

# Form and Instructions for recipients of Water and wastewater project appropriations in the FY 2022 Budget Bill (S.L. 2022-74) of the General Assembly of North Carolina, Sections 12.9 (e)

(Last updated: July 25, 2022)

The following instructions are for Local Government Units receiving NC General Assembly 2022 Budget appropriations through the Department of Environmental Quality (DEQ) for water and/or wastewater projects as approved in Session Law 2022-74 of the General Assembly on North Carolina. The NC DEQ/Division of Water Infrastructure (Division) will be administering the funds.

Appendix A provides a list of recipients and appropriated fund amounts as specified in Section 12.9.(e). All Local Government Units in the list must use this form. The Division pays out these grant funds to the local government unit (the Recipient) on a reimbursement basis after the recipient awards a division-approved construction contract. Recipients must use the funds in accordance with all applicable federal law and guidance, as well as NC State statutes.

Once a completed form has been received and the project scope appears eligible for funding (see discussion below), the Division will issue a “Letter of Intent to Fund”, which will establish milestone dates for the following:

- If applicable depending on the proposed project scope of work and other sources of funding: Recipient submits an Engineering Report and Environmental Information Document (ER-EID),
- Division approves the ER-EID (if required),
- Recipient submits the project’s Bid and Design package (plans and specifications and associated documents),
- Division approves the Bid and Design package,
- Recipient advertises project, receives bids, submits bid information, and receives authority to award,
- Recipient executes construction contract(s), and
- Recipient receives last reimbursement.

## **Projects Eligible for the Appropriated Funds**

Federal guidance and state statutes limit the types of projects that are eligible for the appropriated funds. Drinking Water Reserve and the Wastewater Reserves are limited to Infrastructure construction projects for public water systems and wastewater systems. The budget also includes additional eligibility requirements for specific projects or communities.

## **Additional requirements:**

Funding for these projects will be from Federal funds provided through the American Rescue Plan Act and State appropriations. All projects will be required to meet ARPA federal requirements and all applicable requirements of GS. 159G. ARPA criteria include:

- Projects must be the type that would be eligible for funding under the [Drinking Water State Revolving Fund Program](#) or the [Clean Water State Revolving Fund Program](#).
- Funds must be obligated by December 31, 2024.
- Funds must be fully spent (i.e., fully reimbursed to the recipient) by December 31, 2026.
- Funds cannot be used to pay for existing debt or used as a match for other federal funding.
- Projects receiving ten million dollars or more in ARP funding must comply with Davis-Bacon wage requirements.
- If project funding is co-mingled with other federal funds, all requirements for the other federal funding program(s) will apply to the ARP funds.

### **Section 1 – General Information**

This section contains information the Division will need to process your appropriation. Complete each blank as directed below.

- **Recipient Name** – Provide the official name of your local government unit (LGU) (e.g., Town of Anytown, Bixby Sanitary District).
- **County** – List the county in which your LGU resides.
- **UEI Number** – Use [www.sam.gov](http://www.sam.gov) to find your UEI number. Make sure your IEI number is up to date.
- **Federal Tax ID #** - Needed for grant disbursement purposes
- **PWSID #** – Needed for all drinking water projects
- **Project Name** – Enter a project name that is short yet captures the nature of your project.
- **Funding Amount Requested** – Enter the amount of funding you are requesting. Must be no more than the amount appropriated in S.L. 2021-180 (see Appendix A for appropriated amount).
- **Total Project Cost** – Please enter the cost of the entire project. Note: This cost may vary from the funding amount requested.
- **Funding Type(s) Requested** – Check the box(es) that are appropriate for the project type for which you are requesting funding. If you have multiple projects, submit separate Requests for Funding for Stormwater, drinking water, and /or wastewater projects. It is recommended that you contact the Division prior to completing the Request for Funding if you have multiple projects supported by the funds appropriated in S.L. 2021-180 Sections 12.13. and 12.14.

### **Sections 2, 3, and 4 – Recipient, Form Preparer, and Engineer Contact Information**

Complete this section with all pertinent information. The following bullet points contain specific information.

- **Authorized Representative Name** – The Authorized Representative should be mayor, manager, finance director, or similar position.
- **Mailing Addresses** – Provide the mailing address where the Recipient, Preparer, and Engineer receive mail. For example, if you have a PO Box, provide this information rather than your physical address.

**Note:** Zip codes may be different for PO Boxes and physical addresses. If using a PO Box to receive mail, use the zip code for that PO Box.

- **Engineer Contact Information** – If your Request for Funding Preparer is the same as the Engineer Contact, check the No box. Section 5 may then be left blank. If the answer is Yes, provide the appropriate information.

### **Section 5 – Project Description**

In this section, provide a brief description of the proposed project at a broad level of detail. Include the project purpose and what the project entails (e.g., rehabilitation of 2,000 l.f. of sewer, construction of a new well). Project description must demonstrate that the project is eligible for funding considering ARP requirements, funding source limitations, and budget limitations.

Include information such as types of equipment to be included, capacity of equipment, and estimates of line length. Note: Major line items in the project budget (Section 8) must be shown in the project description. For example, if 2,000 l.f. of 4-inch, 3,000 l.f. of 6-inch, and 4,000 l.f. of 8-inch pipe are listed in the project budget, they must be listed in the project description. Include the number of new services if applicable.

### **Section 6 – Project Budget**

Complete the project budget by addressing the categories provided in the table (insert rows as needed). Total the cost amount for each line and provide the total cost amount. Please note the following related to this section:

- Budget should consider potential increases in material and construction costs.
- Construction project budgets do not need to be signed or sealed by a properly licensed professional engineer.

### **Certification by Authorized Representative**

The Authorized representative must read and initial in the space beside each question. If not applicable, use N/A.

### **Completeness Checklist**

To better ensure that the project information is sufficient to provide the recipient with a Letter of Intent to Fund, ensure that all of the information listed in the completeness checklist is provided in the Request for Funding and initial each item on the checklist.

## Submittal Information

- **Delivery Method**

- We recommend that Requests for Funding be delivered to the Division as soon as possible.
- If mailing, allow at least two weeks for delivery to the Mail Service Center address shown on the Request for Funding. The Division recommends certified mail. Please call the Division's offices to ensure that your package has been received.
- If using courier delivery or hand delivering, please utilize the physical address on the Request for Funding. If using courier delivery, verify that your package has arrived.

## Questions on this Form?

- For drinking water Requests for Funding: please contact Kavitha Ambikadevi, P.E., Drinking Water Project Unit Supervisor, at 919-707-9048 or email at [Kavitha.Ambikadevi@ncdenr.gov](mailto:Kavitha.Ambikadevi@ncdenr.gov).
- For wastewater and stormwater Requests for Funding: please contact Ken Pohlig, P.E., Wastewater Projects Unit Supervisor, at 919-707-9174 or email at [ken.pohlig@ncdenr.gov](mailto:ken.pohlig@ncdenr.gov).



# North Carolina Department of Environmental Quality

## Division of Water Infrastructure Request for Funding S.L. 2022-74 Appropriated Projects



(Last updated: September 2021)

### 1. General Information

<b>Recipient Name</b> County of Rowan	<b>County</b> Rowan	<b>UEI Number</b> GCB7UCV96NW6
<b>Project Name</b> Infrastructure Improvement Project on Long Ferry Road	<b>Federal Tax ID #</b> 56-6000336	<b>PWSID # (Drinking Water only)</b> NC2080082

<b>Recipient Type</b>	<b>Total Project Cost</b>	<b>Funding Amount Requested</b>
<input type="checkbox"/> Municipality <input checked="" type="checkbox"/> County <input type="checkbox"/> Water and Sewer District <input type="checkbox"/> Water and Sewer Authority	<input type="checkbox"/> Sanitary District <input type="checkbox"/> Other (Specify: ) <b>\$6,888,348</b>	<b>1,900,000</b>

### Project Type (Please select one. Submit multiple Requests for Funding if you have multiple project types).

- Drinking Water
- Wastewater

List other funds that will be used in this project. Please list here the type of funds and the estimated funding amount. Several federal and state funding sources are being identified and pursued. Potential funding sources include Golden Leaf Foundation SITE Program (est. \$50,000-1,500,000); numerous funding opportunities will be coming available over the next months, particularly those that are coming out of the Bipartisan Infrastructure Law through the EPA and DOT that address water and sewer infrastructure improvements.

### Funding Requirements

I accept that all ARP project funds must be reimbursed by December 31, 2026.

To better ensure that the project will be completed by December 31, 2026, the following Bid and Design Package submittal date is requested (the requested date must be no later than January 1, 2023): 12/31/2022

I accept that all projects receiving ten million dollars or more in ARP funding must comply with Davis-Bacon wage requirements.

I accept that the project funds will not be used to pay existing debt or as a match for other federal funds.

I accept that if the project is co-funded with other federal funds, the federal requirements of the other funds will also apply to the ARP funds.

**2. Recipient Contact Information****Authorized Representative Name:** Aaron Church**Authorized Representative Title:** County Manager**Mailing Address Line 1:** 130 West Innes Street**Mailing Address Line 2:****City:** Salisbury**State:** NC**Zip Code:** 28144**Physical Address Line 1:** 130 West Innes Street**Physical Address Line 2:****Physical Address City:** Salisbury**Physical Address State:** NC**Physical Address Zip Code:** 28144**Phone Number:** 704-216-8180**E-Mail Address:** Aaron.Church@rowancountync.gov**3. Form Preparer Contact Information****Firm Name:****Contact Name:** Ann Kitalong-Will**Mailing Address Line 1:** 130 West Innes Street**Mailing Address Line 2:****City:** Salisbury**State:** NC**Zip Code:** 28144**Physical Address Line 1:** 130 West Innes Street**Physical Address Line 2:****Physical Address City:** Salisbury**Physical Address State:** NC**Physical Address Zip Code:** 28144**Phone Number:** 704-216-8135**E-Mail Address:** Ann.Kitalong-Will@rowancountync.gov**4. Engineer Contact Information**Is the engineering firm different from the preparer?  Yes  No**Engineering Firm Name:** Timmons Group**Contact Name:** Brian Crutchfield**Mailing Address 1:** 610 East Morehead Street**Mailing Address 2:** Suite 250**City:** Charlotte**State:** NC**Zip Code:** 28202**Physical Address Line 1:** 610 East Morehead Street**Physical Address Line 2:** Suite 250**Physical Address City:** Charlotte**Physical Address State:** NC**Physical Address Zip Code:** 28202**Phone Number:** 704-376-1073**E-Mail Address:** Brian.crutchfield@timmons.com

## 5. Project Description

**Full Project Summary:** Red Rock Developments, a privately-held real estate and investment company based in Columbia, SC, has identified a site in the Charlotte region along the I-85 corridor for a new industrial park. The property is located along Long Ferry Road in Rowan County, approximately 380 acres comprised of six parcels. Red Rock plans to construct up to six buildings totaling 2.6 million square feet at this location. The Rowan County Commissioners have approved a tax incentive for Red Rock Development to support the water/sewer infrastructure improvements described below. The project is to be completed in three phases, with the first phase including Infrastructure Improvements along Long Ferry Road, as described below.

**Water/Sewer Infrastructure Improvement Project on Long Ferry Road:** Providing utilities to the site will require the extension of an approximately 5,900 linear foot water main (16-inch), as well as approximately 4,100 linear feet of force main sewer (4-inch). A pump station will also need to be installed in the southern portion of the new development. Overall estimated water/sewer improvement cost is \$6,888,343.

The appropriated \$1,900,000 will be used to offset costs associated with water and sewer improvements described above, and their associated items. These improvements are expected to benefit county residents on a long-term basis by expanding Rowan County's capacity to service both commercial and residential properties in the identified area.

(OVER)

<b>6. Project Budget</b>			
	<b>Division Funding Requested</b>	<b>Other Secured Funding Source(s)</b>	<b>Total Cost Amount</b>
Indicate construction costs by line item (e.g., linear feet of different-sized lines)			
<b><u>Construction Costs</u></b>			
5,900 LF 16" PVC WM (fire line) Extension	\$2,004,600	N/A	\$2,004,600
Wastewater lift station w/ 4,100 LF 4" PVC FM Extension and feeder 8" gravity sewer	\$1,602,250	N/A	\$1,602,250
Offsite Road Improvements, erosion control, etc.	\$2,292,330	N/A	\$2,292,330
<i>Contingency (we recommend 10% of construction costs):</i>	\$589,918	N/A	\$589,918
<b><i>Construction Subtotal:</i></b>	<b><i>\$6,489,098</i></b>	<b><i>N/A</i></b>	<b><i>\$6,489,098</i></b>
<b><u>Engineering Costs</u></b>			
Engineering Design	\$372,500	N/A	\$373,500
Permitting	\$4,000	N/A	\$4,000
Land Surveying Costs	\$17,750	N/A	\$17,750
Asset Inventory and Assessment (VUR only)			
Merger Regionalization Feasibility Study (VUR only)			
Rate Study (VUR only)			
<b><i>Engineering Subtotal:</i></b>	<b><i>\$394,250</i></b>	<b><i>N/A</i></b>	<b><i>\$394,250</i></b>
<b><u>Administration Costs</u></b>			
Planning			
Easement Preparation	\$2,500	N/A	\$2,500
Grant Administration (if applicable)			
Loan Administration (if applicable)			
ER Preparation			
Environmental Documentation Preparation	\$2,500	N/A	\$2,500
Legal Costs			
Other			
<b><i>Administration Subtotal:</i></b>	<b><i>\$5,000</i></b>	<b><i>N/A</i></b>	<b><i>\$5,000</i></b>
<b>TOTAL PROJECT COST:</b>	<b>\$6,888,348</b>	<b>N/A</b>	<b>\$6,888,348</b>
<p><b>A PE Seal for the estimate <i>is no longer required</i> in the space to the right for the request to be considered complete.</b></p>			



### Certification by Authorized Representative

The attached statements and exhibits are hereby made part of this Request for Funding, and the undersigned representative(s) of the Recipient certifies that the information in this Request for Funding and the attached statements and exhibits is true, correct, and complete to the best of his/her knowledge and belief. By initialing each item and signing at the end of this Request for Funding, he/she further certifies that:

- \_\_\_\_\_ 1. as Authorized Representative, he/she has been authorized to file this Request for Funding;
- \_\_\_\_\_ 2. the Recipient agrees to provide for proper maintenance and operation of the approved project after its completion, including budgeting and generating the revenues needed for the eventual rehabilitation and/or replacement of physical infrastructure assets;
- \_\_\_\_\_ 3. the Recipient has substantially complied with or will comply with all federal, state, and local laws, rules, and regulations and ordinances as applicable to this project;
- \_\_\_\_\_ 4. the Recipient will adopt and place into effect on or before the completion of the project a schedule of fees and charges which will provide for the adequate and proper operation, maintenance, and administration of the project;
  
- \_\_\_\_\_ 5. the project budget provided on Page 4 of this form includes all funding requested from all sources of funding proposed for this project; and
- \_\_\_\_\_ 6. the (Town or County), North Carolina is organized and chartered under the laws of North Carolina. All officials and employees are aware of, and in full compliance with NCGS 14-234, "Director of public trust contracting for his own benefit, participation in business transaction involving public funds; exemptions." (For units of local government only. All others should initial "N/A.")

(OVER)

**Completeness Checklist\***

*In addition to this Request for Funding, **the following items must be included for a complete package**; please initial that each item is included in this submittal.*

	Section 1 – General Information, Request for Funding has accepted all ARP funding requirements
	Section 5 - Project Description, Project matches funding eligibility established by funding source (Table 1) and NC State Budget language (Appendix A)
Does Not Apply	PE Seal on project budget (construction projects only)

**Submittal Information**

- Send one (1) **original hard copy** to:

**Mailing Address<sup>†</sup> (US Postal Service only)**

Division of Water Infrastructure  
 1633 Mail Service Center  
 Raleigh, NC 27699-1633

**Physical Address (FedEx, UPS)<sup>‡</sup>**

Division of Water Infrastructure – 8<sup>th</sup> Floor, Archdale Building  
 512 North Salisbury Street  
 Raleigh, NC 27604  
 919.707.9160

<sup>†</sup>Please allow a week for delivery if mailing via the US Postal Service.

<sup>‡</sup>For all courier services, please use the physical address, as having a courier deliver to the mailing address will delay package delivery.

**Signature**

**Please note: Original signatures are required.**

SIGNATURE OF AUTHORIZED REPRESENTATIVE

\_\_\_\_\_  
 Aaron Church , \_\_\_\_\_ County Manager , \_\_\_\_\_  
 TYPED NAME TYPED TITLE DATE

APPENDIX A

**Drinking Water and Wastewater Reserve - At Risk Projects**  
S.L. 2022-74, Section 12.9.(e)

	<b>Funds Appropriated</b>	<b>Recipient</b>
1	\$360,000	Andrews, Town of
2	\$2,825,000	Angier, Town of
3	\$5,000,000	Anson County
4	\$3,600,000	Archdale, City of
5	\$2,000,000	Banner Elk, Town of
6	\$3,800,000	Bladenboro, Town of
7	\$100,000	Boardman, Town of
8	\$2,750,000	Buncombe County, for a flood mitigation project in Barnardsville
9	\$1,118,247	Burke County
10	\$7,125,000	Cabarrus County Water and Sewer Authority
11	\$3,250,000	Cajah's Mountain, Town of
12	\$1,000,000	Catawba County
13	\$2,000,000	Chadbourn, Town of
14	\$3,000,000	Cherryville, City of
15	\$250,000	Claremont, City of
16	\$25,000,000	Clayton, Town of, for Sam's Branch Water Reclamation Facility
17	\$1,000,000	Coats, Town of
18	\$500,000	Columbus, Town of
19	\$2,500,000	Davidson County
20	\$8,000,000	Davie County
21	\$500,000	Denton, Town of
22	\$3,600,000	Elizabeth City, City of, of which no less than one million dollars (\$1,000,000) shall be used for a new pump station to accommodate recent campus improvements at Elizabeth City State University
23	\$2,000,000	Elkin, Town of
24	\$2,000,000	Elm City, Town of
25	\$1,000,000	Erwin, Town of
26	\$1,350,000	Fair Bluff, Town of
27	\$6,000	Fontana Dam, Town of
28	\$8,750,000	Franklin County
29	\$820,000	Franklin, Town of
30	\$2,700,000	Garland, Town of
31	\$2,375,000	Gaston County

	<b>Funds Appropriated</b>	<b>Recipient</b>
32	\$500,000	Gibsonville, Town of
33	\$10,000,000	Graham, City of
34	\$250,000	Harmony, Town of
35	\$525,000	Haw River, Town of
36	\$100,000	Hayesville, Town of
37	\$5,000,000	Henderson, City of, for the Kerr Lake Regional Water System expansion
38	\$220,000	Highlands, Town of
39	\$3,250,000	Jacksonville, City of
40	\$2,500,000	Kings Mountain, City of
41	\$4,200,000	Landis, Town of
42	\$11,250,000	Laurinburg, City of
43	\$5,000,000	Lenoir County
44	\$6,300,000	Lenoir, City of
45	\$9,250,000	Lillington, City of
46	\$18,550,000	Lincoln County, to connect water service between Lincoln County and Gaston County
47	\$4,750,000	Littleton, Town of
48	\$250,000	Long View, Town of
49	\$1,000,000	Love Valley, Town of
50	\$23,500,000	Lower Cape Fear Water and Sewer Authority
51	\$4,000,000	Marshville, Town of
52	\$3,500,000	Mayodan, Town of
53	\$11,925,000	Mebane, City of
54	\$9,500,000	Mooresville, Town of
55	\$1,118,247	Morganton, City of
56	\$1,000,000	Mount Olive, Town of
57	\$4,811,000	Mount Pleasant, Town of
58	\$360,000	Murphy, Town of
59	\$3,200,000	Newland, Town of
60	\$33,750,000	Newton, City of
61	\$15,000,000	North Wilkesboro, Town of
62	\$10,000,000	Onslow Water and Sewer Authority for the Southeast Wastewater Treatment Plant
63	\$4,800,000	Pembroke, Town of
64	\$2,000,000	Pilot Mountain, Town of
65	\$2,500,000	Pine Level, Town of
66	\$5,633,950	Pink Hill, Town of, of which no less than two million two hundred five thousand two hundred dollars (\$2,205,200) shall be used for a stormwater quality project.

	<b>Funds Appropriated</b>	<b>Recipient</b>
67	\$1,500,000	Princeton, Town of
68	\$2,500,000	Randolph County
69	\$1,000,000	Rich Square, Town of
70	\$4,000,000	Richmond County
71	\$140,000	Robbinsville, Town of
72	\$3,500,000	Ronda, Town of
73	\$1,900,000	Rowan County
74	\$2,000,000	Sanford, City of, for service expansion to Holly Springs and Fuquay-Varina
75	\$10,200,000	Shelby, City of
76	\$2,000,000	Siler City, Town of
77	\$500,000	Spring Lake, Town of
78	\$900,000	Stanly County
79	\$5,700,000	Stanley, Town of
80	\$5,000,000	Stokes County Water and Sewer Authority
81	\$237,000	Sweptsonville, Town of
82	\$1,850,000	Tabor City, Town of
83	\$500,000	Thomasville, City of
84	\$900,000	Troutman, Town of
85	\$1,750,000	Union County
86	\$8,000,000	Walnut Cove, Town of, to be allocated as follows: a. Six million four hundred thousand dollars (\$6,400,000) to replace a transmission main. b. One million six hundred thousand dollars (\$1,600,000) to replace asbestos lines.
87	\$7,500,000	Warsaw, Town of
88	\$1,500,000	West Jefferson, Town of
89	\$1,000,000	Yadkin County
90	\$3,050,000	Yancey County, for a WWTP project