



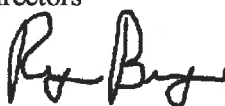
STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION

ROY COOPER  
GOVERNOR

J. ERIC BOYETTE  
SECRETARY

MEMORANDUM

**TO:** North Carolina Transportation Directors

**FROM:** Ryan M. Brumfield, PE, Director 

**DATE:** February 7, 2022

**SUBJECT:** Certifications and Assurances for FY23 Applications

On February 3, 2022, the Federal Transit Administration (FTA) posted the FFY22 Certifications and Assurances (Certs & Assurances) which are required for all grant funding provided by the FTA. The Certs & Assurances are categorized to correspond with FTA's funding programs and activities to be undertaken in an award.

Funding applicants must certify every category that applies to both the applicant and the applications submitted in the fiscal year or certify all activities at once. FTA will not enforce provisions of a certification that do not apply to the applicant or award.

In addition to the Federal Certs and Assurances, the FY23 application package also requires state certifications. Included in the State package are the Certifications and Restrictions on Lobbying, Special Section 5333(b) Warranty, and the Certification of Equivalent Service applicable when 1) purchasing a non-lift equipped vehicle or 2) a fleet includes non-lift equipped vehicles.

To be valid, the Federal Certs & Assurances must be signed within 90 days of publication. All Federal and State Certs & Assurances documents must be uploaded into Enterprise Business Services (EBS) no later than May 2, 2022 to ensure compliance with the deadline.

If you have questions, please contact your assigned Planner.

**Attachments:** FFY22 Federal Certifications and Assurances  
Certifications and Restrictions on Lobbying  
Special Section 5333(b) Warranty  
Certification of Equivalent Service

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