N Main St

Email not displaying correctly? [View it in your browser.](#)

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Scott Shelton, EDC, Vice-President of Operations
DATE: September 7, 2018
SUBJECT: Public Hearing for Possible CDBG Application

The Rowan EDC has requested that the Board of Commissioners hold a public hearing to receive citizen input prior to a possible Community Development Block Grant grant application.

EDC staff would like to state the attached information about the CDBG program to the public prior to the start of the hearing.

ATTACHMENTS:

Description	Upload Date	Type
Memo - Information Regarding CDBG Public Hearing	9/7/2018	Cover Memo

Be an original.

Date: September 7, 2018
To: Greg Edds, Chairman
Cc: Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
From: Scott Shelton, Vice President of Operations
Re: *Information Regarding Public Hearing for CDBG Program*

Dear Chairman Edds,

As I stated in my August 31st memorandum to the Board of Commissioners, the public hearing that we requested to be held on September 17th is a required procedural step for communities interested in applying for Community Development Block Grant (CDBG) funds. Holding this hearing does not obligate the County to any action or any specific application in the future.

Before the public hearing is held, the Rowan EDC would like to state the following information to the public:

- The purpose of the hearing is to obtain citizens input into the identification of economic needs and desired economic development activities.
- Input from the hearing will be incorporated into the County's consideration and submission of a CDBG application.
- The CDBG program permits a wide range of development activities to occur which are directed towards promoting the creation or retention of jobs, enhancing income levels and providing local employment opportunities principally for persons of low and moderate income through 1) economic development and 2) public infrastructure.
- The Department of Commerce administers this federally funded CDBG program.
- Applications for CDBG assistance must show that at least 60% of the CDBG funds proposed for each activity will benefit low and moderate income persons.
- If the County decided to apply for CDBG funds, then this is the first of two required public hearings.
- Once the public hearing is held, the Board could apply for up to one (1) year from the day of the hearing.

Please do not hesitate to contact me with any questions you may have, and thank you for considering this request.

Yours truly,

Scott Shelton

Scott Shelton
Vice President of Operations

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Scott Shelton, EDC, Vice-President of Operations
DATE: September 7, 2018
SUBJECT: Request for 2nd Public Hearing - CDBG Application for 'Project Kodiak'

The Rowan EDC is working with NorthPoint Development to recruit an e-commerce company to the Trevey Site. If Rowan County were chosen for 'Project Kodiak', a 700,000 square foot distribution facility would be constructed on the Trevey Site. The company would also create 600 jobs by the end of 2022 and invest \$55 million dollars in new construction and equipment.

Public sewer is not currently available at the Trevey Site. Salisbury-Rowan Utilities estimates a cost of \$1.5 million dollars to extend sewer to the property. The Rowan EDC proposes that Rowan County consider applying for a Community Development Block Grant (CDBG) to cover the majority of the cost for sewer. Prior to applying for CDBG funds, Rowan County will need to hold a public hearing on the subject which the Rowan EDC requests be held at the October 1st meeting.

The Rowan EDC also requests a separate public hearing be held on October 1st to consider other incentives for 'Project Kodiak.'

ATTACHMENTS:

Description	Upload Date	Type
Request for Second CDBG Public Hearing - Project Kodiak	9/7/2018	Cover Memo

Be an original.

Date: September 7, 2018
To: Greg Edds, Chairman
Cc: Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
From: Scott Shelton, Vice President of Operations
Re: *Request for public hearings to consider applying for CDBG Economic Development Funds for infrastructure improvements to benefit 'Project Kodiak' and for other incentives.*

Dear Chairman Edds,

We greatly appreciate the Rowan County Board of Commissioners holding a Public Hearing at its September 17th meeting as part of the process to pursue Community Development Block Grant (CDBG) funds for economic development. Pursuant to CDBG requirements, a second Public Hearing by the Board of Commissioners must be held before an application for funding assistance can be submitted to the NC Department of Commerce. We respectfully request that the Board schedule a public hearing for October 1st, at 3:00 pm, for this purpose. The public hearing will be held to obtain citizen input regarding 'Project Kodiak.'

As you will recall, the Board of Commissioners approved a Conditional Use Permit last month to allow for the potential development of a 700,000 square foot distribution center at the Trevey Site (Parcel 052 096). The Rowan EDC is working with NorthPoint Development to recruit an e-commerce company to the property. This company is considering Rowan County and other communities in the southeastern United States for its new warehouse and distribution center. If Rowan County is chosen for this project, the company would create 600 jobs by the end of 2022 and invest \$55 million dollars in new construction and equipment.

The Trevey Site is not currently served by public sewer. Salisbury-Rowan Utilities (SRU) has studied the property and recommends running an approximately 16" gravity sewer line under Interstate 85 to serve the property. SRU estimates that extending sewer to the Trevey Site will cost \$1.5 million dollars. This extension would also bring public sewer closer to the Carlton Site, which is approximately 1,500 feet east of the Trevey Site.

The State of North Carolina has recommended up to \$1.5 million dollars in CDBG funds for the project. Rowan County would still need to apply for the grant funds and receive formal approval.

We are requesting a resolution to apply for the entire \$1.5 million dollars in CDBG grant funds that the County is eligible to receive. If the project's final costs met SRU's estimate of \$1.5 million dollars, then Rowan County's required local match would be \$375,000 with \$1,125,000 coming from CDBG funds. This would leave additional grant funds available if the project were to exceed SRU's estimate.

We also request that the Board of Commissioners hold a **second public hearing** at their 10/1 meeting to consider a request from 'Project Kodiak' for traditional incentives offered by the County. This hearing would need to be held separately from the CDBG public hearing due to federal requirements.



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We look forward to providing you information in greater detail and finalized numbers, prior to your October meeting.

Please do not hesitate to contact me with any questions you may have, and thank you for considering this request.

Yours truly,

Scott Shelton

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Vice President of Operations

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: September 10, 2018
SUBJECT: Financial Report

Please see attached graphs.

ATTACHMENTS:

Description

Graphs

Upload Date

9/10/2018

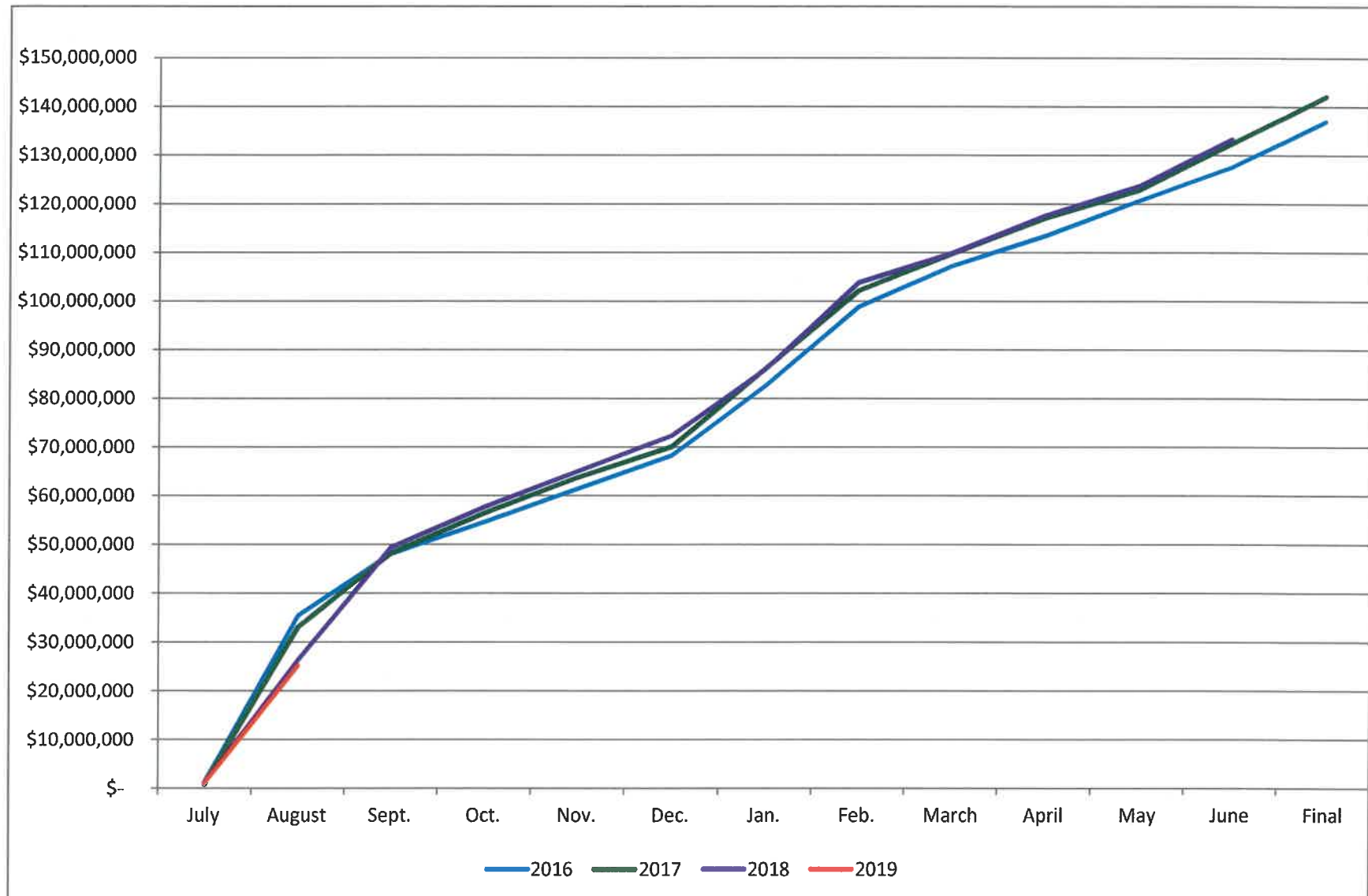
Type

Backup Material

**ROWAN COUNTY
GENERAL FUND
FISCAL YEARS 2016 - 2019**

ANNUAL CUMULATIVE REVENUE COMPARISONS

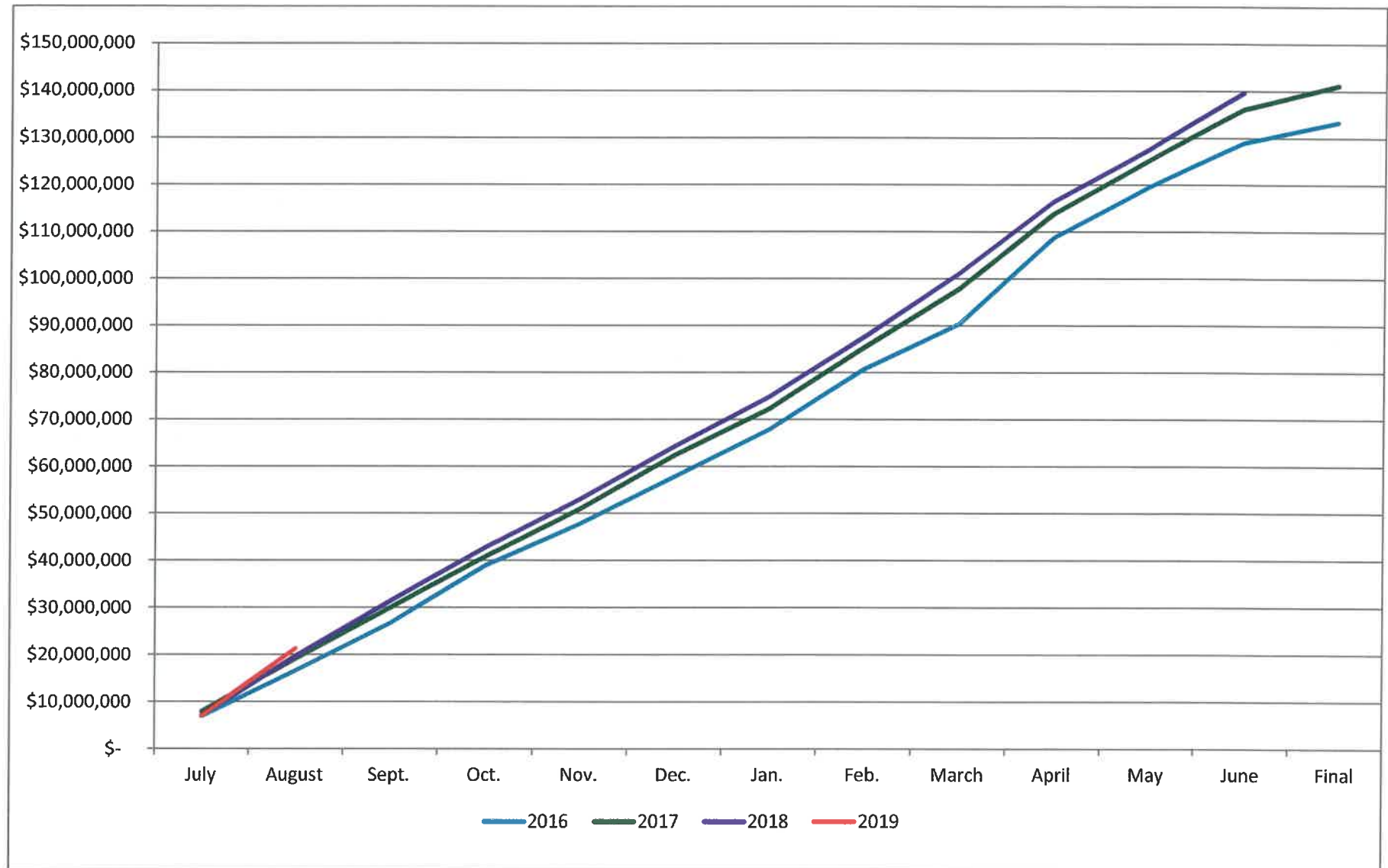
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**ROWAN COUNTY
GENERAL FUND
FISCAL YEARS 2016 - 2019**

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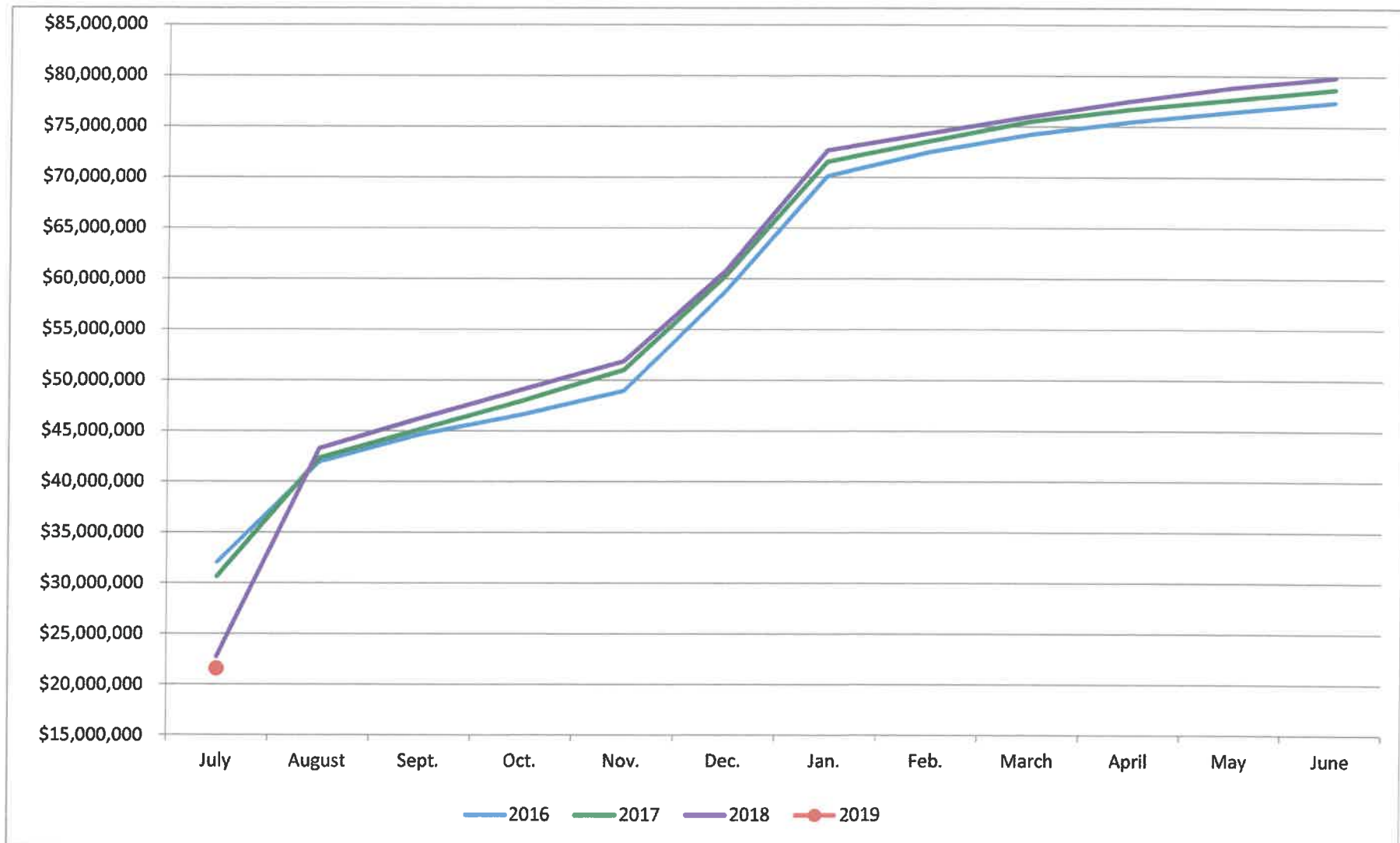
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**ROWAN COUNTY
GENERAL FUND
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ANNUAL CUMULATIVE CURRENT YEAR PROPERTY TAX COMPARISONS

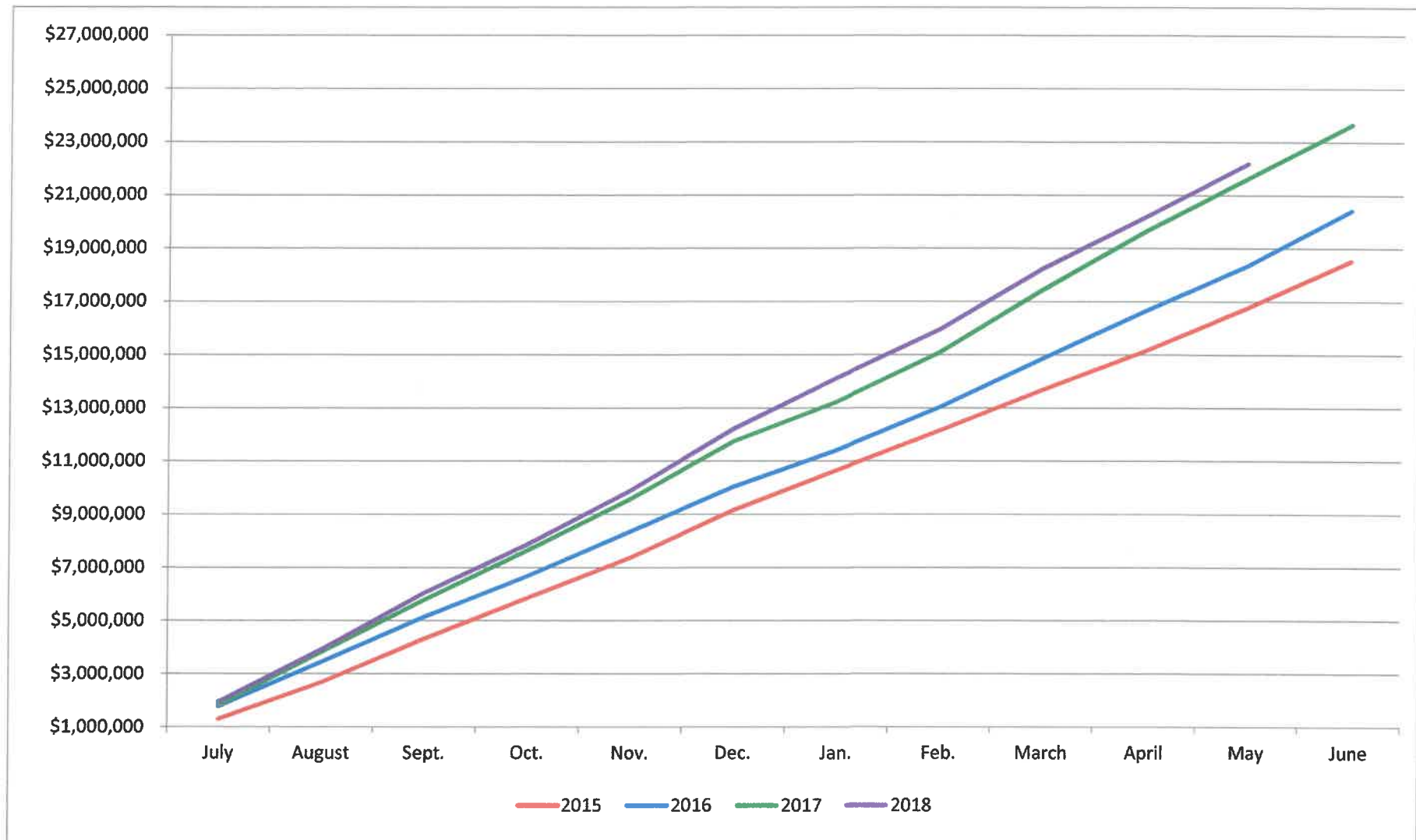
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**ROWAN COUNTY
GENERAL FUND
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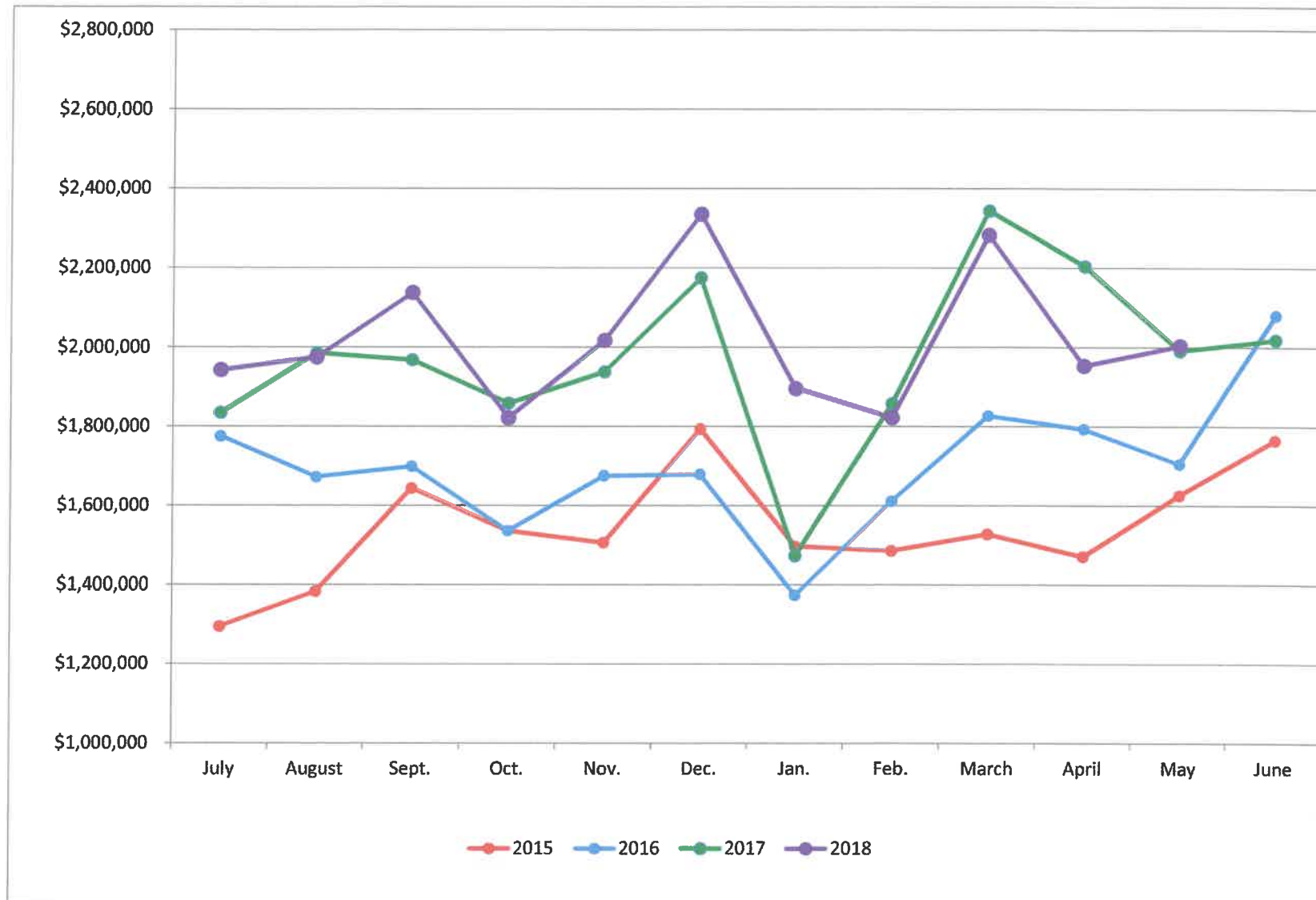
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**ROWAN COUNTY
GENERAL FUND
FISCAL YEARS 2015 - 2018**

MONTHLY SALES TAX COMPARISONS

	May
2018	\$ 2,003,351
2017	\$ 1,991,115
2016	\$ 1,705,263
2015	\$ 1,625,605



ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: September 10, 2018
SUBJECT: Budget Amendments

Please see attached budget amendments.

Please approve attached budget amendments.

ATTACHMENTS:

Description	Upload Date	Type
Budget Amendments	9/10/2018	Budget Amendment

ROWAN COUNTY
DEPARTMENTAL REQUEST FOR BUDGET ACTION

TO: Finance Department

FROM: Health Department

EXPLANATION IN DETAIL:

To reduce NAPSACC funds to match awarded funding.

Prepared by: Karla Aldridge

Date: 8/28/2018

Reviewed:

BUDGET INFORMATION:

ACCOUNT TITLE	R/E	ACCOUNT #	INCREASE	DECREASE
Salaries-Regular	E	1155227-510005		3,498
Health Insurance	E	1155227-520005	2,160	
Medicare Tax	E	1155227-520010		51
Retirement	E	1155227-520015		474
Social Security Tax	E	1155227-520020		217
Workers Compensation	E	1155227-520025	143	
401 (k) Contribution	E	1155227-520030		105
Printing	E	1155227-555000		550
Postage	E	1155227-557000		125
Educational Supplies	E	1155227-561015	50	
Dues & Subscriptions	E	1155227-564050	5	
Noncash Grants/Awards	E	1155227-583053	100	
Smart Start NAPSACC	R	11452273-434036		2,562

DEPARTMENT HEAD	COUNTY MANAGER	ACCOUNTING USE ONLY
Approved: _____	Approved: _____	Budget Revision # <u>02-811</u>
Disapproved: _____	Disapproved: _____	Date Posted: _____
Amended: _____	Amended: _____	Group Number: _____
Date: <u>8/28-18</u>	Date: _____	Posted by: _____
Signature: _____	Signature: _____	Approved by: _____



Rowan County Health Department
1811 East Innes Street - Salisbury, NC 28146-6030

August 29, 2018

Memo

From: Charles Drake, Local Health Administrative Services Manager

To: Finance Department

The requested BA is to reduce NAPSACC funds, expenditures and revenues, to match the final awarded funds from Smart Start Rowan.

Kind Regards,

A handwritten signature in cursive script, appearing to read "Charles H. Drake".

Charles Drake
Local Health Administrative Services Manager

DEPARTMENTAL REQUEST FOR BUDGET ACTION

To recognize additional revenue and expenditures for animal services

ACCOUNT TITLE	R/E	ACCOUNT #	INCREASE	DECREASE
C/A TECHNOLOGY	E	1154150-575010		175,000
PET ADOPTION VOUCHER SERVICES	E	1156430-532060	48,000	
PET ADOPTION VACCINES	E	1156430-561048	15,000	
LOCAL INCENTIVES	E	1154112-584001	99,100	
GENERAL SALES & SERVICES	R	1146430-425049	5,000	
PET ADOPTION VACCINE FEE	R	1146430-425050	10,000	
AS - PART TIME SALARIES	E	1156430-510015	10,000	
NAT CTR - PART TIME SALARIES	E	1156440-510015	11,000	
ANIMAL AND VET SUPPLIES	E	1156440-561010	6,900	
DEPARTMENT HEAD		COUNTY MANAGER	ACCOUNTING USE ONLY	
Approved: _____		Approved: _____	Period - Journal #	03-132
Disapproved: _____		Disapproved: _____	Keyed By:	JMH
Amended: _____		Amended: _____	Date Keyed:	_____
Date: _____		Date: _____	Posted By:	_____
Signature: _____		Signature: _____	Date Posted:	_____

DEPARTMENTAL REQUEST FOR BUDGET ACTION

[illegible]

COMPANY PERFORMANCE AGREEMENT

THE ONE NORTH CAROLINA FUND

STATE OF NORTH CAROLINA

COUNTY OF WAKE

This Company Performance Agreement (the "CPA"), effective the 16th day of August, 2017 (the "Effective Date"), by and between New York Air Brake LLC, a North Carolina corporation authorized to do business in North Carolina (the "Company"), and the County of Rowan, North Carolina (the "Local Government," and, together with the Company, the "Parties");

WITNESSETH:

WHEREAS, the Local Government has applied for a One North Carolina Fund grant from the North Carolina Department of Commerce (the "DOC"); and

WHEREAS, a One North Carolina Fund grant award in the amount of Fifty Thousand Dollars (\$50,000) (the "Grant") has been negotiated and agreed to by DOC and the Parties; and

WHEREAS, the Grant has been approved by DOC for disbursement to the Local Government pursuant to the terms of the One North Carolina Fund Local Government Grant Agreement between the Local Government and the DOC (the "LGGA"); and

WHEREAS, the Grant is to be used by the Company toward the goal of creating twenty-eight (28) new jobs (the "Target New Jobs"), which shall be permanent full-time jobs (each, a "New Job"), and One Million Three Hundred Ninety-Two Thousand Five Hundred Ten Dollars (\$1,392,510) (the "Target Investment") in new investment in the State of North Carolina; and

WHEREAS, the Company has represented that the Grant is necessary to enable the investment and job creation by the Company to occur and go forward in North Carolina; and

WHEREAS, the Grant will enable retention of twenty-eight (28) permanent full time jobs (the "Retained Jobs"), which is the total number of positions the Company maintained in North Carolina prior to the Effective Date;

WHEREAS, the Grant will stimulate economic activity and create new jobs for the citizens of the State of North Carolina; and

WHEREAS, the Grant is issued pursuant to and subject to the terms of N.C. Gen. Stat. § 143B-437.70 *et seq.* and the Guidelines and Procedures for Commitment of Funds from the One North Carolina Fund (the "Program Guidelines");

WHEREAS, pursuant to, *inter alia*, N.C. Gen. Stat. § 143B-437.07 and G.S. 143B-437.72(b), as these statutes may be amended from time to time, the DOC is required to submit regular reports to the

*One NC Company Performance Agreement
New York Air Brake LLC / Rowan County
New and Retained Jobs; Company Only
Form 2016*

COMPANY PERFORMANCE AGREEMENT

THE ONE NORTH CAROLINA FUND

STATE OF NORTH CAROLINA

COUNTY OF WAKE

This Company Performance Agreement (the "CPA"), effective the 23rd day of March, 2018 (the "Effective Date"), by and between New York Air Brake LLC, a New York corporation authorized to do business in North Carolina (the "Company"), and the County of Rowan, North Carolina (the "Local Government," and, together with the Company, the "Parties");

WITNESSETH:

WHEREAS, the Local Government has applied for a One North Carolina Fund grant from the North Carolina Department of Commerce (the "DOC"); and

WHEREAS, a One North Carolina Fund grant award in the amount of One Hundred Eighty-Five Thousand Dollars (\$185,000) (the "Grant") has been negotiated and agreed to by DOC and the Parties; and

WHEREAS, the Grant has been approved by DOC for disbursement to the Local Government pursuant to the terms of the One North Carolina Fund Local Government Grant Agreement between the Local Government and the DOC (the "LGGA"); and

WHEREAS, the Grant is to be used by the Company toward the goal of creating ninety-four (94) new jobs (the "Target New Jobs"), which shall be permanent full-time jobs (each, a "New Job"), and Nine Million Fifty-Nine Thousand Six Hundred Ninety-Two Dollars (\$9,059,692) (the "Target Investment") in new investment in the State of North Carolina; and

WHEREAS, the Company has represented that the Grant is necessary to enable the investment and job creation by the Company to occur and go forward in North Carolina; and

WHEREAS, the Grant will enable retention of 56 permanent full time jobs (the "Retained Jobs");

WHEREAS, the Grant will stimulate economic activity and create new jobs for the citizens of the State of North Carolina; and

WHEREAS, the Grant is issued pursuant to and subject to the terms of N.C. Gen. Stat. § 143B-437.70 *et seq.* and the Guidelines and Procedures for Commitment of Funds from the One North Carolina Fund (the "Program Guidelines");

WHEREAS, pursuant to, *inter alia*, N.C. Gen. Stat. § 143B-437.07 and G.S. 143B-437.72(b), as these statutes may be amended from time to time, the DOC is required to submit regular reports to the North Carolina General Assembly regarding operation of the One North Carolina Fund and the performance and funding requirements for each One North Carolina Fund grant awarded;

*One NC Company Performance Agreement
New York Air Brake LLC / Rowan County
New and Retained Jobs; Company Only
Form 2016*

DEPARTMENTAL REQUEST FOR BUDGET ACTION

EXPLANATION IN DETAIL:	To transfer NC 911 revenue to contracted services.
------------------------	--

BUDGET INFORMATION:

[illegible]

ROWAN COUNTY

DEPARTMENTAL REQUEST FOR BUDGET ACTION

TO: BUDGET OFFICER

FROM: FINANCE

EXPLANATION IN DETAIL:

To budget revenue and expenditures for the FY2019 HOME program that was approved by the BOC on May 7, 2018.

Prepared by: Lisa Bevis

Date: 09/04/18

Reviewed:

BUDGET INFORMATION:

[illegible]

ANNUAL AGREEMENT FOR THE EXECUTION OF THE HOME INVESTMENT PARTNERSHIPS PROGRAM

This Agreement, made and entered into this 13 day of August, 2018 and between the **City of Concord**, a municipal corporation organized and existing under the laws of the State of North Carolina, and serving as the lead entity of the Cabarrus/Iredell/Rowan HOME Consortium (hereinafter "City"), and **Rowan County**, a body politic and incorporated under the laws of the State of North Carolina (hereinafter "Agency") has been designated to receive **\$204,371** in project funds and **\$12,262** for administrative costs; and

WITNESSETH:

WHEREAS, the City has entered into an Agreement for the HOME Investment Partnerships Program (hereinafter "Funding Agreement") with the U.S. Department of Housing and Urban Development (hereinafter "HUD"); and

WHEREAS, it is the purpose of this agreement to effect the purposes of the Funding Agreement in accordance with the policies expressed in the Cranston-Gonzalez National Affordable Housing Act, as amended (hereinafter "Act");

WHEREAS, pursuant to said purpose the Agency is requesting the City to render certain assistance in such undertakings.

NOW, THEREFORE, for valuable consideration and mutual promises exchanged between the parties hereto, it is agreed as follows:

A. SCOPE OF SERVICES:

1. The services to be performed pursuant to this Agreement (hereinafter "Project"), shall be those specified in the Scope of Services (attached hereto as **Attachment A**), and under the Project Title(s), **Owner-Occupied Rehabilitation**, in the Action Plan submitted by the City and approved by HUD as that Action Plan now reads or as it may later be modified in accordance with regulations promulgated by HUD.
2. The City may, from time to time, request changes in the scope of service of the Agency to be performed hereunder. Such changes, including any increase or decrease in the amount of the Agency's compensation, which is mutually agreed upon by and between the City and the Agency, shall be incorporated in written amendments to the Agreement.

B. DURATION OF AGREEMENT:

This Agreement shall be effective as of the **1st day of July 2018**, and shall remain in effect during the period of affordability required by the Act under 24 CFR Part 92.252 or 92.254.

C. TERMS AND CONDITIONS:

1. The Agency shall enforce this agreement through a promissory note and deed of trust on all real properties receiving an investment of HOME funds which require compliance with all applicable HOME Program requirements. This Agreement shall be considered breached if the Agency

204,371.00 x the Investment
0.25 = ing and Urban
51,092.75 * → Already budgeted
204,371.00 + m approved by
12,262.00 + f the Cranston-
216,633.00 * sires to engage

ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Scott Shelton, EDC, Vice-President of Operations
DATE: September 7, 2018
SUBJECT: Public Hearing for Possible CDBG Application

The Rowan EDC has requested that the Board of Commissioners hold a public hearing to receive citizen input prior to a possible Community Development Block Grant grant application.

EDC staff would like to state the attached information about the CDBG program to the public prior to the start of the hearing.

ATTACHMENTS:

Description	Upload Date	Type
Memo - Information Regarding CDBG Public Hearing	9/7/2018	Cover Memo

Be an original.

Date: September 7, 2018
To: Greg Edds, Chairman
Cc: Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
From: Scott Shelton, Vice President of Operations
Re: *Information Regarding Public Hearing for CDBG Program*

Dear Chairman Edds,

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Before the public hearing is held, the Rowan EDC would like to state the following information to the public:

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Please do not hesitate to contact me with any questions you may have, and thank you for considering this request.

Yours truly,

Scott Shelton

Scott Shelton
Vice President of Operations

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A COUNTY COMMITTED TO EXCELLENCE



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DATE: September 7, 2018
SUBJECT: Request for 2nd Public Hearing - CDBG Application for 'Project Kodiak'

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Cc: Aaron Church, County Manager
Carolyn Barger, Clerk to the Board
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Re: *Request for public hearings to consider applying for CDBG Economic Development Funds for infrastructure improvements to benefit 'Project Kodiak' and for other incentives.*

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Yours truly,

Scott Shelton

Scott Shelton
Vice President of Operations

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MEMO TO COMMISSIONERS:

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DATE: September 10, 2018
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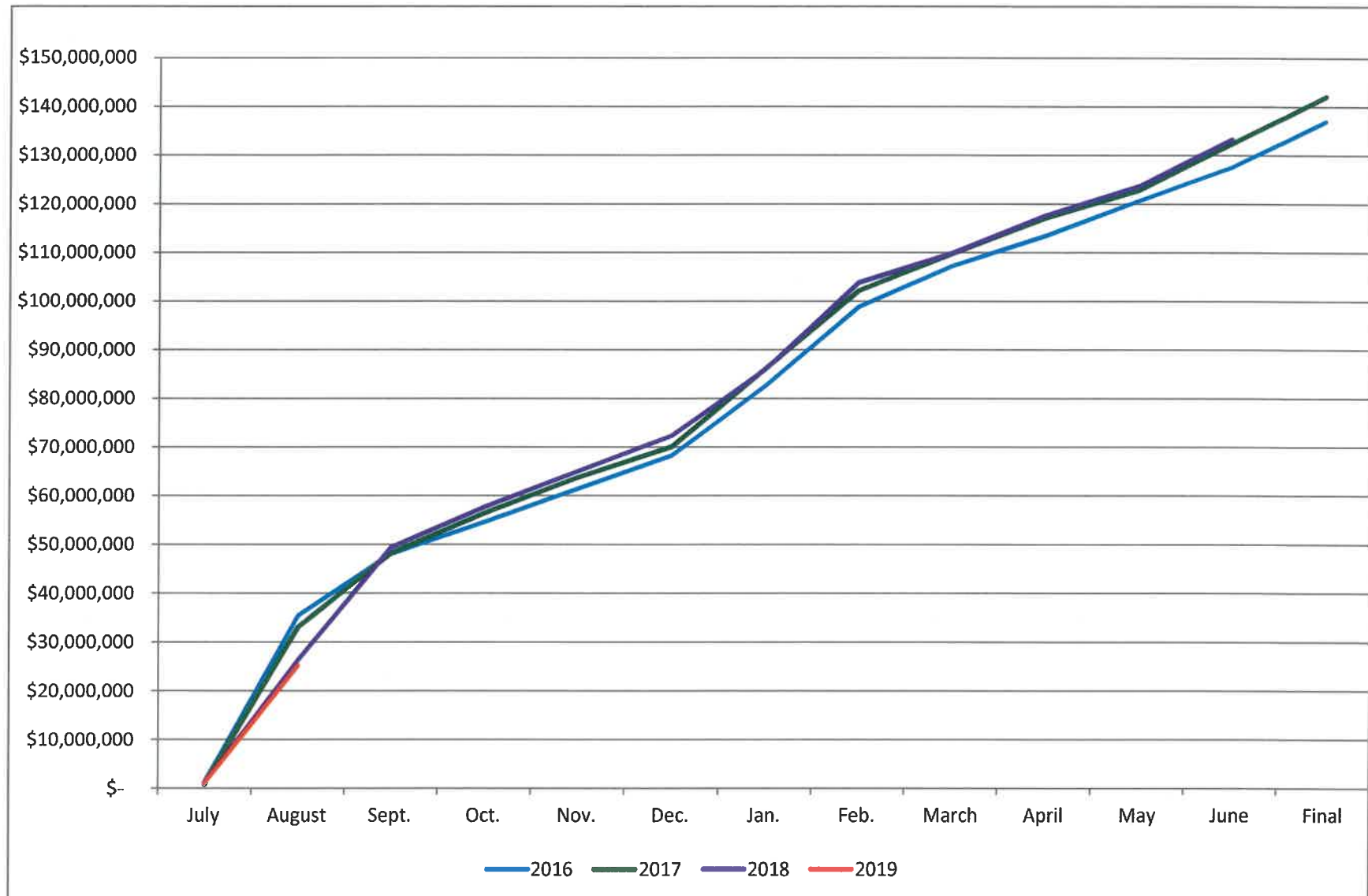
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**ROWAN COUNTY
GENERAL FUND
FISCAL YEARS 2016 - 2019**

ANNUAL CUMULATIVE REVENUE COMPARISONS

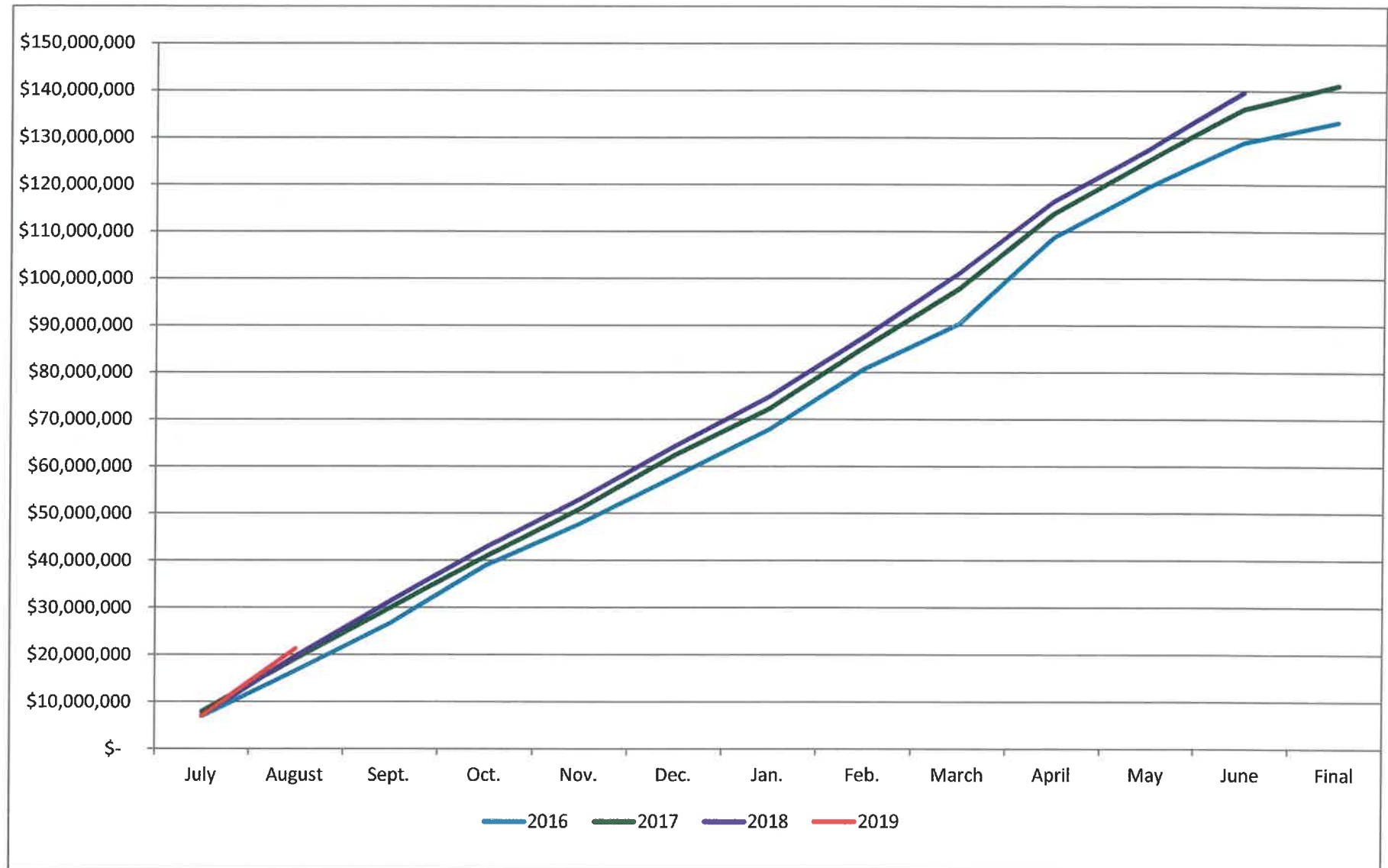
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ROWAN COUNTY
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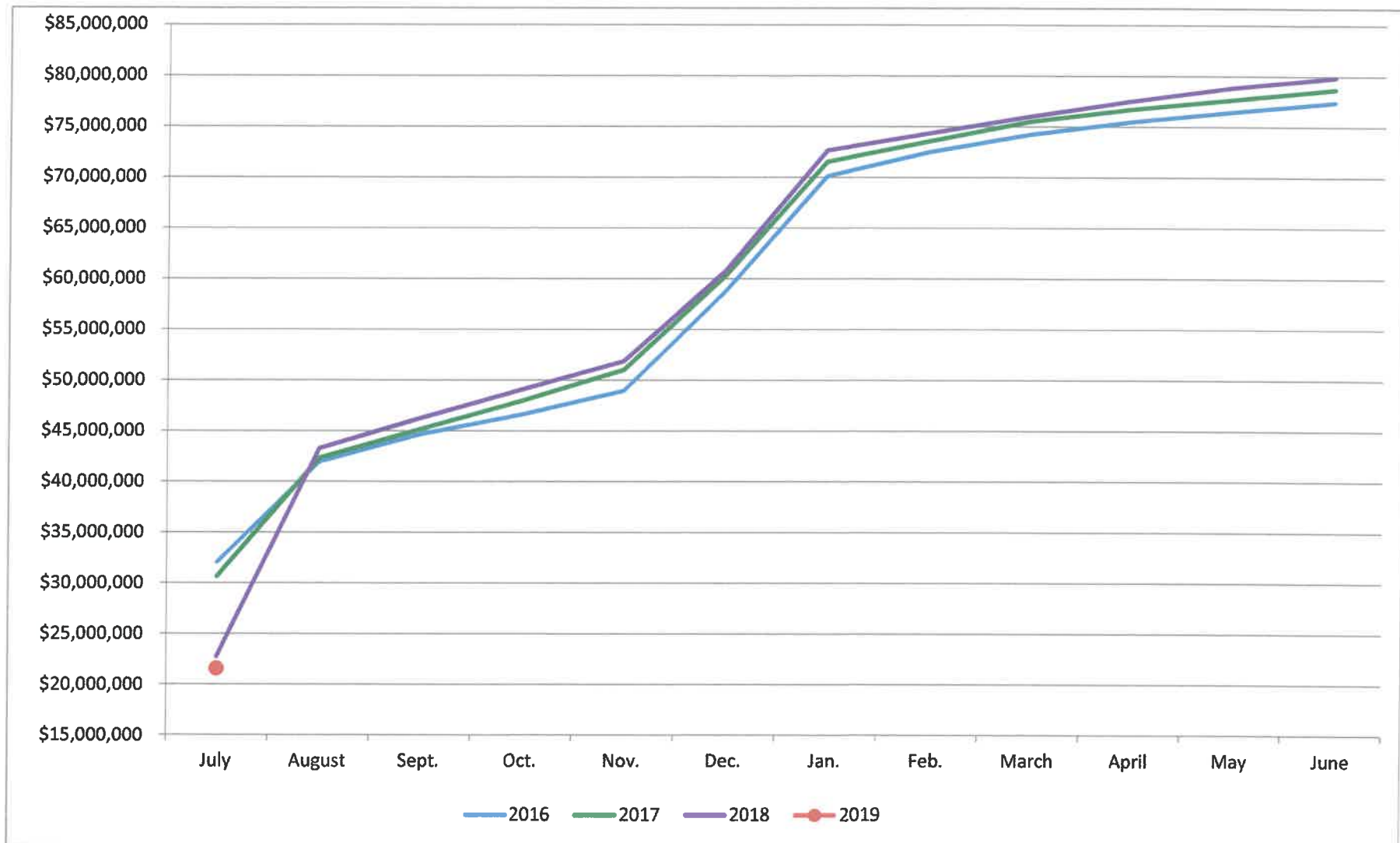
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**ROWAN COUNTY
GENERAL FUND
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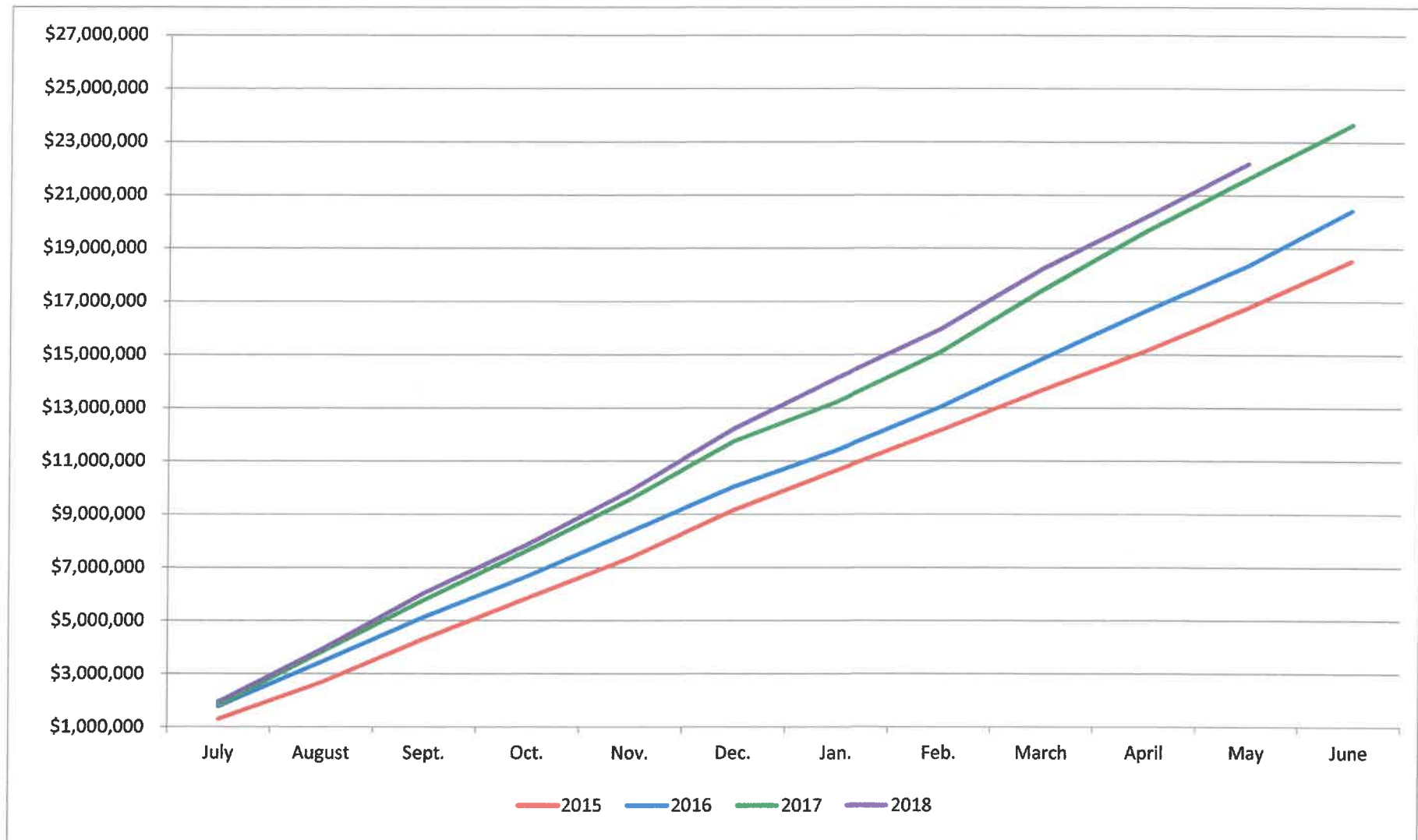
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**ROWAN COUNTY
GENERAL FUND
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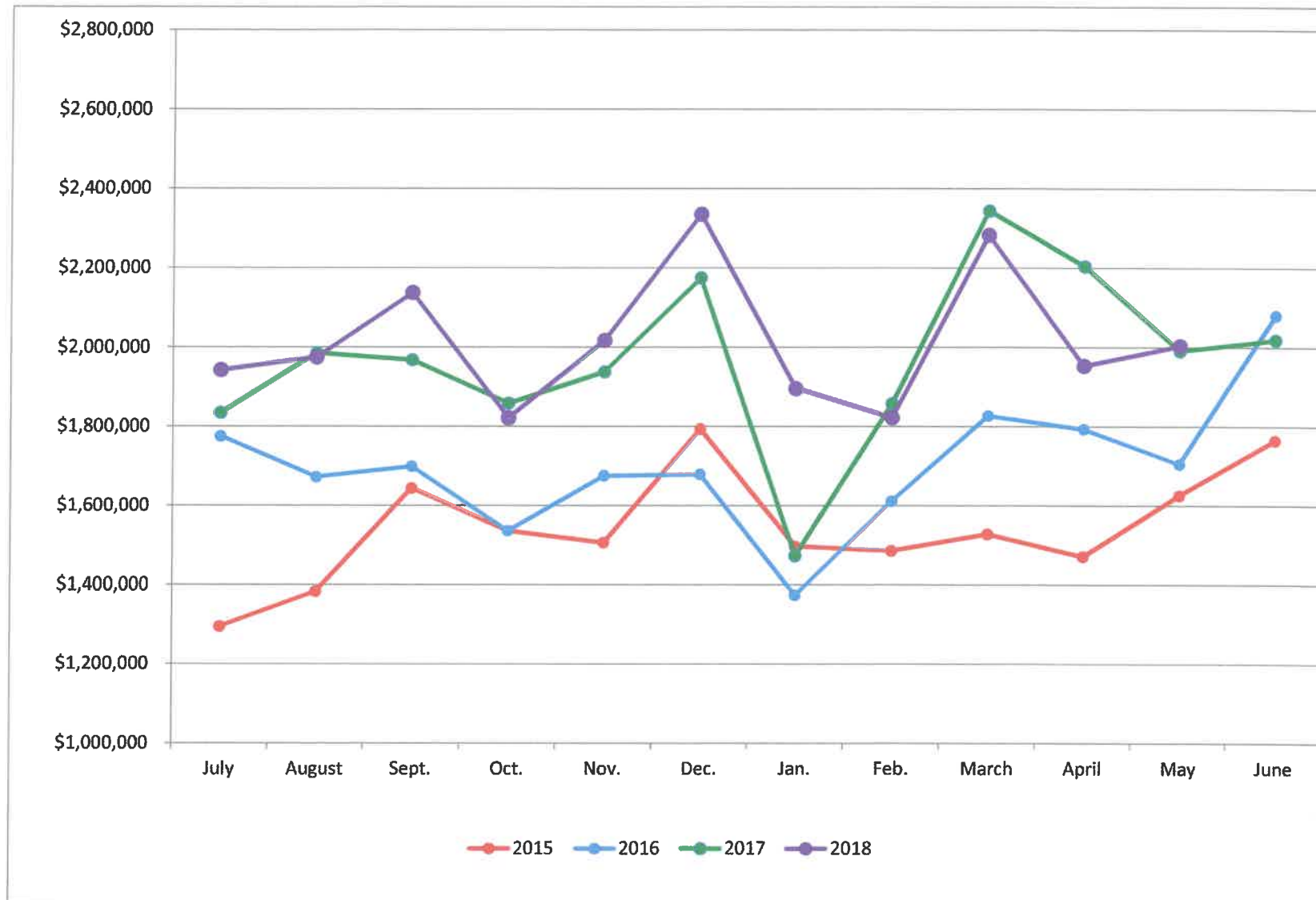
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2017	\$	21,631,327
2016	\$	18,350,574
2015	\$	16,769,829



**ROWAN COUNTY
GENERAL FUND
FISCAL YEARS 2015 - 2018**

MONTHLY SALES TAX COMPARISONS

	May
2018	\$ 2,003,351
2017	\$ 1,991,115
2016	\$ 1,705,263
2015	\$ 1,625,605



ROWAN COUNTY
A COUNTY COMMITTED TO EXCELLENCE



130 West Innes Street - Salisbury, NC 28144
TELEPHONE: 704-216-8180 * FAX: 704-216-8195

MEMO TO COMMISSIONERS:

FROM: Finance Department
DATE: September 10, 2018
SUBJECT: Budget Amendments

Please see attached budget amendments.

Please approve attached budget amendments.

ATTACHMENTS:

Description	Upload Date	Type
Budget Amendments	9/10/2018	Budget Amendment

ROWAN COUNTY
DEPARTMENTAL REQUEST FOR BUDGET ACTION

TO: Finance Department

FROM: Health Department

EXPLANATION IN DETAIL:

To reduce NAPSACC funds to match awarded funding.

Prepared by: Karla Aldridge

Date: 8/28/2018

Reviewed:

BUDGET INFORMATION:

ACCOUNT TITLE	R/E	ACCOUNT #	INCREASE	DECREASE
Salaries-Regular	E	1155227-510005		3,498
Health Insurance	E	1155227-520005	2,160	
Medicare Tax	E	1155227-520010		51
Retirement	E	1155227-520015		474
Social Security Tax	E	1155227-520020		217
Workers Compensation	E	1155227-520025	143	
401 (k) Contribution	E	1155227-520030		105
Printing	E	1155227-555000		550
Postage	E	1155227-557000		125
Educational Supplies	E	1155227-561015	50	
Dues & Subscriptions	E	1155227-564050	5	
Noncash Grants/Awards	E	1155227-583053	100	
Smart Start NAPSACC	R	11452273-434036		2,562

DEPARTMENT HEAD	COUNTY MANAGER	ACCOUNTING USE ONLY
Approved: _____	Approved: _____	Budget Revision # <u>02-811</u>
Disapproved: _____	Disapproved: _____	Date Posted: _____
Amended: _____	Amended: _____	Group Number: _____
Date: <u>8/28/18</u>	Date: _____	Posted by: _____
Signature: _____	Signature: _____	Approved by: _____



Rowan County Health Department
1811 East Innes Street - Salisbury, NC 28146-6030

August 29, 2018

Memo

From: Charles Drake, Local Health Administrative Services Manager

To: Finance Department

The requested BA is to reduce NAPSACC funds, expenditures and revenues, to match the final awarded funds from Smart Start Rowan.

Kind Regards,

A handwritten signature in black ink, appearing to read "Charles H. Drake", is written over a horizontal line.

Charles Drake
Local Health Administrative Services Manager

DEPARTMENTAL REQUEST FOR BUDGET ACTION

To recognize additional revenue and expenditures for animal services

ACCOUNT TITLE	R/E	ACCOUNT #	INCREASE	DECREASE
C/A TECHNOLOGY	E	1154150-575010		175,000
PET ADOPTION VOUCHER SERVICES	E	1156430-532060	48,000	
PET ADOPTION VACCINES	E	1156430-561048	15,000	
LOCAL INCENTIVES	E	1154112-584001	99,100	
GENERAL SALES & SERVICES	R	1146430-425049	5,000	
PET ADOPTION VACCINE FEE	R	1146430-425050	10,000	
AS - PART TIME SALARIES	E	1156430-510015	10,000	
NAT CTR - PART TIME SALARIES	E	1156440-510015	11,000	
ANIMAL AND VET SUPPLIES	E	1156440-561010	6,900	
DEPARTMENT HEAD		COUNTY MANAGER	ACCOUNTING USE ONLY	
Approved: _____		Approved: _____	Period - Journal #	03-132
Disapproved: _____		Disapproved: _____	Keyed By:	JMH
Amended: _____		Amended: _____	Date Keyed:	
Date: _____		Date: _____	Posted By:	
Signature: _____		Signature: _____	Date Posted:	

DEPARTMENTAL REQUEST FOR BUDGET ACTION

DEPARTMENT HEAD		COUNTY MANAGER	ACCOUNTING USE ONLY
Approved: <u>✓</u>		Approved: _____	Budget Revision # <u>03-133</u>
Disapproved: _____		Disapproved: _____	Date Posted: _____
Amended: _____		Amended: _____	Group Number: _____
Date: <u>9/07/18</u>		Date: _____	Posted by: _____
Signature: <u>P. Heidrich</u>		Signature: _____	Approved by: _____

COMPANY PERFORMANCE AGREEMENT

THE ONE NORTH CAROLINA FUND

STATE OF NORTH CAROLINA

COUNTY OF WAKE

This Company Performance Agreement (the "CPA"), effective the 16th day of August, 2017 (the "Effective Date"), by and between New York Air Brake LLC, a North Carolina corporation authorized to do business in North Carolina (the "Company"), and the County of Rowan, North Carolina (the "Local Government," and, together with the Company, the "Parties");

WITNESSETH:

WHEREAS, the Local Government has applied for a One North Carolina Fund grant from the North Carolina Department of Commerce (the "DOC"); and

WHEREAS, a One North Carolina Fund grant award in the amount of Fifty Thousand Dollars (\$50,000) (the "Grant") has been negotiated and agreed to by DOC and the Parties; and

WHEREAS, the Grant has been approved by DOC for disbursement to the Local Government pursuant to the terms of the One North Carolina Fund Local Government Grant Agreement between the Local Government and the DOC (the "LGGA"); and

WHEREAS, the Grant is to be used by the Company toward the goal of creating twenty-eight (28) new jobs (the "Target New Jobs"), which shall be permanent full-time jobs (each, a "New Job"), and One Million Three Hundred Ninety-Two Thousand Five Hundred Ten Dollars (\$1,392,510) (the "Target Investment") in new investment in the State of North Carolina; and

WHEREAS, the Company has represented that the Grant is necessary to enable the investment and job creation by the Company to occur and go forward in North Carolina; and

WHEREAS, the Grant will enable retention of twenty-eight (28) permanent full time jobs (the "Retained Jobs"), which is the total number of positions the Company maintained in North Carolina prior to the Effective Date;

WHEREAS, the Grant will stimulate economic activity and create new jobs for the citizens of the State of North Carolina; and

WHEREAS, the Grant is issued pursuant to and subject to the terms of N.C. Gen. Stat. § 143B-437.70 *et seq.* and the Guidelines and Procedures for Commitment of Funds from the One North Carolina Fund (the "Program Guidelines");

WHEREAS, pursuant to, inter alia, N.C. Gen. Stat. § 143B-437.07 and G.S. 143B-437.72(b), as these statutes may be amended from time to time, the DOC is required to submit regular reports to the

*One NC Company Performance Agreement
New York Air Brake LLC / Rowan County
New and Retained Jobs; Company Only
Form 2016*

COMPANY PERFORMANCE AGREEMENT

THE ONE NORTH CAROLINA FUND

STATE OF NORTH CAROLINA

COUNTY OF WAKE

This Company Performance Agreement (the "CPA"), effective the 23rd day of March, 2018 (the "Effective Date"), by and between New York Air Brake LLC, a New York corporation authorized to do business in North Carolina (the "Company"), and the County of Rowan, North Carolina (the "Local Government," and, together with the Company, the "Parties");

WITNESSETH:

WHEREAS, the Local Government has applied for a One North Carolina Fund grant from the North Carolina Department of Commerce (the "DOC"); and

WHEREAS, a One North Carolina Fund grant award in the amount of One Hundred Eighty-Five Thousand Dollars (\$185,000) (the "Grant") has been negotiated and agreed to by DOC and the Parties; and

WHEREAS, the Grant has been approved by DOC for disbursement to the Local Government pursuant to the terms of the One North Carolina Fund Local Government Grant Agreement between the Local Government and the DOC (the "LGGA"); and

WHEREAS, the Grant is to be used by the Company toward the goal of creating ninety-four (94) new jobs (the "Target New Jobs"), which shall be permanent full-time jobs (each, a "New Job"), and Nine Million Fifty-Nine Thousand Six Hundred Ninety-Two Dollars (\$9,059,692) (the "Target Investment") in new investment in the State of North Carolina; and

WHEREAS, the Company has represented that the Grant is necessary to enable the investment and job creation by the Company to occur and go forward in North Carolina; and

WHEREAS, the Grant will enable retention of 56 permanent full time jobs (the "Retained Jobs");

WHEREAS, the Grant will stimulate economic activity and create new jobs for the citizens of the State of North Carolina; and

WHEREAS, the Grant is issued pursuant to and subject to the terms of N.C. Gen. Stat. § 143B-437.70 *et seq.* and the Guidelines and Procedures for Commitment of Funds from the One North Carolina Fund (the "Program Guidelines");

WHEREAS, pursuant to, *inter alia*, N.C. Gen. Stat. § 143B-437.07 and G.S. 143B-437.72(b), as these statutes may be amended from time to time, the DOC is required to submit regular reports to the North Carolina General Assembly regarding operation of the One North Carolina Fund and the performance and funding requirements for each One North Carolina Fund grant awarded;

*One NC Company Performance Agreement
New York Air Brake LLC / Rowan County
New and Retained Jobs; Company Only
Form 2016*

DEPARTMENTAL REQUEST FOR BUDGET ACTION

EXPLANATION IN DETAIL:	To transfer NC 911 revenue to contracted services.
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BUDGET INFORMATION:

[illegible]

ROWAN COUNTY

DEPARTMENTAL REQUEST FOR BUDGET ACTION

TO: BUDGET OFFICER

FROM: FINANCE

EXPLANATION IN DETAIL:

To budget revenue and expenditures for the FY2019 HOME program that was approved by the BOC on May 7, 2018.

Prepared by: Lisa Bevis

Date: 09/04/18

Reviewed:

BUDGET INFORMATION:

ACCOUNT TITLE		ACCOUNT #	INCREASE	DECREASE
Home FY 2019 Grants	R	23448501-431016	216,633	
Home FY 2019 Administration	E	23548501-590039	12,262	
Home FY 2019 Rehabilitation	E	23548501-590042	204,371	
DEPARTMENT HEAD		COUNTY MANAGER	ACCOUNTING USE ONLY	
Approved: ✓		Approved:	Budget Revision # 03-135	
Disapproved:		Disapproved:	Date Posted:	
Amended:		Amended:	Group Number:	
Date: 9/07/18		Date:	Posted by:	
Signature: L. Nechrick		Signature:	Approved by:	

ANNUAL AGREEMENT FOR THE EXECUTION OF THE HOME INVESTMENT PARTNERSHIPS PROGRAM

This Agreement, made and entered into this 13 day of August, 2018 and between the **City of Concord**, a municipal corporation organized and existing under the laws of the State of North Carolina, and serving as the lead entity of the Cabarrus/Iredell/Rowan HOME Consortium (hereinafter "City"), and **Rowan County**, a body politic and incorporated under the laws of the State of North Carolina (hereinafter "Agency") has been designated to receive **\$204,371** in project funds and **\$12,262** for administrative costs; and

WITNESSETH:

WHEREAS, the City has entered into an Agreement for the Home Investment Partnerships Program (hereinafter "Funding Agreement") with the U.S. Department of Housing and Urban Development (hereinafter "HUD"); and

WHEREAS, it is the purpose of this agreement to effect the purposes of the Funding Agreement in accordance with the policies expressed in the Cranston-Gonzalez National Affordable Housing Act, as amended (hereinafter "Act");

WHEREAS, pursuant to said purpose the Agency is requesting the City to render certain assistance in such undertakings.

NOW, THEREFORE, for valuable consideration and mutual promises exchanged between the parties hereto, it is agreed as follows:

A. SCOPE OF SERVICES:

1. The services to be performed pursuant to this Agreement (hereinafter "Project"), shall be those specified in the Scope of Services (attached hereto as **Attachment A**), and under the Project Title(s), **Owner-Occupied Rehabilitation**, in the Action Plan submitted by the City and approved by HUD as that Action Plan now reads or as it may later be modified in accordance with regulations promulgated by HUD.
2. The City may, from time to time, request changes in the scope of service of the Agency to be performed hereunder. Such changes, including any increase or decrease in the amount of the Agency's compensation, which is mutually agreed upon by and between the City and the Agency, shall be incorporated in written amendments to the Agreement.

B. DURATION OF AGREEMENT:

This Agreement shall be effective as of the **1st day of July 2018**, and shall remain in effect during the period of affordability required by the Act under 24 CFR Part 92.252 or 92.254.

C. TERMS AND CONDITIONS:

1. The Agency shall enforce this agreement through a promissory note and deed of trust on all real properties receiving an investment of HOME funds which require compliance with all applicable HOME Program requirements. This Agreement shall be considered breached if the Agency

204,371.00 x the Investment
0.25 = ing and Urban
51,092.75 * → Already budgeted
204,371.00 + m approved by
12,262.00 + f the Cranston-
216,633.00 * sires to engage